# FORM ADV

# UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Prin	nary Business Name: LIDO			CRD Number: 269866
Ann	ual Amendment - All Sections			Rev. 10/2021
3/2	8/2024 7:47:11 PM			
WA			ssions may result in denial of you periodic amendments. See Form	r application, revocation of your registration, or criminal ADV General Instruction 4.
Iten	n 1 Identifying Information			
	•			you. If you are filing an <i>umbrella registration</i> , the ormation to assist you with filing an <i>umbrella registration</i> .
A.	Your full legal name (if you are a sole p	roprietor, your last, first	and middle names):	
B.	(1) Name under which you primarily co	nduct your advisory busi	iness, if different from Item 1.A.	
	List on Section 1.B. of Schedule D any ad	dditional names under wh	nich you conduct your advisory bus	siness.
	(2) If you are using this Form ADV to r	egister more than one ir	nvestment adviser under an <i>umbr</i>	rella registration, check this box $\square$
	If you check this box, complete a Schedu	ıle R for each relying advi	iser.	
C.	If this filing is reporting a change in you name change is of  ☐ your legal name or ☐ your primary be		) or primary business name (Item	1.B.(1)), enter the new name and specify whether the
D.	<ul><li>(1) If you are registered with the SEC a</li><li>(2) If you report to the SEC as an exen</li></ul>		,	0433
	(3) If you have one or more Central Inc	dex Key numbers assign	ed by the SEC ("CIK Numbers"), a	all of your CIK numbers:
	1650150			
	1000100			
E.	(1) If you have a number ("CRD Number	er") assigned by the FINI	RA's CRD system or by the IARD s	system, your <i>CRD</i> number: <b>269866</b>
	If your firm does not have a CRD numbe	r, skip this Item 1.E. Do ı	not provide the CRD number of one	e of your officers, employees, or affiliates.
	(2) If you have additional CRD Numbers	s, your additional <i>CRD</i> ni	umbers:	
			No Information Filed	
F.	Principal Office and Place of Business			
	(1) Address (do not use a P.O. Box):			
	Number and Street 1:		Number and Street 2:	
	1875 CENTURY PARK EAST		SUITE 950	710 4/0 110 1
	City: LOS ANGELES	State: California	Country: United States	ZIP+4/Postal Code: 90067
	If this address is a private residence			
	you are applying for registration, or which you are applying for registrati	are registered, with one ion or with whom you are n exempt reporting advise	or more state securities authorities registered. If you are applying for	ess, at which you conduct investment advisory business. If s, you must list all of your offices in the state or states to SEC registration, if you are registered only with the SEC, or es in terms of numbers of employees as of the end of your
	(2) Days of week that you normally co	onduct business at your ,	principal office and place of busines	55:
	Normal business hours at this loca 9AM-5PM	tion:		
	(3) Telephone number at this location 310-278-8232	:		

(4) Facsimile number at this location, if any:

310-278-8267

		ber of offices, other than your <i>p</i> recently completed fiscal year?	rincipal office and place of busi	ness, at which you conduct investment advisory busin	ess as of
G.	Mailing address, if differen	it from your <i>principal office and pi</i>	lace of business address:		
	Number and Street 1:		Number and Street 2:		
	City:	State:	Country:	ZIP+4/Postal Code:	
	If this address is a private	e residence, check this box:			
Н.	If you are a sole proprieto	or, state your full residence addre	ess, if different from your <i>prin</i>	cipal office and place of business address in Item 1.F.:	
	Number and Street 1:		Number and Street 2:		
	City:	State:	Country:	ZIP+4/Postal Code:	
					Yes No
1.	Do you have one or more LinkedIn)?	websites or accounts on publicly	available social media platfo	rms (including, but not limited to, Twitter, Facebook a	and <b>©</b> C
	If a website address serves addresses for all of the other available social media platfo	s as a portal through which to acc er information. You may need to l	ess other information you have ist more than one portal addre e content. Do not provide the in	ublicly available social media platforms on Section 1.I. of published on the web, you may list the portal without iss. Do not provide the addresses of websites or accounts advidual electronic mail (e-mail) addresses of employees	listing s on publicly
J.				re an <i>exempt reporting adviser</i> , you must provide the c	contact
	j	Compliance Officer, if you have of	·	e Item T.K. below.	
	Name:		Other titles, if any:		
	Telephone number:  Number and Street 1:		Facsimile number, if a Number and Street 2:		
	City:	State:	Country:	ZIP+4/Postal Code:	
	City.	State.	Couritry.	ZIF+4/FUSIAI Code.	
	(2) If your Chief Complian	npany Act of 1940 that you advis	ployed by any <i>person</i> other th	an you, a <i>related person</i> or an investment company rence officer services to you, provide the <i>person's</i> name	-
	IRS Employer Identification	n Numher			
	into Employer racinineation	ii Namber.			
K.	•	tact Person: If a person other the may provide that information he	•	cer is authorized to receive information and respond	to questions
	Name:		Titles:		
	Telephone number:		Facsimile number, if a	ny:	
	Number and Street 1:		Number and Street 2:		
	City:	State:	Country:	ZIP+4/Postal Code:	
	Electronic mail (e-mail) a	ddress, if contact person has one	e:		
L.	•	all of the books and records you our <i>principal office and place of bu</i> s	•	Section 204 of the Advisers Act, or similar state law,	Yes No <b>⊙</b> C
	If "yes," complete Section	1.L. of Schedule D.			Yes No
M.	Are you registered with a	foreign financial regulatory author	rity?		0 0
	•	registered with a foreign financial s," complete Section 1.M. of Sched		ou have an affiliate that is registered with a foreign finar	
			15(1) (11 0 :	A 1 5 100 10	Yes No
N.	Are you a public reporting	company under Sections 12 or 1	ιο(α) or the Securities Exchar	ige ACT OF 1934?	0 0
					Yes No
Ο.	•	more in assets on the last day of imate amount of your assets:  a \$10 billion	of your most recent fiscal year	?	0 0

S \$50 billion or more				
	D. only, "assets" refers to your total on the balance sheet for your most re		e on behalf of clients. Determine your total assets	using
P. Provide your <i>Legal Entit</i> y	/ Identifier if you have one:			
A legal entity identifier is identifier.	a unique number that companies u	se to identify each other in the financial	marketplace. You may not have a legal entity	
SECTION 1.B. Other Busine	ss Names			
		you use them. You must complete a sepa	rate Schedule D Section 1.B. for each business	
Name: COLORADO FINANCIA	AL MANAGEMENT, A LIDO COMPANY			
Jurisdictions				
<b>₽</b> AL	<b>☑</b> IL	<b>☑</b> NE	<b>▼</b> sc	
□ AK	<b>☑</b> IN	<b>₽</b> NV	<b>☑</b> SD	
<b>☑</b> AZ	<b>⊠</b> IA	<b>☑</b> NH	₩ TN	
□ AR	<b>⊠</b> KS	W NJ	<b>▼</b> TX	
<b>☑</b> CA	<b>☑</b> KY	₩ NM	₩ UT	
<b>№</b> CO	₩ KY ₩ LA	₩ NY	<b>₽</b> VT	
<b>☑</b> CT	□ ME	<b>☑</b> NC	□ ∨I	
<b>☑</b> DE	<b>☑</b> MD	□ ND	<b>▼</b> VA	
<b>☑</b> DC	<b>☑</b> MA	<b>⊡</b> ОН	<b>☑</b> WA	
<b>☑</b> FL	<b>☑</b> MI	□ ок	□ wv	
<b>☑</b> GA	<b>☑</b> MN	<b>☑</b> OR	<b>₩</b> WI	
□ GU	<b>☑</b> MS	<b>₽</b> PA	<b>☑</b> WY	
<b>⊠</b> ні	<b>☑</b> MO	<b>₽</b> PR	Other:	
<b>☑</b> ID	<b>☑</b> MT	□ RI		
	JL			
List your other business nar	mes and the jurisdictions in which y	ou use them. You must complete a sepa	rate Schedule D Section 1.B. for each business	
name.				
Name: OAKHURST STRATEG	IES, LLC			
Jurisdictions				
<b>☑</b> AL	<b>☑</b> IL	<b>☑</b> NE	<b>☑</b> sc	
□ AK	<b>☑</b> IN	<b>₽</b> NV	<b>☑</b> SD	
<b>☑</b> AZ	<b>☑</b> IA	<b>₽</b> NH	<b>☑</b> TN	
□ AR	<b>₽</b> KS	<b>™</b> NJ	<b>I</b> ▼ TX	
<b>☑</b> CA	<b>⋉</b> KY	<b>№</b> NM	 <b>☑</b> UT	
<b>☑</b> co	<b>☑</b> LA	<b>☑</b> NY	₩ VT	
<b>☑</b> CT	□ ME	<b>☑</b> NC	□ VI	
<b>☑</b> DE	<b>☑</b> MD	□ ND	<b>▽</b> ∨A	
<b>☑</b> DC	<b>☑</b> MA	<b>⊡</b> он	<b>☑</b> WA	
<b>☑</b> FL	<b>⊠</b> MI	□ OK	□ wv	
<b>☑</b> GA	<b>™</b> MN	<b>☑</b> OR	<b>☑</b> WI	
□ GU	<b>☑</b> MS	<b>☑</b> PA	<b>☑</b> WY	
<b>⊠</b> ні	<b>▽</b> MO	<b>₽</b> PR	☐ Other:	
<b>☑</b> ID	<b>☑</b> MT	□ RI		
	IL		IL	

 $_{
m C}$  \$10 billion to less than \$50 billion

	1.F. for each location.	If you are applying for SEC	ess, at which you conduct investment advisory business. registration, if you are registered only with the SEC, or f <i>employees</i> ).
Number and Street 1: 1875 NW CORPORATE BOULEVARD		Number and Street 2: SUITE 110	
City: BOCA RATON	State: Florida	Country: United States	ZIP+4/Postal Code: 33431
If this address is a private residence, check this bo	ox: 🗖		
Telephone Number: 800-301-5436	Facsimile Numbe	er, if any:	
If this office location is also required to be register adviser on the Uniform Branch Office Registration F		•	oranch office location for a broker-dealer or investment umber here:
How many <i>employees</i> perform investment advisory 9	functions from this off	ice location?	
Are other business activities conducted at this office (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable dep (3) Insurance broker or agent (4) Commodity pool operator or commodity trade (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	artment or division of a	a bank)	egistration)
Describe any other investment-related business act	tivities conducted from	this office location:	
	1.F. for each location.	If you are applying for SEC	ess, at which you conduct investment advisory business. registration, if you are registered only with the SEC, or f employees).
Number and Street 1: 4800 HAMPDEN LANE		Number and Street 2: SUITE 200	
City: BETHESDA	State: Maryland	Country: United States	ZIP+4/Postal Code: 20814
If this address is a private residence, check this bo	ox: 🗖		
Telephone Number: 800-301-5436	Facsimile Number, if a	ny:	
If this office location is also required to be register adviser on the Uniform Branch Office Registration F		•	oranch office location for a broker-dealer or investment umber here:
How many <i>employees</i> perform investment advisory 2	functions from this off	ice location?	
Are other business activities conducted at this office (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable dep (3) Insurance broker or agent (4) Commodity pool operator or commodity trades	artment or division of a	a bank)	egistration)
<ul><li>□ (5) Registered municipal advisor</li><li>□ (6) Accountant or accounting firm</li><li>□ (7) Lawyer or law firm</li></ul>			

Describe any other *investment-related* business activities conducted from this office location:

,	1.F. for each locati	on. If you are applying for S	iness, at which you conduct investment advisory business. EC registration, if you are registered only with the SEC, or s of employees).				
Number and Street 1:		Number and Street 2:					
477 MADISON AVENUE		6TH FLOOR					
City: MANHATTAN	State: New York	Country: United States	ZIP+4/Postal Code: 10022				
If this address is a private residence, check this b	ox: 🗖						
Telephone Number: 800-301-5436	·						
If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here:							
How many <i>employees</i> perform investment advisor 7	y functions from this	s office location?					
Are other business activities conducted at this off  (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable de  (3) Insurance broker or agent  (4) Commodity pool operator or commodity tra  (5) Registered municipal advisor  (6) Accountant or accounting firm  (7) Lawyer or law firm	partment or division	of a bank)	n registration)				
Describe any other <i>investment-related</i> business ad	ctivities conducted fr	rom this office location:					
	1.F. for each locati	on. If you are applying for S	iness, at which you conduct investment advisory business. EC registration, if you are registered only with the SEC, or s of employees).				
Number and Street 1: 2121 N. CALIFORNIA BLVD.		Number and Street 2: SUITE 290					
City:	State:	Country:	ZIP+4/Postal Code:				
WALNUT CREEK	California	United States	94596				
If this address is a private residence, check this b	ox:						
Telephone Number: 800-301-5436	Facsimile Number,	if any:					
If this office location is also required to be registe adviser on the Uniform Branch Office Registration		•	a branch office location for a broker-dealer or investment Number here:				
How many <i>employees</i> perform investment advisor 1	y functions from this	s office location?					
Are other business activities conducted at this off  (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable de  (3) Insurance broker or agent  (4) Commodity pool operator or commodity tra  (5) Registered municipal advisor	partment or division	of a bank)	n registration)				

(7) Lawyer or law firm

Describe any other investment-related business activities conducted from this office location:							
	n 1.F. for each location	. If you are applying for S	usiness, at which you conduct investment advisory business. SEC registration, if you are registered only with the SEC ers of <i>employees</i> ).				
Number and Street 1: 3500 LENOX ROAD		Number and Street 2: SUITE 1500					
City: ATLANTA	State: Georgia	Country: United States	ZIP+4/Postal Code: 30326				
If this address is a private residence, check this b	oox: 🗖						
Telephone Number: 800-301-5436	·						
,	If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here:						
How many <i>employees</i> perform investment advisor 5	ry functions from this o	ffice location?					
Are other business activities conducted at this office location? (check all that apply)  (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm							
Describe any other investment-related business a	ctivities conducted fror	m this office location:					
	n 1.F. for each location	. If you are applying for S	usiness, at which you conduct investment advisory busine SEC registration, if you are registered only with the SEC ers of <i>employees</i> ).				
Number and Street 1: 157 CHURCH STREET		Number and Street 19TH FLOOR	et 2:				
3	State: Connecticut	Country: United States	ZIP+4/Postal Code: 06510				
If this address is a private residence, check this b	oox:						
Telephone Number: 800-301-5436	Facsimile Number, if ar	ny:					
If this office location is also required to be registed adviser on the Uniform Branch Office Registration		•	as a branch office location for a broker-dealer or investments of the Number here:	ent			
How many <i>employees</i> perform investment advisor	ry functions from this o	ffice location?					
Are other business activities conducted at this off  (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable de  (3) Insurance broker or agent  (4) Commodity pool operator or commodity tra  (5) Registered municipal advisor	partment or division of	f a bank)	om registration)				

☐ (6) Accountant or accounting firm ☐ (7) Lawyer or law firm			
Describe any other investment-related bus	siness activities conducted fr	rom this office location:	
,	Section 1.F. for each locati	on. If you are applying for SEC re	, at which you conduct investment advisory business. egistration, if you are registered only with the SEC, or employees).
Number and Street 1: 421 FAYETTEVILLE STREET		Number and Street 2: SUITE 1100	
City: RALEIGH	State: North Carolina	Country: United States	ZIP+4/Postal Code: 27601
If this address is a private residence, chec	k this box:		
Telephone Number: 800-301-5436	Facsimile Number, if a	ny:	
If this office location is also required to be adviser on the Uniform Branch Office Regis	•		anch office location for a broker-dealer or investment ober here:
How many <i>employees</i> perform investment 1	advisory functions from this	s office location?	
Are other business activities conducted at (1) Broker-dealer (registered or unregistic (2) Bank (including a separately identific (3) Insurance broker or agent (4) Commodity pool operator or commod (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	stered) lable department or division odity trading advisor (wheth	of a bank) er registered or exempt from reg	istration)
,	Section 1.F. for each locati	on. If you are applying for SEC re	, at which you conduct investment advisory business. egistration, if you are registered only with the SEC, or employees).
Number and Street 1: 231 PUBLIC SQUARE		Number and Street 2: SUITE 300	
City: FRANKLIN	State: Tennessee	Country: United States	ZIP+4/Postal Code: 37064
If this address is a private residence, chec	k this box:		
Telephone Number: 800-301-5436	Facsimile Number, it	f any:	
If this office location is also required to be adviser on the Uniform Branch Office Regis	•		anch office location for a broker-dealer or investment aber here:
How many <i>employees</i> perform investment 1	advisory functions from this	s office location?	
Are other business activities conducted at (1) Broker-dealer (registered or unregistall (2) Bank (including a separately identification (3) Insurance broker or agent	stered)		

	infloanty trading advisor (wi	lettler registered or exempt in	on registration)
(5) Registered municipal advisor			
(6) Accountant or accounting firm			
☐ (7) Lawyer or law firm			
Describe any other <i>investment-related</i>	business activities conducto	ed from this office location:	
	•		usiness, at which you conduct investment advisory business.
You must complete a separate Schedu if you are an <i>exempt reporting adviser</i> ,			SEC registration, if you are registered only with the SEC, or ers of <i>employees</i> ).
Number and Street 1:		Number and Street 2:	
20 N. CLARK STREET		SUITE 1250	
City:	State:	Country:	ZIP+4/Postal Code:
CHICAGO	Illinois	United States	60602
If this address is a private residence, o	check this box:		
Telephone Number:	Facsimile Nu	mber, if any:	
800-301-5436	i aesiiiiie iva	imbor, ir arry.	
•	•		as a branch office location for a broker-dealer or investment
adviser on the Uniform Branch Office R	registration Form (Form BR)	i, please provide the <i>CRD</i> Brand	ch Number nere:
How many <i>employees</i> perform investm 13	ent advisory functions from	this office location?	
Are other business activities conducted	d at this office location? (ch	eck all that apply)	
$\square$ (1) Broker-dealer (registered or uni	•	2011 2011 2012 2012 2017	
$\square$ (2) Bank (including a separately ide	· ·	sion of a hank)	
(2) Bank (including a separately lide	intiliable department of divi	sion of a banky	
(4) Commodity pool operator or cor	amadity trading advisor (wh	nother registered or exempt fr	om registration)
• • • • • • • • • • • • • • • • • • • •	ninodity trading advisor (wi	lether registered or exempt in	om registration)
(5) Registered municipal advisor			
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i>	business activities conductor	ed from this office location:	
	le D Section 1.F. for each lo	ocation. If you are applying for	usiness, at which you conduct investment advisory business. SEC registration, if you are registered only with the SEC, or ers of <i>employees</i> ).
Number and Street 1:		Number and Street 2:	
2101 CEDAR SPRINGS ROAD		SUITE 650	
City:	State:	Country:	ZIP+4/Postal Code:
DALLAS	Texas	United States	75201
If this address is a private residence, o	check this box:		
Telephone Number: 800-301-5436	Facsimile Nu	mber, if any:	
If this office location is also required to adviser on the Uniform Branch Office R	•		as a branch office location for a broker-dealer or investment ch Number here:
How many <i>employees</i> perform investm 15	ent advisory functions from	this office location?	
Are other business activities conducted	d at this office location? (ch	eck all that apply)	

 $\square$  (1) Broker-dealer (registered or unregistered)

$\square$ (2) Bank (including a separately identifiable depa	rtment or division	of a bank)	
$\square$ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trading	ng advisor (wheth	er registered or exempt from	om registration)
(5) Registered municipal advisor			
$\square$ (6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other investment-related business activ	vities conducted fr	rom this office location:	
,	I.F. for each locati	on. If you are applying for	usiness, at which you conduct investment advisory business. SEC registration, if you are registered only with the SEC, or ers of employees).
Number and Street 1: 7047 EAST GREENWAY PARKWAY		Number and Street SUITE 250	2:
City:	State:	Country:	ZIP+4/Postal Code:
SCOTTSDALE	Arizona	United States	85254
If this address is a private residence, check this box	c: 🗖		
Telephone Number: 800-301-5436	Facsimile Numl	ber, if any:	
If this office location is also required to be registere adviser on the Uniform Branch Office Registration Fo		•	as a branch office location for a broker-dealer or investment ch Number here:
How many <i>employees</i> perform investment advisory f	functions from this	s office location?	
Are other business activities conducted at this office (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable depart (3) Insurance broker or agent (4) Commodity pool operator or commodity tradin (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	ortment or division	of a bank) er registered or exempt fro	om registration)
	I.F. for each locati	on. If you are applying for	usiness, at which you conduct investment advisory business. SEC registration, if you are registered only with the SEC, or ers of employees).
Number and Street 1: 111 N ORANGE AVENUE		Number and Street 2: 8TH FLOOR	
City: ORLANDO	State: Florida	Country: United States	ZIP+4/Postal Code: 32801
If this address is a private residence, check this box	c: 🗖		
Telephone Number: 800-301-5436	Facsimile Numbe	er, if any:	
If this office location is also required to be registere adviser on the Uniform Branch Office Registration Fo		•	as a branch office location for a broker-dealer or investment ch Number here:
How many amplayees perform investment advisory t	functions from this	s office location?	

Are other business activities conducted a	t this office location? (ch	eck all that apply)		
(1) Broker-dealer (registered or unreg	istered)			
(2) Bank (including a separately identi	fiable department or divi	sion of a bank)		
(3) Insurance broker or agent				
(4) Commodity pool operator or comm	nodity trading advisor (wl	nether registered or exempt fron	n registration)	
(5) Registered municipal advisor				
(6) Accountant or accounting firm				
(7) Lawyer or law firm				
Describe any other <i>investment-related</i> bu	isiness activities conduct	ed from this office location:		
	D Section 1.F. for each lo	ocation. If you are applying for S	siness, at which you conduct investment ac SEC registration, if you are registered only s of <i>employees</i> ).	•
Number and Street 1:		Number and Street 2	2:	
3001 N ROCKY POINT DRIVE EAST		SUITE 200		
City: ГАМРА	State: Florida	Country: United States	ZIP+4/Postal Code: 33607	
f this address is a private residence, che	eck this box:			
Гelephone Number: 300-301-5436	Facsimile	e Number, if any:		
f this office location is also required to be adviser on the Uniform Branch Office Reg How many <i>employees</i> perform investmen	istration Form (Form BR)	, please provide the <i>CRD</i> Branch	a branch office location for a broker-deale Number here:	r or investment
Are other business activities conducted a	t this office location? (ch	eck all that apply)		
(1) Broker-dealer (registered or unreg	•	ook all that apply)		
(2) Bank (including a separately identi		sion of a hank)		
(3) Insurance broker or agent	nable department of divi	Sion of a banky		
(4) Commodity pool operator or comm	oodity trading advisor (w	nother registered or event from	m rogistration)	
(5) Registered municipal advisor	louity trading advisor (wi	lettier registered of exempt from	n registration)	
(6) Accountant or accounting firm				
(7) Lawyer or law firm				
(/) Lawyer or law mim				
Describe any other <i>investment-related</i> bu	ısiness activities conduct	ed from this office location:		
	D Section 1.F. for each lo	ocation. If you are applying for S	ciness, at which you conduct investment action in the second second conduct investment action. If you are registered only sof employees).	-
Number and Street 1: 136 E SOUTH TEMPLE		Number and Street 2: SUITE 1400		
City:	State:	Country:	ZIP+4/Postal Code:	
SALT LAKE CITY	Utah	United States	84111	
f this address is a private residence, che	eck this box:			
Telephone Number: 300-301-5436	Facsimile Nu	mber, if any:		
f this office location is also required to badviser on the Uniform Branch Office Reg	•	-	a branch office location for a broker-deale Number here:	er or investment

How many *employees* perform investment advisory functions from this office location?

Are other business activities conducted at this of	fice location? (ch	eck all that apply)		
(1) Broker-dealer (registered or unregistered)	·	11.37		
(2) Bank (including a separately identifiable de	epartment or divi	sion of a bank)		
(3) Insurance broker or agent	•			
(4) Commodity pool operator or commodity tra	ading advisor (wh	nether registered or exempt fro	om registration)	
(5) Registered municipal advisor	, ,	·	<i>,</i>	
(6) Accountant or accounting firm				
(7) Lawyer or law firm				
= ( ) Langer or lan				
Describe any other <i>investment-related</i> business a	ctivities conducte	ed from this office location:		
Complete the following information for each office you must complete a separate Schedule D Section for you are an exempt reporting adviser, list only the	n 1.F. for each lo	ocation. If you are applying for	SEC registration, if you are registered only with	•
Number and Street 1:		Number and Street 2:		
1980 POST OAK BLVD.		SUITE 100		
City:	State:	Country:	ZIP+4/Postal Code:	
HOUSTON	Texas	United States	77056	
f this address is a private residence, check this b	oox:			
Гelephone Number:	Facsimile Nu	mber, if any:		
800-301-5436	r desirinie rva	mber, ir driy.		
How many employees perform investment advisor  Are other business activities conducted at this off  (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable dealer)  (3) Insurance broker or agent  (4) Commodity pool operator or commodity transport  (5) Registered municipal advisor  (6) Accountant or accounting firm  (7) Lawyer or law firm	fice location? (che partment or divi	eck all that apply) sion of a bank) nether registered or exempt fro	om registration)	
Complete the following information for each office you must complete a separate Schedule D Section f you are an exempt reporting adviser, list only the	n 1.F. for each lo	ocation. If you are applying for	SEC registration, if you are registered only with	•
Number and Street 1: 3655 NOBEL DRIVE		Number and Street 2 SUITE 630		
City:	State:	Country:	ZIP+4/Postal Code:	
SAN DIEGO	California	United States	92122	
f this address is a private residence, check this b	рох: 🗖			
Геlephone Number: 300-301-5436	Facsimile Numb	per, if any:		

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many <i>employees</i> perform investing 16	ment advisory functions from th	is office location?		
Are other business activities conducted (1) Broker-dealer (registered or under (2) Bank (including a separately ide (3) Insurance broker or agent (4) Commodity pool operator or condition (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	nregistered) entifiable department or divisio	on of a bank)	registration)	
Describe any other investment-relate	d business activities conducted	from this office location:		
	ule D Section 1.F. for each loca	tion. If you are applying for SE	ness, at which you conduct investment advisory business. C registration, if you are registered only with the SEC, or of employees).	
Number and Street 1: 4840 PEARL EAST CIRCLE		Number and Street 2: SUITE 300E		
City: BOULDER	State: Colorado	Country: United States	ZIP+4/Postal Code: 80301	
If this address is a private residence,	check this box:			
Telephone Number: 800-301-5436	Facsimile Numbe	r, if any:		
If this office location is also required adviser on the Uniform Branch Office	_	_	branch office location for a broker-dealer or investment Number here:	
How many <i>employees</i> perform investr	ment advisory functions from th	nis office location?		
Are other business activities conducted (1) Broker-dealer (registered or und (2) Bank (including a separately id (3) Insurance broker or agent (4) Commodity pool operator or cond (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	nregistered) entifiable department or divisio	on of a bank)	registration)	
Describe any other investment-relate	d business activities conducted	from this office location:		
				_
	ule D Section 1.F. for each loca	tion. If you are applying for SE	ness, at which you conduct investment advisory business. C registration, if you are registered only with the SEC, or of <i>employees</i> ).	
Number and Street 1: 255 S KING STREET		Number and Street 2 SUITE 800	2:	
City: SEATTLE	State: Washington	Country: United States	ZIP+4/Postal Code: 98104	
If this address is a private residence,	check this box:			
Telephone Number:	Facsimile Number,	if any:		

800-301-5436

If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here:						
How many <i>employees</i> perform investment advisory functions from this office location?  1						
Are other business activities conducted at this office (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable deposition (3) Insurance broker or agent (4) Commodity pool operator or commodity trace (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	partment or division of a	a bank) egistered or exempt from r	egistration)			
	1.F. for each location.	If you are applying for SEC	ess, at which you conduct investment advisory business. cregistration, if you are registered only with the SEC, or femployees).			
Number and Street 1: 315 MONTGOMERY STREET		Number and Street 2: SUITE 905				
City: SAN FRANCISCO	State: California	Country: United States	ZIP+4/Postal Code: 94104			
If this address is a private residence, check this b	ox: 🗖					
Telephone Number: 800-301-5436	Facsimile Number, if a	ny:				
If this office location is also required to be registe adviser on the Uniform Branch Office Registration		•	branch office location for a broker-dealer or investment umber here:			
How many <i>employees</i> perform investment advisory	y functions from this off	fice location?				
Are other business activities conducted at this office location? (check all that apply)  (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm						
Describe any other investment-related business ac	ctivities conducted from	this office location:				
·	1.F. for each location.	If you are applying for SEC	ess, at which you conduct investment advisory business. Fregistration, if you are registered only with the SEC, or of employees).			
Number and Street 1: 4695 MACARTHUR COURT		Number and Street 2: 11TH FLOOR				
City: NEWPORT BEACH	State: California	Country: United States	ZIP+4/Postal Code: 92660			
If this address is a private residence, check this b	ox: 🗖					

Telephone Number: 800-301-5436	Facsimile Number,	if any:	
If this office location is also required to be registed adviser on the Uniform Branch Office Registration		· ·	branch office location for a broker-dealer or investment lumber here:
How many <i>employees</i> perform investment advisor	ry functions from thi	s office location?	
Are other business activities conducted at this of (1) Broker-dealer (registered or unregistered)	·	all that apply)	
(2) Bank (including a separately identifiable de		n of a bank)	
(3) Insurance broker or agent	•	,	
$\square$ (4) Commodity pool operator or commodity tra	ading advisor (wheth	ner registered or exempt from	registration)
$\square$ (5) Registered municipal advisor			
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other investment-related business a	activities conducted f	rom this office location:	
	on 1.F. for each locat	ion. If you are applying for SE	ess, at which you conduct investment advisory business. C registration, if you are registered only with the SEC, or of employees).
Number and Street 1: 7 WEST FIGUEROA STREET		Number and Street 2: SUITE 200	
City:	State:	Country:	ZIP+4/Postal Code:
SANTA BARBARA	California	United States	93101
If this address is a private residence, check this l	box: 🗖		
Telephone Number: 800-301-5436	Facsimile Number,	if any:	
If this office location is also required to be registed adviser on the Uniform Branch Office Registration		-	branch office location for a broker-dealer or investment lumber here:
How many <i>employees</i> perform investment advisor	ry functions from thi	s office location?	
Are other business activities conducted at this of (1) Broker-dealer (registered or unregistered)			
$\square$ (2) Bank (including a separately identifiable de		all that apply)	
		.,	
$\square$ (3) Insurance broker or agent		.,	
(4) Commodity pool operator or commodity tra	epartment or division	n of a bank)	registration)
	epartment or division	n of a bank)	registration)
(4) Commodity pool operator or commodity tra (5) Registered municipal advisor (6) Accountant or accounting firm	epartment or division	n of a bank)	registration)
(4) Commodity pool operator or commodity tra	epartment or division	n of a bank)	registration)
(4) Commodity pool operator or commodity tra (5) Registered municipal advisor (6) Accountant or accounting firm	epartment or division ading advisor (wheth	n of a bank) ner registered or exempt from	registration)
(4) Commodity pool operator or commodity tra (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm  Describe any other <i>investment-related</i> business a	epartment or division ading advisor (wheth	n of a bank)  ner registered or exempt from  from this office location:	
(4) Commodity pool operator or commodity tra (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm  Describe any other <i>investment-related</i> business a	epartment or division ading advisor (wheth activities conducted for each located for 1.F. for each located for the	n of a bank)  ner registered or exempt from  from this office location:  rincipal office and place of busing ion. If you are applying for SE	ess, at which you conduct investment advisory business. C registration, if you are registered only with the SEC, or
(4) Commodity pool operator or commodity tra (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm  Describe any other <i>investment-related</i> business a	epartment or division ading advisor (wheth activities conducted for each located for 1.F. for each located for the	n of a bank)  ner registered or exempt from  from this office location:  rincipal office and place of busing ion. If you are applying for SE	ess, at which you conduct investment advisory business. C registration, if you are registered only with the SEC, or

Telephone Number: 800-301-5436	Facsimile Number, if	any:		
If this office location is also required adviser on the Uniform Branch Office	•	_	nch office location for a broker-dealer or investr ber here:	ment
How many <i>employees</i> perform inves 1	tment advisory functions from this o	office location?		
Are other business activities conduct (1) Broker-dealer (registered or utility) (2) Bank (including a separately itility) (3) Insurance broker or agent (4) Commodity pool operator or of (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	unregistered) dentifiable department or division of commodity trading advisor (whether	f a bank) registered or exempt from regi	stration)	
	dule D Section 1.F. for each location	n. If you are applying for SEC re	at which you conduct investment advisory busi gistration, if you are registered only with the SE mployees).	
Number and Street 1: 75 STATE STREET		Number and Street 2: 1ST FLOOR		
City: BOSTON	State: Massachusetts	Country: United States	ZIP+4/Postal Code: 02109	
If this address is a private residence	e, check this box:			
Telephone Number: 800-301-5436	Facsimile Number, if any	:		
If this office location is also required adviser on the Uniform Branch Office	•	_	nch office location for a broker-dealer or investr ber here:	ment
How many <i>employees</i> perform inves 1	tment advisory functions from this c	office location?		
Are other business activities conducted (1) Broker-dealer (registered or used) (2) Bank (including a separately ised) (3) Insurance broker or agented (4) Commodity pool operator or osed) (5) Registered municipal advisored) (6) Accountant or accounting firm (7) Lawyer or law firm	unregistered)  dentifiable department or division of  commodity trading advisor (whether	f a bank)	stration)	
Describe any other investment-relat	ed business activities conducted fror	m this office location:		
	· .	•	at which you conduct investment advisory busi	
You must complete a separate Scheif you are an exempt reporting advise			gistration, if you are registered only with the SE <i>mployees</i> ).	iC, or
Number and Street 1:		Number and Street 2:		

SUITE 1200

ZIP+4/Postal Code:

Country:

State:

If this address is a private residence, check this box:  $\Box$ 

6000 FAIRVIEW ROAD

City:

CHARLOTTE	North Carolina	United States	28210
If this address is a private residence, check the	nis box:		
Telephone Number: 800-301-5436	Facsimile Number, if any:		
If this office location is also required to be required adviser on the Uniform Branch Office Registra		-	
How many <i>employees</i> perform investment adv	visory functions from this office loc	eation?	
Are other business activities conducted at this (1) Broker-dealer (registered or unregister (2) Bank (including a separately identifiable (3) Insurance broker or agent (4) Commodity pool operator or commodity (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm	ed) e department or division of a bank trading advisor (whether register	red or exempt from registration)	
Complete the following information for each of You must complete a separate Schedule D Se if you are an exempt reporting adviser, list only	ction 1.F. for each location. If you	are applying for SEC registratio	n, if you are registered only with the SEC, or
Number and Street 1: 110 TRADERS CROSS		Number and Street 2: 1ST FLOOR	
City: BLUFFTON	State: South Carolina	Country: United States	ZIP+4/Postal Code: 29909
If this address is a private residence, check the	nis box:		
Telephone Number: 800-301-5436	Facsimile Number, if any:		
If this office location is also required to be required adviser on the Uniform Branch Office Registra		•	
How many <i>employees</i> perform investment adv	visory functions from this office loc	ation?	
Are other business activities conducted at this \( \bigcirc (1) \) Broker-dealer (registered or unregister \( \bigcirc (2) \) Bank (including a separately identifiable \( \bigcirc (3) \) Insurance broker or agent \( \bigcirc (4) \) Commodity pool operator or commodity \( \bigcirc (5) \) Registered municipal advisor \( \bigcirc (6) \) Accountant or accounting firm \( \bigcirc (7) \) Lawyer or law firm	ed) e department or division of a bank		
Describe any other <i>investment-related</i> busine	ss activities conducted from this o	ffice location:	
Complete the following information for each of You must complete a separate Schedule D Se if you are an exempt reporting adviser, list only	ction 1.F. for each location. If you	are applying for SEC registratio	n, if you are registered only with the SEC, or

Number and Street 1: Number and Street 2:

26 GARDEN CENTER		SUITE IF		
City: BROOMFIELD	State: Colorado	Country: United States	ZIP+4/Postal Code: 80020	
f this address is a private residence, ch	eck this box:			
Felephone Number: 3003015436	Facsimile Numbe	er, if any:		
f this office location is also required to badviser on the Uniform Branch Office Req	•	•	a branch office location for a broker-dealer or invest Number here:	tment
How many <i>employees</i> perform investmer	nt advisory functions from t	his office location?		
Are other business activities conducted a		ck all that apply)		
(2) Bank (including a separately ident	,	on of a bank)		
(3) Insurance broker or agent				
(4) Commodity pool operator or comn	nodity trading advisor (whe	ther registered or exempt from	n registration)	
(5) Registered municipal advisor				
(6) Accountant or accounting firm				
(7) Lawyer or law firm				
Describe any other <i>investment-related</i> b	usiness activities conducted	from this office location:		
	D Section 1.F. for each local	ation. If you are applying for S	iness, at which you conduct investment advisory bus EC registration, if you are registered only with the S s of <i>employees</i> ).	
Number and Street 1: 331 NEWMAN SPRINGS ROAD		Number and Street 2: ONE RIVER CENTER BLDG	G 3, SUITE 213	
City: RED BANK	State: New Jersey	Country: United States	ZIP+4/Postal Code: 07701	
f this address is a private residence, ch	eck this box:			
Telephone Number: 800-301-5436	Facsimile Number, if	any:		
f this office location is also required to be adviser on the Uniform Branch Office Req	•		a branch office location for a broker-dealer or invest Number here:	tment
How many <i>employees</i> perform investmer 2	nt advisory functions from t	his office location?		
Are other business activities conducted a		ck all that apply)		
(2) Bank (including a separately ident	-	on of a bank)		
(3) Insurance broker or agent	nodity trading advises (c.)	ther registered as august f	a registration)	
(4) Commodity pool operator or comn (5) Registered municipal advisor	nounty trading advisor (whe	ther registered or exempt from	rregistration)	
(6) Accountant or accounting firm				
(7) Lawyer or law firm				
Describe any other <i>investment-related</i> be	usiness activities conducted	I from this office location:		

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1: 4848 THOMPSON PKWY		Number and Street 2 SUITE 320	2:
City:	State:	Country:	ZIP+4/Postal Code:
JOHNSTOWN	Colorado	United States	80534
If this address is a private residence, check this bo	ox:		
Telephone Number: 8003015436	Facsimile Number, if a	any:	
If this office location is also required to be register adviser on the Uniform Branch Office Registration I		•	as a branch office location for a broker-dealer or investment ach Number here:
How many <i>employees</i> perform investment advisory	functions from this of	fice location?	
Are other business activities conducted at this office.	ce location? (check all	that apply)	
(1) Broker-dealer (registered or unregistered)			
lacksquare (2) Bank (including a separately identifiable dep	partment or division of	a bank)	
$\square$ (3) Insurance broker or agent			
$\square$ (4) Commodity pool operator or commodity trac	ding advisor (whether r	registered or exempt fr	rom registration)
(5) Registered municipal advisor			
(6) Accountant or accounting firm			
(7) Lawyer or law firm			
Describe any other <i>investment-related</i> business ac	tivities conducted from	this office location:	
	1.F. for each location.	If you are applying for	ousiness, at which you conduct investment advisory business. r SEC registration, if you are registered only with the SEC, or pers of employees).
Number and Street 1:		Number and Street 2	2:
14301 FNB PARKWAY		SUITE 100	
City: OMAHA	State: Nebraska	Country: United States	ZIP+4/Postal Code: 68154
If this address is a private residence, check this bo	ox:		
Telephone Number: 800-301-5436	Facsimile Number, if a	ny:	
If this office location is also required to be register adviser on the Uniform Branch Office Registration I		•	as a branch office location for a broker-dealer or investment nch Number here:
How many <i>employees</i> perform investment advisory 0	functions from this of	fice location?	
Are other business activities conducted at this office $\Box$ (1) Broker-dealer (registered or unregistered)	ce location? (check all	that apply)	
<ul><li>(2) Bank (including a separately identifiable dep</li><li>(3) Insurance broker or agent</li></ul>	partment or division of	a bank)	
(4) Commodity pool operator or commodity trace	ding advisor (whether r	registered or exempt fr	rom registration)
(5) Registered municipal advisor	ang advisor (Wilettiel I	egistered of exempt II	om registration,
☐ (6) Accountant or accounting firm ☐ (7) Lawyer or law firm			
Describe any other <i>investment-related</i> business ac	tivities conducted from	this office location	
Describe any other investment-related pushless de	TIVITIES CONQUETED ITOTAL	i ans office location.	

City:		Number and Street 2: SUITE 101	
BROOKFIELD	State: Wisconsin	Country: United States	ZIP+4/Postal Code: 53005
If this address is a private residence, check this	box:		
Telephone Number: 800-301-5436	Facsimile Number,	if any:	
If this office location is also required to be regist adviser on the Uniform Branch Office Registration		-	ranch office location for a broker-dealer or investmer Imber here:
How many <i>employees</i> perform investment adviso 0	ory functions from thi	is office location?	
Are other business activities conducted at this of		all that apply)	
$\square$ (1) Broker-dealer (registered or unregistered) $\square$ (2) Bank (including a separately identifiable d		n of a bank)	
(3) Insurance broker or agent			
(4) Commodity pool operator or commodity tr	rading advisor (wheth	ner registered or exempt from re	egistration)
<ul><li>□ (5) Registered municipal advisor</li><li>□ (6) Accountant or accounting firm</li></ul>			
(c) Lawyer or law firm			
Describe any other <i>investment-related</i> business	activities conducted f	from this office location:	
	on 1.F. for each locat	tion. If you are applying for SEC	es, at which you conduct investment advisory busines registration, if you are registered only with the SEC, is a replaced.
			employees).
Number and Street 1: 15 NORTH MAIN STREET		Number and Street 2: SUITE 100	employees).
	State: Connecticut		ZIP+4/Postal Code: 06107
15 NORTH MAIN STREET City:	Connecticut	SUITE 100 Country:	ZIP+4/Postal Code:
15 NORTH MAIN STREET City: WEST HARTFORD	Connecticut	SUITE 100 Country: United States	ZIP+4/Postal Code:
15 NORTH MAIN STREET City: WEST HARTFORD  If this address is a private residence, check this Telephone Number: 800-301-5436	Connecticut  box:   Facsimile Number, intered with FINRA or a	SUITE 100 Country: United States  f any:  a state securities authority as a b	ZIP+4/Postal Code: 06107 oranch office location for a broker-dealer or investmer
15 NORTH MAIN STREET City: WEST HARTFORD  If this address is a private residence, check this Telephone Number: 800-301-5436  If this office location is also required to be regist adviser on the Uniform Branch Office Registration. How many <i>employees</i> perform investment advisor	Connecticut  box:   Facsimile Number, intered with FINRA or an	SUITE 100 Country: United States  f any:  a state securities authority as a beliese provide the CRD Branch Nu	ZIP+4/Postal Code: 06107 oranch office location for a broker-dealer or investmer
City: WEST HARTFORD  If this address is a private residence, check this Telephone Number: 800-301-5436  If this office location is also required to be regist adviser on the Uniform Branch Office Registration How many <i>employees</i> perform investment advises 0	Connecticut  box:   Facsimile Number, intered with FINRA or an Form (Form BR), plants from this properties of the control of t	SUITE 100 Country: United States  f any:  a state securities authority as a blease provide the CRD Branch Nuits office location?	ZIP+4/Postal Code: 06107 oranch office location for a broker-dealer or investmen
City: WEST HARTFORD  If this address is a private residence, check this Telephone Number: 800-301-5436  If this office location is also required to be regist adviser on the Uniform Branch Office Registration How many <i>employees</i> perform investment advise 0  Are other business activities conducted at this office (1) Broker-dealer (registered or unregistered)	Connecticut  box:   Facsimile Number, intered with FINRA or an Form (Form BR), plants from this pry functions from this organization? (checks)	SUITE 100 Country: United States  f any:  a state securities authority as a blease provide the CRD Branch Nuits office location?	ZIP+4/Postal Code: 06107 oranch office location for a broker-dealer or investmen
City: WEST HARTFORD  If this address is a private residence, check this Telephone Number: 800-301-5436  If this office location is also required to be regist adviser on the Uniform Branch Office Registration How many <i>employees</i> perform investment advises  O  Are other business activities conducted at this or  (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable definition)	Connecticut  box:   Facsimile Number, intered with FINRA or an Form (Form BR), plants from this pry functions from this organization? (checks)	SUITE 100 Country: United States  f any:  a state securities authority as a blease provide the CRD Branch Nuits office location?	ZIP+4/Postal Code: 06107 oranch office location for a broker-dealer or investmen
City: WEST HARTFORD  If this address is a private residence, check this Telephone Number: 800-301-5436  If this office location is also required to be regist adviser on the Uniform Branch Office Registration How many <i>employees</i> perform investment advise 0  Are other business activities conducted at this of  (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable d) (3) Insurance broker or agent	box:  Facsimile Number, intered with FINRA or an Form (Form BR), plants from this organization? (check b) separtment or division	SUITE 100 Country: United States  f any:  a state securities authority as a belease provide the CRD Branch Nuits office location?  a all that apply)  n of a bank)	ZIP+4/Postal Code: 06107  wranch office location for a broker-dealer or investmental imber here:
15 NORTH MAIN STREET City: WEST HARTFORD  If this address is a private residence, check this Telephone Number: 800-301-5436  If this office location is also required to be regist	box:  Facsimile Number, intered with FINRA or an Form (Form BR), plants from this organization? (check b) separtment or division	SUITE 100 Country: United States  f any:  a state securities authority as a belease provide the CRD Branch Nuits office location?  a all that apply)  n of a bank)	ZIP+4/Postal Code: 06107  wranch office location for a broker-dealer or investment imber here:

	n 1.F. for each location.	If you are applying for S	siness, at which you conduct investment advisory business. SEC registration, if you are registered only with the SEC, or rs of employees).
Number and Street 1: 3655 NOBEL DRIVE		Number and Street 2: SUITE 240	
City:	State:	Country:	ZIP+4/Postal Code:
SAN DIEGO	California	United States	92122
If this address is a private residence, check this k	oox:		
Telephone Number: 858-485-0404	Facsimile Number, if ar	ny:	
If this office location is also required to be registed adviser on the Uniform Branch Office Registration		•	s a branch office location for a broker-dealer or investment h Number here:
How many <i>employees</i> perform investment advisor 4	ry functions from this off	ice location?	
Are other business activities conducted at this off  (1) Broker-dealer (registered or unregistered)  (2) Bank (including a separately identifiable de  (3) Insurance broker or agent  (4) Commodity pool operator or commodity tra  (5) Registered municipal advisor  (6) Accountant or accounting firm  (7) Lawyer or law firm	epartment or division of a	a bank) egistered or exempt fro	m registration)
Describe any other <i>investment-related</i> business a	ctivities conducted from	this office location:	
SECTION 1.1. Website Addresses			
· · ·			platforms where you control the content (including, but not n 1.1. for each website or account on a publicly available
Address of Website/Account on Publicly Available	Social Media Platform:	HTTP://WWW.LIDOAD\	/ISORS.COM
Address of Website/Account on Publicly Available	Social Media Platform:	HTTP://WWW.LINKEDI	N.COM/COMPANY/126294
SECTION 1.L. Location of Books and Records			
Complete the following information for each local must complete a separate Schedule D, Section 1.		our books and records,	other than your principal office and place of business. You
Name of entity where books and records are kep SALESFORCE	rt:		
Number and Street 1: 415 MISSION STREET		Number and Street 2 3RD FLOOR	; :
City: SAN FRANCISCO	State: California	Country: United States	ZIP+4/Postal Code: 94105
If this address is a private residence, check this b	оох:		
Telephone Number: 1-800-667-6389	Facsimile number, if a	ny:	

This is (check one):				
one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at CUSTOMER RELATIONSHIP MANAGEMENT - CLII				
Name of entity where books and records are k LASERFICHE	kept:			
Number and Street 1: 3545 LONG BEACH BOULEVARD		Number and Street 2:		
City:	State:	Country:	ZIP+4/Postal Code:	
LONG BEACH	California	United States	90807	
If this address is a private residence, check the	is box:			
Telephone Number: 562-988-1688	Facsimile number	r, if any:		
This is (check one):  O one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
other.				
Briefly describe the books and records kept at ORGANIZE AND FILE OF ALL COMPANY DOCUM				
Name of entity where books and records are k MICROSOFT	kept:			
Number and Street 1: ONE MICROSOFT WAY		Number and Street 2:		
City:	State:	Country:	ZIP+4/Postal Code:	
REDMOND	Washington	United States	98052	
If this address is a private residence, check the	is box:			
Telephone Number: 425-882-8080	Facsimile number,	if any:		
This is (check one):  O one of your branch offices or affiliates.				
o a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at CLOUD STORAGE, EMAIL MESSAGING	this location.			
Name of entity where books and records are k	kept:			
Number and Street 1: 55 BROADWAY		Number and Street 2: 25TH FLOOR		
City: NEW YORK	State: New York	Country: United States	ZIP+4/Postal Code: 10006	

Telephone Number: +49 711 17 0	Facsimile number, if a	ny:	
This is (check one):  O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
o other.			
Briefly describe the books and records kept at this CLOUD STORAGE	location.		
Name of entity where books and records are kept: LIDO ADVISORS			
Number and Street 1: 315 MONTGOMERY STREET		Number and Street 2: SUITE 905	
City: SAN FRANCISCO	State: California	Country: United States	ZIP+4/Postal Code: 94104
If this address is a private residence, check this bo	ox:		
Telephone Number: 800-301-5436	Facsimile number, if a	ny:	
This is (check one):  one of your branch offices or affiliates.			
$_{ m C}$ a third-party unaffiliated recordkeeper.			
C other.			
Briefly describe the books and records kept at this CLIENT FILES	location.		
Name of entity where books and records are kept: ADDEPAR			
Number and Street 1: 303 BRYANT STREET		Number and Street 2:	
City: MOUNTAIN VIEW	State: California	Country: United States	ZIP+4/Postal Code: 94041
If this address is a private residence, check this bo	ox:		
Telephone Number: 855-464-6268	Facsimile number, if ar	ny:	
This is (check one):  O one of your branch offices or affiliates.			
a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at this WEALTH MANAGEMENT PLATFORM - DATA AGGREGA		PERFORMANCE REPORTING	
Name of entity where books and records are kept: GLOBAL RELAY			

Number and Street 2:

If this address is a private residence, check this box:

Number and Street 1:

222 C	AME	BIES	STREET		2ND FLOOR	
City: VANC	ΟU\	/ER		State:	Country: Canada	ZIP+4/Postal Code: V6B 2<0
If this	ad	dres	s is a private residence, check this box:			
Telep			umber: 0	Facsimile number, i 604-608-2941	f any:	
			one): ur branch offices or affiliates.			
<b>⊙</b> a '	third	d-pa	rty unaffiliated recordkeeper.			
o ot	her.	•				
•	•		be the books and records kept at this locat STORAGE OF EMAILS AND LINKED IN POST			
SECTIO	ON.	1.M.	Registration with Foreign Financial Regi	ulatory Authorities		
				-	manting Filed	
				NO INTOR	mation Filed	
			egistration/Reporting			
SEC re	gist	tratio			-	ne SEC. Complete this Item 2.A. only if you are applying for re filing an <i>umbrella registration</i> , the information in Item 2
<i>aı</i> pr	ovi	al up des	•	and you are no long	ger eligible to regis	2.A.(1) through 2.A.(12), below. If you are submitting an ster with the SEC, check Item 2.A.(13). Part 1A Instruction 2 n of these items.
F	·	(1)	are a large advisory firm that either:			
			(a) has regulatory assets under management	ent of \$100 million (i	n U.S. dollars) or r	more; or
			(b) has regulatory assets under management and is registered with the		U.S. dollars) or m	ore at the time of filing its most recent annual updating
	Ī (	(2)	are a <b>mid-sized advisory firm</b> that has re (in U.S. dollars) and you are either:	gulatory assets und	er management of	\$25 million (in U.S. dollars) or more but less than \$100 million
			(a) not required to be registered as an ad of business; or	viser with the state	securities authority	of the state where you maintain your principal office and place
			(b) not subject to examination by the stat	e securities authority	of the state where	e you maintain your <i>principal office and place of business</i> ;
			Click <b>HERE</b> for a list of states in which a authority.	n investment adviser,	if registered, would	d not be subject to examination by the state securities
	(	(3)	Reserved			
	] (	(4)	have your principal office and place of busin	ess outside the Unit	ed States;	
	] (	(5)	are an investment adviser (or subadvise	r) to an investment	company register	red under the Investment Company Act of 1940;
	] (					evelopment company pursuant to section 54 of the
	`					ave at least \$25 million of regulatory assets under
			are a <b>pension consultant</b> with respect to in rule 203A-2(a);	assets of plans havir	ng an aggregate va	alue of at least \$200,000,000 that qualifies for the exemption
	<u> </u>		are a <b>related adviser</b> under rule 203A-2(b) registered with the SEC, and your <i>principa</i>		•	der common <i>control</i> with, an investment adviser that is e as the registered adviser;
			If you check this box, complete Section 2.A.	(8) of Schedule D.		
	(	(9)	are an adviser relying on rule 203A-2(c) b	ecause you <b>expect t</b>	o be eligible for S	EC registration within 120 days;
			If you check this box, complete Section 2.A.	(9) of Schedule D.		
	(	(10)	are a multi-state adviser that is required	to register in 15 or r	more states and is	relying on rule 203A-2(d);
			If you check this box, complete Section 2.A.	(10) of Schedule D.		

(11) are an <b>Internet adviser</b> re	lying on rule 203A-2(e	<del>?</del> );			
$\square$ (12) have <b>received an SEC order</b> exempting you from the prohibition against registration with the SEC;					
If you check this box, complete Section 2.A.(12) of Schedule D.					
(13) are <b>no longer eligible</b> to remain registered with the SEC.					
(13) are no longer engine to remain registered with the 3LC.					
State Securities Authority Notice Filing	•				
	,	ed to provide to state securities authorities a copy of	3		
	•	n, exempt reporting advisers may be required to provi this is an initial application or report, check the box			
		ts you submit to the SEC. If this is an amendment			
		that you would like to receive notice of this and all			
	your registration to st	op your notice filings or reports from going to state	(s) that currently receive them, uncheck the		
box(es) next to those state(s).					
Jurisdictions					
<b>☑</b> AL	<b>☑</b> IL	<b>☑</b> NE	<b>☑</b> SC		
<b>☑</b> AK	<b>☑</b> IN	<b>☑</b> NV	<b>☑</b> SD		
<b>☑</b> AZ	<b>☑</b> IA	<b>☑</b> NH	<b>☑</b> TN		
<b>☑</b> AR	<b>☑</b> KS	<b>☑</b> NJ	<b>☑</b> TX		
<b>☑</b> CA	<b>☑</b> KY	<b>☑</b> NM	<b>☑</b> UT		
☑ co	<b>☑</b> LA	✓ NY	✓ VT		
<b>☑</b> CT	<b>☑</b> ME	<b>▼</b> NC	<b>₽</b> VI		
<b>☑</b> DE	<b>☑</b> MD	<b>▼</b> ND	<b>☑</b> VA		
<b>☑</b> DC	<b>☑</b> MA	<b>☑</b> OH	<b>☑</b> WA		
☑ FL	<b>☑</b> MI	<b>▼</b> OK	<b>☑</b> w∨		
<b>☑</b> GA	<b>☑</b> MN	<b>☑</b> OR	<b>☑</b> WI		
GU	✓ MS	<b>₽</b> PA	<b>₩</b> Y		
☑ HI	<b>™</b> MO	<b>₽</b> PR			
☑ ID	✓ MT	✓ RI			
<u> </u>					
		ngs or reports from going to a state that currently rec			
state's notice filling of report filling ree	Tor the coming year, yo	our amendment must be filed before the end of the ye	ear (December 31).		
SECTION 2.A.(8) Related Adviser					
If you are relying on the exemption in ru	le 203A-2(b) from the	prohibition on registration because you control, are	e controlled by, or are under common control		
	ered with the SEC and	I your <i>principal office and place of business</i> is the san	ne as that of the registered adviser, provide		
the following information:					
Name of Registered Investment Adviser					
CRD Number of Registered Investment Ad	dviser				
SEC Number of Registered Investment Ad	dviser				
-					
SECTION 2.A.(9) Investment Adviser Ex	specting to be Eligible	e for Commission Registration within 120 Days			
If you are relying on rule 203A-2(c), the	exemption from the pr	ohibition on registration available to an adviser tha	it expects to be eligible for SEC registration		
	·	ons about your eligibility for SEC registration. By cl	hecking the appropriate boxes, you will be		
deemed to have made the required repre		·			
		EC or a <i>state securities authority</i> and I have a reaso	nable expectation that I will be eligible to		
		gistration with the SEC becomes effective.	offortive I would be prohibited by Cook		
I undertake to withdraw from SEC reg 203A(a) of the Advisers Act from regis		Oth day after my registration with the SEC becomes	s effective, I would be prohibited by Section		
2007 (a) of the Advisor's Act from Tegis	normy with the JEC.				
SECTION 2.A.(10) Multi-State Adviser					
3 3		emption from the prohibition on registration, you a	·		
about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations.					

If you are applying for registration as an investment adviser with the SEC, you must make both of these representations:

	investment adviser with the state securities authorities in those states.
	I undertake to withdraw from SEC registration if I file an amendment to this registration indicating that I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.
If v	you are submitting your annual updating amendment, you must make this representation:
	Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required
	by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.
	TION 2.A.(12) SEC Exemptive <i>Order</i>
If y	you are relying upon an SEC <i>order</i> exempting you from the prohibition on registration, provide the following information:
Anr	plication Number:
803	
Dat	te of <i>order</i> :
ter	m 3 Form of Organization
	bu are filing an <i>umbrella registration</i> , the information in Item 3 should be provided for the <i>filing adviser</i> only.
Α.	How are you organized?
	Corporation
	O Sole Proprietorship
	C Limited Liability Partnership (LLP)
	O Partnership
	Limited Liability Company (LLC)
	C Limited Partnership (LP)
	Other (specify):
	If you are changing your response to this Item, see Part 1A Instruction 4.
B.	In what month does your fiscal year end each year? DECEMBER
C.	Under the laws of what state or country are you organized?
	State Country
	Delaware United States
	If you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide the name of the state or country where you reside.
	If you are changing your response to this Item, see Part 1A Instruction 4.
	A C
ter	m 4 Successions Yes No
Α.	Are you, at the time of this filing, succeeding to the business of a registered investment adviser, including, for example, a change of your structure or legal status (e.g., form of organization or state of incorporation)?
	If "yes", complete Item 4.B. and Section 4 of Schedule D.
B.	Date of Succession: (MM/DD/YYYY)
	If you have already reported this succession on a previous Form ADV filing, do not report the succession again. Instead, check "No." See Part 1A Instruction 4.
SEC	TION 4 Successions
	No Information Filed

I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an

### Item 5 Information About Your Advisory Business - Employees, Clients, and Compensation

Responses to this Item help us understand your business, assist us in preparing for on-site examinations, and provide us with data we use when making regulatory policy. Part 1A Instruction 5.a. provides additional guidance to newly formed advisers for completing this Item 5.

#### **Employees**

If you are organized as a sole proprietorship, include yourself as an employee in your responses to Item 5.A. and Items 5.B.(1), (2), (3), (4), and (5). If an employee performs more than one function, you should count that employee in each of your responses to Items 5.B.(1), (2), (3), (4), and (5).

A. Approximately how many employees do you have? Include full- and part-time employees but do not include any clerical workers.

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- B. (1) Approximately how many of the *employees* reported in 5.A. perform investment advisory functions (including research)?
  - (2) Approximately how many of the *employees* reported in 5.A. are registered representatives of a broker-dealer?
  - (3) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives*?

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- 4) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives* for an investment adviser other than you?
- (5) Approximately how many of the *employees* reported in 5.A. are licensed agents of an insurance company or agency?
- (6) Approximately how many firms or other *persons* solicit advisory *clients* on your behalf?

In your response to Item 5.B. (6), do not count any of your employees **and count a firm only once – do not count each of the firm's** employees that solicit on your behalf.

#### Clients

In your responses to Items 5.C. and 5.D. do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.

- C. (1) To approximately how many *clients* for whom you do not have regulatory assets under management did you provide investment advisory services during your most recently completed fiscal year?
  - (2) Approximately what percentage of your *clients* are non-*United States persons*? 0%
- D. For purposes of this Item 5.D., the category "individuals" includes trusts, estates, and 401(k) plans and IRAs of individuals and their family members, but does not include businesses organized as sole proprietorships.

The category "business development companies" consists of companies that have made an election pursuant to section 54 of the Investment Company Act of 1940. Unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, do not answer (1)(d) or (3)(d) below.

Indicate the approximate number of your *clients* and amount of your total regulatory assets under management (reported in Item 5.F. below) attributable to each of the following type of *client*. If you have fewer than 5 *clients* in a particular category (other than (d), (e), and (f)) you may check Item 5.D.(2) rather than respond to Item 5.D.(1).

The aggregate amount of regulatory assets under management reported in Item 5.D.(3) should equal the total amount of regulatory assets under management reported in Item 5.F.(2)(c) below.

If a *client* fits into more than one category, select one category that most accurately represents the *client* to avoid double counting *clients* and assets. If you advise a registered investment company, business development company, or pooled investment vehicle, report those assets in categories (d), (e), and (f) as applicable.

Type of <i>Client</i>	(1) Number of Client(s)	(2) Fewer than 5 Clients	(3) Amount of Regulatory Assets under Management
(a) Individuals (other than high net worth individuals)	684		\$ 177,430,538
(b) High net worth individuals	5881		\$ 17,304,255,407
(c) Banking or thrift institutions			\$
(d) Investment companies	1		\$ 82,949,298
(e) Business development companies			\$

(f) Pooled investment vehicles (other than investment companies and business development companies)	18		\$ 494,238,450
(g) Pension and profit sharing plans (but not the plan participants or			\$
government pension plans)			*
(h) Charitable organizations	116		\$ 283,302,716
(i) State or municipal government entities (including government pension			\$
plans)		-	
(j) Other investment advisers			\$
(k) Insurance companies	5		\$ 3,188,826
(I) Sovereign wealth funds and foreign official institutions	0.1.1		\$
(m) Corporations or other businesses not listed above	314		\$ 1,021,342,434
(n) Other: ERISA POOLED PLANS	65		\$ 289,659,569
Compensation Arrangements			
E. You are compensated for your investment advisory services by (check all	that apply):		
(1) A percentage of assets under your management	mac apply).		
(2) Hourly charges			
(3) Subscription fees (for a newsletter or periodical)			
<ul><li>(4) Fixed fees (other than subscription fees)</li><li>(5) Commissions</li></ul>			
$\Box$ (6) Performance-based fees			
(7) Other (specify):			
Item 5 Information About Your Advisory Business - Regulatory Assets Unde	er Management		
Regulatory Assets Under Management			
			Yes No
F. (1) Do you provide continuous and regular supervisory or management s	services to securities	s portfolios?	⊙ ○
(2) If yes, what is the amount of your regulatory assets under managem	ent and total numb	er of accounts?	
U.S. Dollar Amount		Total Numbe	er of Accounts
Discretionary: (a) \$ 19,205,264,268		(d) 19,297	
Non-Discretionary: (b) \$ 451,102,970		(e) 447	
Total: (c) \$ 19,656,367,238		(f) 19,744	
Part 1A Instruction 5.b. explains how to calculate your regulatory assets completing this Item.	s under managemen	nt. You must follow thes	se instructions carefully when
(3) What is the approximate amount of your total regulatory assets under are non-United States persons?	r management (rep	orted in Item 5.F.(2)(	c) above) attributable to <i>clients</i> who
\$ O			
Itom E Information About Your Advisory Business Advisory Activities			
Item 5 Information About Your Advisory Business - Advisory Activities  Advisory Activities			
G. What type(s) of advisory services do you provide? Check all that apply.			
(1) Financial planning services			
(2) Portfolio management for individuals and/or small businesses			
(3) Portfolio management for investment companies (as well as "b	ousiness developme	nt companies" that ha	ve made an election pursuant to
section 54 of the Investment Company Act of 1940)  (4) Portfolio management for pooled investment vehicles (other the	an investment com	nanies)	
(4) Portfolio management for pooled investment venicles (other than 5) (5) Portfolio management for businesses (other than small businesses)	·	•	egistered investment companies and
other pooled investment vehicles)		,	
(6) Pension consulting services (7) Selection of other advisers (including <i>private fund</i> managers)			
<ul> <li>✓ (7) Selection of other advisers (including <i>private fund</i> managers)</li> <li>✓ (8) Publication of periodicals or newsletters</li> </ul>			
(9) Security ratings or pricing services			
(10) Market timing services			
(11) Educational seminars/workshops			
(12) Other(specify):			
Do not check Item 5.G.(3) unless you provide advisory services pursuant to Investment Company Act of 1940, including as a subadviser. If you check It investment companies to which you provide advice in Section 5.G.(3) of Sch	em 5.G.(3), report ti	-	
H. If you provide financial planning services, to how many <i>clients</i> did you pro	ovide these services	during your last fiscal	I year?

o 0

<ul> <li>1 - 10</li> <li>11 - 25</li> <li>26 - 50</li> <li>51 - 100</li> <li>101 - 250</li> <li>251 - 500</li> <li>More than 500</li> <li>If more than 500, how many?</li> <li>(round to the nearest 500)</li> </ul>		
In your responses to this Item 5.H., do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relation with those investors.	nship	ı
<ul> <li>(1) Do you participate in a wrap fee program?</li> <li>(2) If you participate in a wrap fee program, what is the amount of your regulatory assets under management attributable to acting as: <ul> <li>(a) sponsor to a wrap fee program</li> <li>\$</li> <li>(b) portfolio manager for a wrap fee program?</li> <li>\$</li> <li>(c) sponsor to and portfolio manager for the same wrap fee program?</li> <li>\$</li> </ul> </li> </ul>	Yes	No ⊙
If you report an amount in Item 5.1.(2)(c), do not report that amount in Item 5.1.(2)(a) or Item 5.1.(2)(b).		
If you are a portfolio manager for a wrap fee program, list the names of the programs, their sponsors and related information in Section 5.1.(2) of Sche	edule i	D.
If your involvement in a wrap fee program is limited to recommending wrap fee programs to your clients, or you advise a mutual fund that is offered the wrap fee program, do not check Item 5.1.(1) or enter any amounts in response to Item 5.1.(2).	าroug	h a
(1) In response to Item 4.B. of Part 2A of Form ADV, do you indicate that you provide investment advice only with respect to limited types of investments?	Yes	No <b>⊙</b>
(2) Do you report <i>client</i> assets in Item 4.E. of Part 2A that are computed using a different method than the method used to compute your regulatory assets under management?	0	•
Separately Managed Account <i>Clients</i>		
(1) Do you have regulatory assets under management attributable to <i>clients</i> other than those listed in Item 5.D.(3)(d)-(f) (separately managed account <i>clients</i> )?	Yes ©	No O
If yes, complete Section 5.K.(1) of Schedule D.		
(2) Do you engage in borrowing transactions on behalf of any of the separately managed account <i>clients</i> that you advise?  If yes, complete Section 5.K.(2) of Schedule D.	•	0
(3) Do you engage in derivative transactions on behalf of any of the separately managed account <i>clients</i> that you advise?  If yes, complete Section 5.K.(2) of Schedule D.	•	0
(4) After subtracting the amounts in Item 5.D.(3)(d)-(f) above from your total regulatory assets under management, does any custodian hold ten percent or more of this remaining amount of regulatory assets under management?  If yes, complete Section 5.K.(3) of Schedule D for each custodian.	•	0
Marketing Activities		
(1) Do any of your <i>advertisements</i> include:	Yes	No
(a) Performance results?	•	0
(b) A reference to specific investment advice provided by you (as that phrase is used in rule 206(4)-1(a)(5))?	•	0
(c) Testimonials (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	0	•
(d) Endorsements (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	•	0

I.

J.

K.

L.

(e) Third-party ratings?	•	0
(2) If you answer "yes" to L(1)(c), (d), or (e) above, do you pay or otherwise provide cash or non-cash compensation, directly or indirectly, in connection with the use of testimonials, endorsements, or third-party ratings?	0	•
(3) Do any of your advertisements include hypothetical performance?	•	0
(4) Do any of your advertisements include predecessor performance?	•	0

## SECTION 5.G.(3) Advisers to Registered Investment Companies and Business Development Companies

If you check Item 5.G.(3), what is the SEC file number (811 or 814 number) of each of the registered investment companies and business development companies to which you act as an adviser pursuant to an advisory contract? You must complete a separate Schedule D Section 5.G.(3) for each registered investment company and business development company to which you act as an adviser.

SEC File Number

811 - 23084

Provide the regulatory assets under management of all *parallel managed accounts* related to a registered investment company (or series thereof) or business development company that you advise.

No Information Filed

#### SECTION 5.1.(2) Wrap Fee Programs

No Information Filed

## SECTION 5.K.(1) Separately Managed Accounts

After subtracting the amounts reported in Item 5.D.(3)(d)-(f) from your total regulatory assets under management, indicate the approximate percentage of this remaining amount attributable to each of the following categories of assets. If the remaining amount is at least \$10 billion in regulatory assets under management, complete Question (a). If the remaining amount is less than \$10 billion in regulatory assets under management, complete Question (b).

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date. Each column should add up to 100% and numbers should be rounded to the nearest percent.

Investments in derivatives, registered investment companies, business development companies, and pooled investment vehicles should be reported in those categories. Do not report those investments based on related or underlying portfolio assets. Cash equivalents include bank deposits, certificates of deposit, bankers' acceptances and similar bank instruments.

Some assets could be classified into more than one category or require discretion about which category applies. You may use your own internal methodologies and the conventions of your service providers in determining how to categorize assets, so long as the methodologies or conventions are consistently applied and consistent with information you report internally and to current and prospective clients. However, you should not double count assets, and your responses must be consistent with any instructions or other guidance relating to this Section.

(a) Ass	set Type	Mid-year	End of year
(i)	Exchange-Traded Equity Securities	47 %	51 %
(ii)	Non Exchange-Traded Equity Securities	0 %	0 %
(iii)	U.S. Government/Agency Bonds	4 %	5 %
(iv)	U.S. State and Local Bonds	3 %	4 %
(v)	Sovereign Bonds	0 %	0 %
(vi)	Investment Grade Corporate Bonds	2 %	2 %
(vii)	Non-Investment Grade Corporate Bonds	0 %	0 %
(viii	) Derivatives	3 %	3 %
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	7 %	7 %
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	20 %	19 %

(xi) Cash and Cash Equivalents	8 %	8 %
(xii) Other	6 %	0 %

Generally describe any assets included in "Other"

AS OF 6/30/2023, LIDO'S ACQUISITION OF TWO FIRMS AND INTEGRATION OF THEIR RESPECTIVE ASSET TYPE CLASSIFICATIONS WAS NOT YET COMPLETED, WHICH RESULTED IN 6% OF LIDO'S TOTAL SEPARATELY MANAGED ACCOUNTS RAUM INDETERMINATE. AS OF 12/31/2023, LIDO COMPLETED THIS INTEGRATION AND ASSET TYPE CLASSIFICATION WAS FULLY RESOLVED AND REFLECTED IN THE YEAR-END PERCENTAGES NOTED ABOVE.

) Ass	et Type	End of year
(i)	Exchange-Traded Equity Securities	%
(ii)	Non Exchange-Traded Equity Securities	%
(iii)	U.S. Government/Agency Bonds	%
(iv)	U.S. State and Local Bonds	%
(v)	Sovereign Bonds	%
(vi)	Investment Grade Corporate Bonds	%
(vii)	Non-Investment Grade Corporate Bonds	%
(viii)	Derivatives	%
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	%
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	%
(xi)	Cash and Cash Equivalents	%
(xii)	Other	%

Generally describe any assets included in "Other"

#### SECTION 5.K.(2) Separately Managed Accounts - Use of Borrowingsand Derivatives

 $\square$  No information is required to be reported in this Section 5.K.(2) per the instructions of this Section 5.K.(2)

If your regulatory assets under management attributable to separately managed accounts are at least \$10 billion, you should complete Question (a). If your regulatory assets under management attributable to separately managed accounts are at least \$500 million but less than \$10 billion, you should complete Question (b).

(a) In the table below, provide the following information regarding the separately managed accounts you advise. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise. End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of borrowings for the accounts included in column 1.

In column 3, provide aggregate *gross notional value* of derivatives divided by the aggregate regulatory assets under management of the accounts included in column 1 with respect to each category of derivatives specified in 3(a) through (f).

You may, but are not required to, complete the table with respect to any separately managed account with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

(i) Mid-Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative		(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$ 185,726,839	\$ 3,048,957	0 %	0 %	0 %	1 %	0 %	0 %
10-149%	\$ 1,342,797,089	\$ 39,249,479	0 %	0 %	0 %	58 %	0 %	0 %
150% or more	\$ 600,475,025	\$ 15,862,937	0 %	0 %	0 %	248 %	0 %	0 %

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

#### (ii) End of Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$ 146,647,049	\$ 339,474	0 %	0 %	0 %	5 %	0 %	0 %
10-149%	\$ 1,310,571,952	\$ 25,716,335	0 %	0 %	0 %	59 %	0 %	0 %
150% or more	\$ 872,513,358	\$ 0	0 %	0 %	0 %	449 %	0 %	0 %

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(b) In the table below, provide the following information regarding the separately managed accounts you advise as of the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of borrowings for the accounts included in column 1.

You may, but are not required to, complete the table with respect to any separately managed accounts with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings
Less than 10%	\$	\$
10-149%	\$	\$
150% or more	\$	\$

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

## SECTION 5.K.(3) Custodians for Separately Managed Accounts

Complete a separate Schedule D Section 5.K.(3) for each custodian that holds ten percent or more of your aggregate separately managed account regulatory assets under management.

(a) Legal name of custodian:

FIDELITY BROKERAGE SERVICES LLC

(b) Primary business name of custodian:

FIDELITY BROKERAGE SERVICES LLC

(c) The location(s) of the custodian's office(s) responsible for *custody* of the assets :

City: State: Country: SMITHFIELD Rhode Island United States

Yes No

(d) Is the custodian a *related person* of your firm?

 $\circ$ 

(e) If the custodian is a broker-dealer, provide its SEC registration number (if any)

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- (f) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its *legal entity identifier* (if any)
- (g) What amount of your regulatory assets under management attributable to separately managed accounts is held at the custodian? \$9,457,048,138

(a)	)	Legal name of custodian: CHARLES SCHWAB & CO., INC.			
(b)	)	Primary business name of customary Schwarf & CO., INC.	odian:		
(c)	)		's office(s) responsible for custody of the	e assets :	
		City: SAN DIEGO	State: California	Country: United States	
					Yes No
(d)	)	Is the custodian a related perso	<i>n</i> of your firm?		0 0
(e)	)	If the custodian is a broker-dea 8 - 16514	ler, provide its SEC registration number	(if any)	
(f)		If the custodian is not a broker any)	dealer, or is a broker-dealer but does n	not have an SEC registration number, provide its <i>legal e</i>	ntity identifier (if
(g)	)	What amount of your regulator \$ 3,519,526,620	y assets under management attributabl	e to separately managed accounts is held at the custo	dian?
Iter	m 6 Ot	her Business Activities			
			your firm's other business activities.		
Α.		are actively engaged in business a	-		
		<ul> <li>(4) futures commission merchal</li> <li>(5) real estate broker, dealer, (</li> <li>(6) insurance broker or agent</li> </ul>	a broker-dealer commodity trading advisor (whether regard to ragent did in it is in a commodity trading advisor (whether regard to ragent did it is in a commodity trading advisor (whether regard to regard to make the commodity trading advisor (whether regard to ma		
	If yo	u engage in other business using a	name that is different from the names re	ported in Items 1.A. or 1.B.(1), complete Section 6.A. of S	
B.	(1)	Are you actively engaged in any	other business not listed in Item 6.A. (o	ther than giving investment advice)?	Yes No <b>⊙</b> ○
	(2)	If yes, is this other business you			0 0
	( )		,	if you engage in this business under a different name, pro	
	(3)	Do you sell products or provide s	ervices other than investment advice to	your advisory <i>clients</i> ?	⊙ ○
		If "yes," describe this other busin	ess on Section 6.B.(3) of Schedule D, and	if you engage in this business under a different name, pro	ovide that name.
SEC	CTION	6.A. Names of Your Other Busin	esses		
			No Informatio	n Filed	
SEC	TION	6.B.(2) Description of Primary	Business		
		your primary business (not your i			
lf y	you en	gage in that business under a diff	erent name, provide that name:		
SEC	TION	6.B.(3) Description of Other Pro	oducts and Services		

Describe other products or services you sell to your *client*. You may omit products and services that you listed in Section 6.B.(2) above. EDUCATION AND NETWORKING SERVICES VIA LIDO CONSULTING GROUP, LLC. TAX PREPARATION AND SERVICES VIA LIDO TAX, LLC

If you engage in that business under a different name, provide that name: LIDO CONSULTING GROUP, LLC LIDO TAX, LLC LIDO ADMINISTRATIIVE SERVICES, LLC LIDO PAY, LLC **Item 7 Financial Industry Affiliations** In this Item, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interest may occur between you and your clients. A. This part of Item 7 requires you to provide information about you and your related persons, including foreign affiliates. Your related persons are all of your advisory affiliates and any person that is under common control with you. You have a related person that is a (check all that apply): broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered) (1) V (2) other investment adviser (including financial planners) (3) registered municipal advisor (4) registered security-based swap dealer (5) major security-based swap participant V (6) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (7) futures commission merchant (8) banking or thrift institution ~ (9) trust company ~ (10) accountant or accounting firm (11) lawyer or law firm (12) insurance company or agency (13) pension consultant (14) real estate broker or dealer (15) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (16) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles Note that Item 7.A. should not be used to disclose that some of your employees perform investment advisory functions or are registered representatives of a broker-dealer. The number of your firm's employees who perform investment advisory functions should be disclosed under Item 5.B.(1). The number of your firm's employees who are registered representatives of a broker-dealer should be disclosed under Item 5.B.(2). Note that if you are filing an umbrella registration, you should not check Item 7.A.(2) with respect to your relying advisers, and you do not have to complete Section 7.A. in Schedule D for your relying advisers. You should complete a Schedule R for each relying adviser. For each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. of Schedule D. You do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection with advisory services you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business to the related person, and the related person does not refer prospective clients or business to you; (4) you do not share supervised persons or premises with the related person; and (5) you have no reason to believe that your relationship with the related person otherwise creates a conflict of interest with your clients. You must complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide to your clients (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act. SECTION 7.A. Financial Industry Affiliations Complete a separate Schedule D Section 7.A. for each related person listed in Item 7.A. 1. Legal Name of Related Person: LIDO UNCAPPED DIVERSIFIED GP, LLC 2. Primary Business Name of Related Person: LIDO UNCAPPED DIVERSIFIED GP, LLC 3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) or Other Related Person's (a) CRD Number (if any): (b) CIK Number(s) (if any): No Information Filed

5. Related Person is: (check all that apply)

	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	` ,	other investment adviser (including financial planners)		
		registered municipal advisor		
	` '	registered security-based swap dealer		
		major security-based swap participant		
	` '	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
		futures commission merchant		
		banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(l)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	ou control or are you controlled by the related person?	•	0
7.	Are '	you and the <i>related person</i> under common <i>control</i> ?	•	0
	•		•	
8.	(a)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	_	_
Ο.			0	
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not require	0	0
		to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	u	
	(0)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clien</i>	'a' aaaa	.+
	(c)	Number and Street 1:  Number 2:	5 asse	15.
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		
			Yes	Nc
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	
				0
	(b)	If the answer is yes, under what exemption?		
10	(0)	Is the related person registered with a fereign financial regulatory outbority?		_
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	⊙
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is regis	ered.	
		No Information Filed		
11.	Do y	ou and the related person share any supervised persons?	⊙	0
12.	Do y	ou and the <i>related person</i> share the same physical location?	•	0
1	Logo	I Name of Polated Person		
1.	_	I Name of <i>Related Person</i> : DUNCAPPED PLATINUM GP, LLC		
	LIDC	ONCALLED LATINOW OF, ELC		
2	Prim	ary Business Name of <i>Related Person</i> :		
۷.		UNCAPPED PLATINUM GP, LLC		
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	_			
	or			
	Othe	er		
4.	Rela	ted Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	Rela	ted Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		

	<ul> <li>(g) ☐ futures commission merchant</li> <li>(h) ☐ banking or thrift institution</li> <li>(i) ☐ trust company</li> <li>(j) ☐ accountant or accounting firm</li> <li>(k) ☐ lawyer or law firm</li> <li>(l) ☐ insurance company or agency</li> <li>(m) ☐ pension consultant</li> <li>(n) ☐ real estate broker or dealer</li> <li>(o) ☐ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles</li> <li>(p) ☑ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles</li> </ul>		
6.	Do you control or are you controlled by the related person?		s No
7.	Are you and the related person under common control?	•	0
8.	<ul> <li>(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>?</li> <li>(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not require to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>?</li> </ul>	ed	O
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>client</i> Number and Street 1:  Number and Street 2:	ts' asse	ets:
	City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box: $\square$	Vas	s No
9.	(a) If the related person is an investment adviser, is it exempt from registration?		0
	(b) If the answer is yes, under what exemption?		
10	. (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	_	_
10.	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registed.		•
	No Information Filed		
11.	. Do you and the related person share any supervised persons?	⊙	0
12.	. Do you and the <i>related person</i> share the same physical location?	•	0
1.	Legal Name of <i>Related Person</i> : LIDO POWERLIFT GP, LLC		
2.	Primary Business Name of <i>Related Person</i> : LIDO POWERLIFT GP, LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's  (a) CRD Number (if any):		
	(b) CIK Number(s) (if any):  No Information Filed		
5.	Related Person is: (check all that apply)  (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer  (b) □ other investment adviser (including financial planners)  (c) □ registered municipal advisor  (d) □ registered security-based swap dealer  (e) □ major security-based swap participant  (f) ▼ commodity pool operator or commodity trading advisor (whether registered or exempt from registration)  (g) □ futures commission merchant  (h) □ banking or thrift institution  (i) □ trust company  (j) □ accountant or accounting firm  (k) □ lawyer or law firm  (l) □ insurance company or agency		

	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	you control or are you controlled by the related person?	$\odot$	0
7.	Are	you and the related person under common control?	$\odot$	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	$\circ$	⊙
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the	0	0
		presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required		
		to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients'	asse	ets:
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
			Yes	No
9.	(2)	If the related person is an investment adviser, is it exempt from registration?		_
9.			0	0
	(b)	If the answer is yes, under what exemption?		
10	). (a)	Is the related person registered with a foreign financial regulatory authority?	0	⊙
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register	ed.	
		No Information Filed		
1 1	I. Do y	you and the <i>related person</i> share any <i>supervised persons</i> ?	⊙	
12	2. Do y	you and the <i>related person</i> share the same physical location?	$\odot$	0
1	ا مما	al Name of <i>Related Person</i> :		
' '	_	O UNCAPPED GP, LLC		
2.	Prim	nary Business Name of <i>Related Person</i> :		
	LID	O UNCAPPED GP, LLC		
3.	Rela	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-			
	or			
	Oth	er		
	Dolo	ated Person's		
4.		CRD Number (if any):		
	(a)	CRD Number (ii arry).		
	(b)	CIK Number(s) (if any):		
	()	No Information Filed		
5.	Rela	ated Person is: (check all that apply)		
	(a)	broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
	(c)	registered municipal advisor		
	(d)	registered security-based swap dealer		
	(e)	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	trust company		
	(j)	accountant or accounting firm		
	(k)	lawyer or law firm		
	(l)	insurance company or agency		
	(m)	pension consultant real estate broker or dealer		
	(n) (o)	real estate broker or dealer  sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(b) (p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(P)		Yes	No
6.	Do ۱	you control or are you controlled by the related person?	· •	_
-			-	

7.	Are y	you and the related person under common control?	$\odot$	0
3.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	Ö	Õ
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1:  Number 2:	asse	ets:
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
			Yes	No
9.		If the related person is an investment adviser, is it exempt from registration?	0	0
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register  No Information Filed	ed.	
11.	Do y	you and the related person share any supervised persons?	•	0
12.	Do y	you and the <i>related person</i> share the same physical location?	•	0
1.	_	al Name of <i>Related Person</i> : D INTERNATIONAL PARTNERS, LTD		
2.		nary Business Name of <i>Related Person</i> : D INTERNATIONAL PARTNERS, LTD		
3.	Relat	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er en		
4.		cred Person's  CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	Rela:	ted Person is: (check all that apply)		
		broker-dealer, municipal securities dealer, or government securities broker or dealer		
		other investment adviser (including financial planners)		
	` ,	registered municipal advisor registered security-based swap dealer		
	` ,	major security-based swap participant		
	. ,	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	107	futures commission merchant		
	` ,	<ul><li>□ banking or thrift institution</li><li>□ trust company</li></ul>		
	• •	accountant or accounting firm		
	(k)	☐ lawyer or law firm		
	.,	insurance company or agency		
	` ,	pension consultant real estate broker or dealer		
	` ,	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
		sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	you control or are you controlled by the related person?	0	•
7.	Are y	you and the related person under common control?	0	•
3.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the	0	0

presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related person and thus are not required

		to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person?</i>		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients'	asse	ets:
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: $\square$		
	( )			No.
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	$\circ$	⊙
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	$\odot$	$\circ$
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register	ed.	
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		Dubai - Dubai Financial Services Authority		
11.	Do y	you and the related person share any supervised persons?	0	•
12.	Do y	you and the <i>related person</i> share the same physical location?	0	•
1.	Lega	al Name of <i>Related Person</i> :		
	IDB	LIDO WEALTH, LLC		
2.	Prim	nary Business Name of <i>Related Person</i> :		
	IDB	LIDO WEALTH, LLC		
3.		ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	801	- 126779		
	or			
	Othe	er en		
	D /			
4.		ated Person's		
	(a)	CRD Number (if any):		
	(h)	322488 CIV Number(c) (if anyl):		
	(b)	CIK Number(s) (if any):  No Information Filed		
		THE THIST HEAD		
5.	Rela	ated Person is: (check all that apply)		
		broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(b)	other investment adviser (including financial planners)		
		registered municipal advisor		
	` '	registered security-based swap dealer		
	` ,	major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	□ banking or thrift institution		
	(i)	□ trust company		
	(j)	accountant or accounting firm		
	(k)	□ lawyer or law firm		
	(I)	insurance company or agency		
	(m)			
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No.
6.	Do y	you control or are you controlled by the related person?	•	0
7.	Are	you and the related person under common control?	•	0
	•		•	
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	^	_
3.			0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required	0	0
		to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients'	ass4	ets
	(0)	Number and Street 1: Number and Street 2:		J.
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box:		

			yes	INO
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	•
	(b)	If the answer is yes, under what exemption?		
10	(a)	Is the related person registered with a foreign financial regulatory authority?	_	
10.		If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register		•
	, ,	No Information Filed		
11.	Do y	ou and the related person share any supervised persons?	$\odot$	$\circ$
12.	Do y	ou and the <i>related person</i> share the same physical location?	•	0
1.	_	I Name of <i>Related Person</i> : TAX, LLC		
2.		ary Business Name of <i>Related Person</i> :  O TAX, LLC		
3.	Relat	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe			
4.	Relat	ted Person's		
		CRD Number (if any):		1
	(b)	CIK Number(s) (if any):		
	, ,	No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (n) (o)	ted Person is: (check all that apply)  broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
5.	Do y	ou <i>control</i> or are you <i>controlled</i> by the <i>related person</i> ?		0
7.	Are y	you and the <i>related person</i> under common <i>control</i> ?	•	0
3.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1:  City:  State:  Country:  ZIP+4/Postal Code:  If this address is a private residence, check this box:	asse	ts:
			Yes	No
9.		If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	0
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•

	No Information Filed		
11.	Do you and the related person share any supervised persons?	•	0
12.	Do you and the <i>related person</i> share the same physical location?	•	0
1.	Legal Name of <i>Related Person</i> : OAKHURST CAPITAL ADVISORS, LLC		
2.	Primary Business Name of <i>Related Person</i> : OAKHURST CAPITAL ADVISORS, LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 801 - 120027		
	or Other		
4.	Related Person's  (a) CRD Number (if any):  311802		
	(b) CIK Number(s) (if any):  No Information Filed		
		Yes	
		0	
	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?  (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?  (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' and Street 1:  Number and Street 2:  City:  State:  Country:  ZIP+4/Postal Code:  If this address is a private residence, check this box:	O O O esse	o o
9.		res O	
10.	<ul> <li>(a) Is the related person registered with a foreign financial regulatory authority?</li> <li>(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.</li> <li>No Information Filed</li> </ul>	O ed.	•
11.	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	•	0
12.	Do you and the <i>related person</i> share the same physical location?	0	0

(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registered.

1.	Legal Name of <i>Related Person</i> : COLORADO FINANCIAL MANAGEMENT, LLC		
2.	Primary Business Name of <i>Related Person</i> : COLORADO FINANCIAL MANAGEMENT LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 801 - 56204 or Other		
4.	Related Person's  (a) CRD Number (if any):     113216  (b) CIK Number(s) (if any):  No Information Filed		
5.	Related Person is: (check all that apply)  (a)   broker-dealer, municipal securities dealer, or government securities broker or dealer  (b)   other investment adviser (including financial planners)  (c)   registered municipal advisor  (d)   registered security-based swap dealer  (e)   major security-based swap participant  (f)   commodity pool operator or commodity trading advisor (whether registered or exempt from registration)  (g)   futures commission merchant  (h)   banking or thrift institution  (i)   trust company  (j)   accountant or accounting firm  (k)   lawyer or law firm  (l)   insurance company or agency  (m)   pension consultant  (n)   real estate broker or dealer  (o)   sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	Yes	Nc
6.	Do you control or are you controlled by the related person?	©	
7.	Are you and the related person under common control?	•	0
8.	<ul> <li>(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>?</li> <li>(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>?</li> </ul>	0	
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1:  City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
	(b) If the answer is yes, under what exemption?		
10.	<ul><li>(a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i>?</li><li>(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register.</li><li>No Information Filed</li></ul>	O red.	•
11.	Do you and the related person share any supervised persons?	•	0
12.	Do you and the <i>related person</i> share the same physical location?	•	0
1.	Legal Name of <i>Related Person</i> : LIDO ADVISORS COLOSSUS MASTER FUND GP, LLC		

2. Primary Business Name of Related Person:

	LIDO ADVISORS COLOSSUS MASTER FUND GP, LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's		
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):  No Information Filed		
5.	Related Person is: (check all that apply)		
Ο.	<ul> <li>(a)  broker-dealer, municipal securities dealer, or government securities broker or dealer</li> <li>(b)  other investment adviser (including financial planners)</li> </ul>		
	(c) registered municipal advisor (d) registered security-based swap dealer		
	(e) amajor security-based swap participant		
	<ul><li>(f)  commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li><li>(g)  futures commission merchant</li></ul>		
	<ul><li>(h) □ banking or thrift institution</li><li>(i) □ trust company</li></ul>		
	(j) accountant or accounting firm		
	(k) I lawyer or law firm  (l) I insurance company or agency		
	(m) pension consultant		
	<ul> <li>(n) □ real estate broker or dealer</li> <li>(o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles</li> </ul>		
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.		Yes ©	
_			
1.	Are you and the related person under common contro?	⊙	С
8.		0	•
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	С
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1:  Number 2:	asse	ets:
	City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: $\Box$		
		Yes	No
9.	<ul><li>(a) If the related person is an investment adviser, is it exempt from registration?</li><li>(b) If the answer is yes, under what exemption?</li></ul>	0	С
	(b) If the answer is yes, under what exemptions		
10.	). (a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register  No Information Filed	ea.	
11.	. Do you and the related person share any supervised persons?	•	С
12.	2. Do you and the <i>related person</i> share the same physical location?	•	О
1.	Legal Name of <i>Related Person</i> :		
	LIDO CAP AND GAP GP, LLC		
2.	Primary Business Name of <i>Related Person</i> : LIDO CAP AND GAP GP		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		

4.	Rela	ated Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):  No Information Filed		
5.	(a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (n)	<ul> <li>□ other investment adviser (including financial planners)</li> <li>□ registered municipal advisor</li> <li>□ registered security-based swap dealer</li> <li>□ major security-based swap participant</li> <li>☑ commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</li> <li>□ futures commission merchant</li> <li>□ banking or thrift institution</li> <li>□ trust company</li> <li>□ accountant or accounting firm</li> <li>□ lawyer or law firm</li> <li>□ insurance company or agency</li> </ul>		
5.	Do v	you <i>control</i> or are you <i>controlled</i> by the <i>related person</i> ?		No
<i>J</i> .	D0 )	you control of the you controlled by the related person!	•	0
7.	Are	you and the related person under common control?	•	0
3.		Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?  If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?  If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> .  Number and Street 1:	0	o O ets:
		City: State: Country: ZIP+4/Postal Code:  If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	0
	(b)	If the answer is yes, under what exemption?		
10.		Is the related person registered with a foreign financial regulatory authority?	_	$\odot$
	(b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registe No Information Filed	red.	
11.	Do y	you and the related person share any supervised persons?	•	0
1 2	Dov	you and the <i>related person</i> share the same physical location?	_	_
12.		you and the <i>related person</i> share the same physical location?	•	0
1.	_	al Name of <i>Related Person</i> : O PRIVATE FUNDS, LLC		
2.		nary Business Name of <i>Related Person</i> : O PRIVATE FUNDS		
3.	Rela -	ated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.	Rela (a)	ated Person's  CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		

).	Rela	ited i	Person is: (check all that apply)		
	(a)		broker-dealer, municipal securities dealer, or government secur	urities broker or dealer	
	(b)		other investment adviser (including financial planners)		
	(c)		registered municipal advisor		
	(d)		registered security-based swap dealer		
	(e)		major security-based swap participant		
	(f)	V	commodity pool operator or commodity trading advisor (whether	ner registered or exempt from registration)	
	(g)		futures commission merchant		
	_		banking or thrift institution		
	(i)		trust company		
	(j)		accountant or accounting firm		
	•		lawyer or law firm		
	• •		insurance company or agency		
	(m)		pension consultant		
			real estate broker or dealer		
			sponsor or syndicator of limited partnerships (or equivalent), ex	aveluding pooled investment vehicles	
	(p)	~	sponsor, general partner, managing member (or equivalent) of		
	(b)	i Y	sponsor, general partner, managing member (or equivalent) or	Yes	Nic
	Do v	/OLL /	control or are you controlled by the related person?		
).	D0 3	you (	control of are you controlled by the related person:	⊙	0
_					
7.	Are	you	and the related person under common control?	⊙	0
3.	(a)	Do	es the <i>related person</i> act as a qualified custodian for your <i>clients</i>	s in connection with advisory services you provide to <i>clients</i> ?	•
	(b)	lf y	you are registering or registered with the SEC and you have ans	swered "yes," to question 8.(a) above, have you overcome the	0
		pre	esumption that you are not operationally independent (pursuant	to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required	~
		to o	obtain a surprise examination for your clients' funds or securities	s that are maintained at the related person?	
	(c)	lf y	you have answered "yes" to question 8.(a) above, provide the lo	ocation of the related person's office responsible for custody of your clients' asse	ts:
		Nu	umber and Street 1: Nur	umber and Street 2:	
		Cit	ty: State: Cou	ountry: ZIP+4/Postal Code:	
		lf t	this address is a private residence, check this box: 🗖		
				Yes	No
9.	(a)	If t	the <i>related person</i> is an investment adviser, is it exempt from regi	gistration?	0
	(b)	If t	the answer is yes, under what exemption?		
10.	(a)	ls t	the related person registered with a foreign financial regulatory au	uthority?	•
	(b)	If t	the answer is vest list the name and country, in English of each for	foreign financial regulatory authority with which the related person is registered.	~
	(8)		· · · · · · · · · · · · · · · · · · ·	o Information Filed	
11.	Do v	/OU a	and the related person share any supervised persons?		_
	20)	, ou c	and the related person share any supervised persons.	•	0
ın	Do v	(011.	and the related percenchare the came physical location?		_
12.	D0 3	you a	and the related person share the same physical location?		0
	امما	al Nie	ampa of Dalatad Damaam		
١.	_		ame of <i>Related Person:</i> RISE TRUST & INVESTMENT COMPANY		
	CIVII	ERPF	RISE TRUST & TINVESTIVIENT COMPANY		
,	Dring		Dusiness Name of Polated Person		
۷.		-	Business Name of <i>Related Person</i> : RISE TRUST & INVESTMENT COMPANY		
	CIVII	ERPF	RISE TRUST & TINVESTIMENT COMPANY		
,	Dolo	+	Democrato CEC File Number (if any) (e.g. 001 0 0// 002)		
3.	Reia	itea i	Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or				
	Othe	⊃r			
	Oth	<b>υ</b> Ι			
1	Pola	tod	Person's		
۲.					
	(a)	CKI	D Number (if any):		
	(b)	CIK	K Number(s) (if any):		
	(0)	CIN		Information Filed	
			NO	· · · · · · · · · · · · · · · · · · ·	
-	Dol-	tod	Parson is: (chack all that apply)		
١.		itea i	Person is: (check all that apply)  broker dealer, municipal securities dealer, or government securities.	urities broker er dealer	
	(a)	_	broker-dealer, municipal securities dealer, or government secur	arties broker of dealer	
	(b)		other investment adviser (including financial planners)		
	(c)		registered municipal advisor registered security-based swap dealer		
	((1))		redistered security-pased swab dealer		

	<ul> <li>(e) ☐ major security-based swap participant</li> <li>(f) ☐ commodity pool operator or commodity trading advisor</li> <li>(g) ☐ futures commission merchant</li> <li>(h) ☐ banking or thrift institution</li> <li>(i) ▼ trust company</li> <li>(j) ☐ accountant or accounting firm</li> <li>(k) ☐ lawyer or law firm</li> <li>(l) ☐ insurance company or agency</li> <li>(m) ☐ pension consultant</li> <li>(n) ☐ real estate broker or dealer</li> <li>(o) ☐ sponsor or syndicator of limited partnerships (or equivalue)</li> <li>(p) ☐ sponsor, general partner, managing member (or equivalue)</li> </ul>	alent), excluding poole	ed investment vehicles	V M-
6.	Do you control or are you controlled by the related person?			Yes No ⊙ O
7.	Are you and the related person under common control?			⊙ ○
8.	(a) Does the <i>related person</i> act as a qualified custodian for your	clients in connection	with advisory services you provide to clients?	0 0
	(b) If you are registering or registered with the SEC and you had presumption that you are not operationally independent (put to obtain a surprise examination for your <i>clients'</i> funds or see	ursuant to rule 206(4)	-2(d)(5)) from the related person and thus are not req	C C quired
	(c) If you have answered "yes" to question 8.(a) above, provide Number and Street 1:	Number and Stre	et 2:	:lients' assets:
	City: State:  If this address is a private residence, check this box:	Country:	ZIP+4/Postal Code:	
_				Yes No
9.	<ul><li>(a) If the <i>related person</i> is an investment adviser, is it exempt from</li><li>(b) If the answer is yes, under what exemption?</li></ul>	om registration?		0 0
10.	. (a) Is the <i>related person</i> registered with a <i>foreign financial regula</i>	atory authority?		0 0
	(b) If the answer is yes, list the name and country, in English of			
11.	. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	No Information F	led	• o
	. Do you and the <i>related person</i> share the same physical location?			0 0
2.	Legal Name of <i>Related Person</i> : LIDO ADVISORS COLOSSUS TIGER GLOBAL FEEDER FUND I GP, LLO Primary Business Name of <i>Related Person</i> : LIDO ADVISORS COLOSSUS TIGER GLOBAL FEEDER FUND I GP, LLO <i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802- or Other	_C		
4.	Related Person's  (a) CRD Number (if any):			
	(b) CIK Number(s) (if any):			
		No Information F	led	
5.	Related Person is: (check all that apply)  (a)  broker-dealer, municipal securities dealer, or government of the investment adviser (including financial planners)  (c)  registered municipal advisor  (d)  registered security-based swap dealer  (e)  major security-based swap participant  (f)  commodity pool operator or commodity trading advisor  (g)  futures commission merchant  (h)  banking or thrift institution  (i)  rust company  (j)  accountant or accounting firm			

	(k)		lawyer or law firm		
	(l)		insurance company or agency		
	(m)		pension consultant		
	(n)		real estate broker or dealer		
	(o)		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	V	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
				Yes	No
6.	Do 7	you c	ontrol or are you controlled by the related person?	$\odot$	$\circ$
7.	Are	you a	and the related person under common contro?	$\odot$	$\circ$
8.	(a)		s the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	$\circ$	$\odot$
	(b)	pres	bu are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the sumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required btain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)		bu have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> and the control of the c	asse	ets:
	` '	-	nber and Street 1: Number and Street 2:		
		City	·		
		If th	nis address is a private residence, check this box: 🗖		
_				Yes	No
9.	(a)	If th	ne related person is an investment adviser, is it exempt from registration?	$\circ$	$\circ$
	(b)	If th	ne answer is yes, under what exemption?		
10.	(a)		ne related person registered with a foreign financial regulatory authority?	_	$\odot$
	(b)	If th	ne answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register. No Information Filed	ed.	
11.	Do 7	you a	nd the <i>related person</i> share any <i>supervised persons</i> ?	$\odot$	$\circ$
	_				
12.	Do 3	you a	nd the <i>related person</i> share the same physical location?	$\odot$	$\circ$
1.	Lega	al Nai	me of <i>Related Person</i> :		
	_		CAPPED QUARTERLY GP, LLC		
2.	Prim	nary E	Business Name of Related Person:		
	LIDO	O UNO	CAPPED QUARTERLY GP, LLC		
3.	Rela	ited P	erson's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-				
	or Othe	or			
	Othe	<b>3</b> 1			
4.	Rela	ited P	Person's		
•••	(a)		Number (if any):		
	( )				
	(b)	CIK	Number(s) (if any):		
			No Information Filed		
_	5 (				
5.		ntea P	erson is: (check all that apply)		
	(a) (b)		broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners)		
	(c)		registered municipal advisor		
	(d)		registered security-based swap dealer		
	(e)		major security-based swap participant		
	(f)	V	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)		futures commission merchant		
	(h)		banking or thrift institution		
	(i)		trust company		
	(j)		accountant or accounting firm		
	(k)		lawyer or law firm		
	(l)		insurance company or agency		
	(m)		pension consultant		
	(n)		real estate broker or dealer		
	(o) (p)	~	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
	(P)	Martines	eponosity gonoral partition, managing mornisor (or equivalent) or pooled investment vehicles		

		Yes	SINO
6.	Do you control or are you controlled by the related person?	•	0
7.	Are you and the related person under common control?	•	0
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?  (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the	0	
	presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not req to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	O quired	0
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>c</i> Number and Street 1:  City: State: Country: ZIP+4/Postal Code:	lients' ass	ets:
	If this address is a private residence, check this box: $\square$	Vas	s No
9.	(a) If the related person is an investment adviser, is it exempt from registration?	0	
	(b) If the answer is yes, under what exemption?		
10.	0. (a) Is the related person registered with a foreign financial regulatory authority?	0	•
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is reall No Information Filed	egistered.	
11.	. Do you and the related person share any supervised persons?	•	0
12.	2. Do you and the <i>related person</i> share the same physical location?	•	0
1	Legal Name of <i>Related Person</i> :		
1.	LIDO UNCAPPED 2025 QUARTERLY GP, LLC		
2.	Primary Business Name of <i>Related Person</i> : LIDO UNCAPPED 2025 QUARTERLY GP, LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's  (a) CRD Number (if any):		
	(b) CIK Number(s) (if any):  No Information Filed		
	No mornation riled		
5.	Related Person is: (check all that apply)  (a)		
	<ul><li>(a)  broker-dealer, municipal securities dealer, or government securities broker or dealer</li><li>(b)  other investment adviser (including financial planners)</li></ul>		
	(c) registered municipal advisor		
	(d) $\square$ registered security-based swap dealer  (e) $\square$ major security-based swap participant		
	(f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	<ul><li>(g) □ futures commission merchant</li><li>(h) □ banking or thrift institution</li></ul>		
	(i) trust company		
	<ul><li>(j) □ accountant or accounting firm</li><li>(k) □ lawyer or law firm</li></ul>		
	(I) Insurance company or agency		
	<ul><li>(m) □ pension consultant</li><li>(n) □ real estate broker or dealer</li></ul>		
	(o)  sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		_ ,
6.	Do you control or are you controlled by the related person?	Yes ©	s No
7.	Are you and the <i>related person</i> under common <i>control</i> ?		
	The grant and related person and definition definition	•	0

8. (a) Does the *related person* act as a qualified custodian for your *clients* in connection with advisory services you provide to *clients*?

				-
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients'	asse	ets:
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: $\square$		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	0
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register.  No Information Filed	~	~
11.	Do y	you and the related person share any supervised persons?	$\odot$	0
12.	Do y	you and the <i>related person</i> share the same physical location?	•	0

Iter	n 7	Private Fund Reporting		
			Yes	No
В. А	Are	you an adviser to any private fund?	⊙	0
: : :	sent repo 7.B. insta In e	yes," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the tence and in Instruction 6 of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exemptring adviser, and another SEC-registered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in a schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You ead, complete Section 7.B.(2) of Schedule D.  Seither case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphase, or similar designation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same continuation in place of the fund's name.	mpt in Sectio ⁄ou mus: abetical	n
SEC	TIC	ON 7.B.(1) <i>Private Fund</i> Reporting		
	DD	RIVATE FUND		
A.	PK	IVATE FUND		
	sfor	rmation About the <i>Private Fund</i>		
'''	1101	Thation About the Private Fund		
		(a) Name of the <i>private fund</i> :		
		LIDO ADVISORS COLOSSUS MASTER FUND, L.P ADC FUND II  (b) Private fund identification number:		
		(include the "805-" prefix also)		
		805-2605549135		
3		Under the laws of what state or country is the <i>private fund</i> organized:  State:  Delaware  Country:  United States  (a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	•	Name of General Partner, Manager, Trustee, or Director		
		LIDO ADVISORS COLOSSUS MASTER FUND GP, LLC		
		(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.  No Information Filed		
4		The <i>private fund</i> (check all that apply; you must check at least one):  (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
		(2) qualifies for the exclusion from the definition of investment company under section 5(c)(7) or the investment company Act of 1740		
5		List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	•	No Information Filed		
		No information riled		
			Yes N	O
6		(a) Is this a "master fund" in a master-feeder arrangement?	0 6	0
		(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
		No Information Filed		
			Yes N	0
		(c) Is this a "feeder fund" in a master-feeder arrangement?	0 6	9
		(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?		
		Name of private fund:		
		Private fund identification number:		
		(include the "805-" prefix also)		
HT.				

7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	r eac	h of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
			No
8.	(a) Is this <i>private fund</i> a "fund of funds"?  NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment		0
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	L	
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	•
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund o private equity fund O real estate fund O securitized asset fund O venture capital fund O Other priva	te fui	าd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 13,029,556		
<u>Ow</u>	znership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	<u>)</u>	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 91		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 5%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	O	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
Υοι	ur Advisory Services		
<u>, 50</u>	<u></u>	Yes	No.
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	•
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.		
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	⊙

	to question 18.(a) is "no," leave this question blank.  No Information Filed		
		Ye	s No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	•	
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Priva	ate Offering		
21.	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Ye:	s No
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
	021-480697		
B. SE	RVICE PROVIDERS		
<u>Audi</u>	<u>tors</u>	Ye	s No
23.	(a) (1) Are the private fund's financial statements subject to an annual audit?	•	
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audityou must complete questions (b) through (f) separately for each auditing firm.	ting firr	n,
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one at firm, you must complete questions (b) through (f) separately for each auditing firm.  (b) Name of the auditing firm:  MARCUM LLP	uditing	
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	LOS ANGELES California United States		
	(d) Is the auditing firm an <i>independent public accountant</i> ?	Yes ©	No C
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	0	
		•	
	If yes, Public Company Accounting Oversight Board-Assigned Number: 688		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		.,	
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?		s No
	(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	opinior	าร?
	O Yes O No G Report Not Yet Received		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	' is avai	lable.
<u>Prim</u>	ne Broker		
24	(a) Does the <i>private fund</i> use one or more prime brokers?		s No
	(·)	( )	/ [(●)

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private

	No Infor	mation Filed	
<u>an</u>			
Does the <i>private fund</i> use any custod	lians (including the prime brokers	listed above) to hold some or all of its assets?	
If the answer to question 25.(a) is "y	yes," respond to questions (b) thr	ough (g) below for each custodian the <i>private fu</i> gh (g) separately for each custodian.	and uses. If the pri
Additional Custodian Information	: 1 Record(s) Filed.		
		nrough g) below for each custodian the <i>private</i> and the private and the priva	<i>fund</i> uses. If the <i>p</i>
(b) Legal name of custodian: MIDFIRST BANK			
(c) Primary business name of cust 1ST CENTURY BANK	todian:		
(d) The location of the custodian's	office responsible for <i>custody</i> of t	ne <i>private fund's</i> assets (city, state and country)	:
City: LOS ANGELES	State: California	Country: United States	
			Y
(e) Is the custodian a related pers	on of your firm?		(
(f) If the custodian is a broker-de	aler, provide its SEC registration n	umber (if any):	
- CRD Number (if any):			
(g) If the custodian is not a broke identifier (if any) 337KMNHEWWWR6B7Q7W10	r-dealer, or is a broker-dealer but	does not have an SEC registration number, pro	vide its <i>legal entit</i> y
33/KMINHEWWWR6B/Q/W10			
<u>trator</u>			
Does the <i>private fund</i> use an adminis	strator other than your firm?		
•	yes," respond to questions (b) thro	ough (f) below. If the <i>private fund</i> uses more tha	ın one administra
Additional Administrator Informat		TIOT.	
If the answer to question 26.(a) is	"yes," respond to questions (b) the	nrough (f) below. If the <i>private fund</i> uses more t	han one
administrator, you must complete	questions (b) through (f) separate	ly for each administrator.	
(b) Name of administrator: OPUS FUND SERVICES			
(c) Location of administrator (city,	, state and country):		
City: NAPERVILLE	State: Illinois	Country: United States	
	•••••		
(d) Is the administrator a <i>related</i> $\mu$			Yes

	(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."		
27.	During your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that	is not	t
	your related person?  100%		
	Include only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining a relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (includations) was the valuation determined by such <i>person</i> .	-	
Ma	<u>rketers</u>	Yes	s No
28.	(a) Does the private fund use the services of someone other than you or your employees for marketing purposes?	0	•
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.		
	No Information Filed		
A. Pl	RIVATE FUND		
Info	rmation About the <i>Private Fund</i>		
1.	<ul> <li>(a) Name of the private fund:</li> <li>LIDO ADVISORS COLOSSUS MASTER FUND, L.P CB III OFFSHORE</li> <li>(b) Private fund identification number:</li> <li>(include the "805-" prefix also)</li> <li>805-2107872261</li> </ul>		
2.	Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country: Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	LIDO ADVISORS COLOSSUS MASTER FUND GP, LLC		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The <i>private fund</i> (check all that apply; you must check at least one):  [ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?  (b) If you what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?	0	⊙
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?  No Information Filed		
	(a) In this a "fooder fund" in a month of fooder to the survey of the su	Yes	
	<ul><li>(c) Is this a "feeder fund" in a master-feeder arrangement?</li><li>(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?</li></ul>	0	•
	Name of private fund:		

	(include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7 for the master-feeder arrangement or reporting on the funds separately.	′.B.( <sup>^</sup>	1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	each	า of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	ssue	d
0			No
8.	(a) Is this <i>private fund</i> a "fund of funds"?  NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment	⊙	0
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	$\odot$	$\circ$
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Yes O	No ©
10.	What type of fund is the private fund?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private PRIVATE CREDIT FUND	e fun	ıd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 13,933,542		
<u>Ow</u>	<u>nership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners:  103		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:	V.	N.
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :  0%		
<u>Υ</u> οι	ur Advisory Services		
		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	$\odot$

(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to

Private fund identification number:

	question 17.(a) is "no," leave this question blank.		
	No Information Filed		
		Yes	s No
	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	•
(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	ie ansv	ver
	No Information Filed		
		Yes	s N
9. Are	e your <i>clients</i> solicited to invest in the <i>private fund</i> ?	•	(
NC	OTE: For purposes of this question, do not consider feeder funds of the private fund.		
.0. Ap	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
rivate	e Offering		
:1. Ha	s the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Ye:	s N
	yes, provide the <i>private fund's</i> Form D file number (if any):		
Fo	orm D file number		
02	21-46774		
SERV	TICE PROVIDERS		
udito	<u>rs</u>		
		Ye	s N
3. (a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	(
	you must complete questions (b) through (f) separately for each auditing firm.  Additional Auditor Information: 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audiful firm, you must complete questions (b) through (f) separately for each auditing firm.	diting	
	(b) Name of the auditing firm:  MARCUM LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	LOS ANGELES California United States	Yes	No
	(d) Is the auditing firm an independent public accountant?	<b>⊙</b>	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 688		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
			s N
(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	•	(
(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified of	opinior	ıs?

If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.

Prime I	<u>Broker</u>				
24 (2)	Doos the privat	e fund use one or more	nrima hrakars?		Yes No
24. (a)	·		•	rough (e) below for each prime broker the private fund	C <b>©</b> Juses. If the <i>private</i>
				(b) through (e) separately for each prime broker.	
			No Info	ormation Filed	
Custod	<u>ian</u>				Yes No
25. (a)	Does the privat	e fund use any custodiar	ns (including the prime brokers	s listed above) to hold some or all of its assets?	• O
				rough (g) below for each custodian the <i>private fund</i> usough (g) separately for each custodian.	es. If the <i>private fund</i>
	Additional Cus	stodian Information : 1	Record(s) Filed.		
			•	through g) below for each custodian the <i>private fund</i> u(b) through (g) separately for each custodian.	ses. If the <i>private</i>
	(b) Legal nan MIDFIRST	me of custodian: FBANK			
		ousiness name of custod FURY BANK	lian:		
	(d) The locat	ion of the custodian's of	fice responsible for <i>custody</i> of	the private fund's assets (city, state and country):	
	City: LOS ANGI	FLFS	State: California	Country: United States	
	2557		Samer na	Cimical States	Yes No
	(e) Is the cus	stodian a <i>related person</i>	of your firm?		○ ⊙
	(f) If the cus	stodian is a broker-deale	er, provide its SEC registration	number (if any):	
	- CRD Num	nber (if any):			
	identifier		lealer, or is a broker-dealer bu	t does not have an SEC registration number, provide in	ts <i>legal entity</i>
<u>Admini</u>	<u>strator</u>				
					Yes No
26. (a)	If the answer to	o question 26.(a) is "yes	ator other than your firm? s," respond to questions (b) th f) separately for each administ	rough (f) below. If the <i>private fund</i> uses more than one rator.	<b>⊙ ⊙</b> e administrator, you
	Additional Adr	ministrator Information	n : 1 Record(s) Filed.		
			res," respond to questions (b) estions (b) through (f) separat	through (f) below. If the <i>private fund</i> uses more than cely for each administrator.	one
		administrator: ND SERVICES			
	(c) Location (	of administrator (city, st	rate and country):		
	City: NAPERVIL	LLE	State: Illinois	Country: United States	

Yes No

		(d) Is the administrator a <i>related person</i> of your firm?	•	)
		(e) Does the administrator prepare and send investor account statements to the <i>private fund's</i> investors?		
		• Yes (provided to all investors) • Some (provided to some but not all investors) • No (provided to no investors)		
		(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."		
27.		ng your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that i related person? %	s no	t
	relev	ude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining ar vant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (include ations) was the valuation determined by such <i>person</i> .	-	
<u>Ma</u>	rketeı	<u>rs</u>		
28.	(a) I	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	_	s No
20.		You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicito	r, or	⊙
		similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	fund	
		No Information Filed		
A. Pl	RIVAT	E FUND		
<u>Infc</u>	rmati	ion About the <i>Private Fund</i>		
1.		Name of the private fund:		
		LIDO ADVISORS COLOSSUS MASTER FUND, L.P CB III ONSHORE  Private fund identification number:		
	. ,	(include the "805-" prefix also)		
	8	805-7472923859		
2.	Unde	er the laws of what state or country is the <i>private fund</i> organized:		
		State: Country:		
	I	Delaware United States		
3.	(a) I	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
		ne of General Partner, Manager, Trustee, or Director		
	LIDO	O ADVISORS COLOSSUS MASTER FUND GP, LLC		
	(b) I	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
		No Information Filed		
4.		private fund (check all that apply; you must check at least one):		
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List t	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		No Information Filed		
			Yes	No
6.		Is this a "master fund" in a master-feeder arrangement?	0	⊙
	(b) l	If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?  No Information Filed		

	(c) Is this a "feeder fund" in a master-feeder arrangement?	$\circ$	$\odot$
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?  Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(´	1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	· each	n of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issued	d
0	(a) La Abia pari vata 6 un da 116 un da 6 6 un da 110	Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	⊙	0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	•	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	. What type of fund is the <i>private fund</i> ?		
	C hedge fund C liquidity fund C private equity fund C real estate fund C securitized asset fund C venture capital fund C Other private PRIVATE CREDIT FUND	te fund	d:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 73,069,521		
<u>Ow</u>	vnership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :  \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners:  171		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 9%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	Yes	No O
16.	. What is the approximate percentage of the <i>private fund</i> beneficially owned by non-United States persons:		

0%

Yes No

ur <i>F</i>	Advisory Services		
. (a	a) Are you a subadviser to this <i>private fund</i> ?	Ye	es I
(b	b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the anaquestion 17.(a) is "no," leave this question blank.	_	
	No Information Filed		
		Υє	es l
(a	a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	(	5
(b	b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If to question 18.(a) is "no," leave this question blank.	the ans	swei
	No Information Filed		
		Υє	es l
Ar	are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	6	9
N	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
	approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
vat	te Offering		
		Ye	es I
. Ha	las the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	6	•
. If	f yes, provide the <i>private fund's</i> Form D file number (if any):		
E	Form D file number		
	021-466729		
0	evice providers  ors	Y	es
O SER\	ors  a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?		es •
O SER\	a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?  (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	6	• •
O SER\	ors  a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	6	• •
O SER\	a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?  (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditions to the private fund uses more than one auditions.	6	• •
O SER\	a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?  (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audyou must complete questions (b) through (f) separately for each auditing firm.	diting fir	<ul><li>n</li><li>n</li><li>n</li><li>n</li><li>n</li></ul>
O SER\	a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?  (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audyou must complete questions (b) through (f) separately for each auditing firm.  Additional Auditor Information: 1 Record(s) Filed.  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one and the second secon	diting fir	<ul><li>n</li><li>n</li><li>n</li><li>n</li><li>n</li></ul>
O SER\	a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?  (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audity our must complete questions (b) through (f) separately for each auditing firm.  Additional Auditor Information: 1 Record(s) Filed.  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one affirm, you must complete questions (b) through (f) separately for each auditing firm.  (b) Name of the auditing firm:	diting fir	<ul><li>n</li><li>n</li><li>n</li><li>n</li><li>n</li></ul>
O SER\	a) (1) Are the <i>private fund</i> 's financial statements subject to an annual audit?  (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditional firm.  Additional Auditor Information: 1 Record(s) Filed.  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one affirm, you must complete questions (b) through (f) separately for each auditing firm.  (b) Name of the auditing firm:  MARCUM LLP  (c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country):  City: State: Country:	diting fir	<ul><li>n</li><li>n</li><li>n</li><li>n</li><li>n</li></ul>
O SER\	a) (1) Are the <i>private fund</i> 's financial statements subject to an annual audit?  (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auguou must complete questions (b) through (f) separately for each auditing firm.  Additional Auditor Information: 1 Record(s) Filed.  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one affirm, you must complete questions (b) through (f) separately for each auditing firm.  (b) Name of the auditing firm:  MARCUM LLP  (c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country):	diting fir	Trm,
O SER\	a) (1) Are the <i>private fund</i> 's financial statements subject to an annual audit?  (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditional firm.  Additional Auditor Information: 1 Record(s) Filed.  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one affirm, you must complete questions (b) through (f) separately for each auditing firm.  (b) Name of the auditing firm:  MARCUM LLP  (c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country):  City: State: Country:	diting fir	Trm,
O SER\	a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?  (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one autyou must complete questions (b) through (f) separately for each auditing firm.  Additional Auditor Information: 1 Record(s) Filed.  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one if firm, you must complete questions (b) through (f) separately for each auditing firm.  (b) Name of the auditing firm:  MARCUM LLP  (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):  City:  State:  Country:  LOS ANGELES  California  United States	diting fir	• No
O SER\	a) (1) Are the <i>private fund</i> 's financial statements subject to an annual audit?  (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audyou must complete questions (b) through (f) separately for each auditing firm.  Additional Auditor Information: 1 Record(s) Filed.  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one of firm, you must complete questions (b) through (f) separately for each auditing firm.  (b) Name of the auditing firm:  MARCUM LLP  (c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country):  City:  City:  State:  Country:  LOS ANGELES  California  United States  (d) Is the auditing firm an <i>independent public accountant</i> ?	diting fire	No C

(g) Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's

O

	3 · · · · · · · · · · · · · · · · · · ·	in for the private rand sin	ce your last <i>annual updating amendment</i> c	ontain unquaimed opinions:
	§ Yes   § No   § Report Not Yet Received			
	f you check "Report Not Yet Received," you must	promptly file an amendme	ent to your Form ADV to update your respon	se when the report is available
Bı	<u>oker</u>			Yes N
)	Does the <i>private fund</i> use one or more prime bro	okers?		0 6
	f the answer to question 24.(a) is "yes," respor fund uses more than one prime broker, you mus	•	•	•
		No Informa	ation Filed	
dia	<u>n</u>			
`	Doos the private fundues any evetedians (includ	ling the prime brokers lie	tod abova) ta hald same or all of its asset	Yes N
	Does the <i>private fund</i> use any custodians (include f the answer to question 25.(a) is "yes," respon uses more than one custodian, you must comple	nd to questions (b) throu	gh (g) below for each custodian the <i>privat</i>	
	Additional Custodian Information : 1 Record(	s) Filed.		
	If the answer to question 25.(a) is "yes," resp fund uses more than one custodian, you must	•		•
	(b) Legal name of custodian: MIDFIRST BANK			
	(c) Primary business name of custodian: 1ST CENTURY BANK			
	(d) The location of the custodian's office response	onsible for <i>custody</i> of the	private fund's assets (city, state and coun	try):
	City: LOS ANGELES	State: California	Country: United States	
	LOS ANGLELS	California	offited States	Yes No
	(e) Is the custodian a related person of your fi	rm?		0 0
	(f) If the custodian is a broker-dealer, provide	e its SEC registration num	nber (if any):	
	- CRD Number (if any):			
		is a broker-dealer but do	pes not have an SEC registration number.	provide its <i>legal entity</i>
	(a) If the custodian is not a broker-dealer or	is a broker addict but de	out have an ele registration namber,	provide its regar emity
	<ul><li>(g) If the custodian is not a broker-dealer, or identifier (if any)</li></ul>			

investors?

(b) Name of administrator: OPUS FUND SERVICES

	(c) Location of administrator (city, state	and country):								
	City:	State:	Country:							
	NAPERVILLE	Illinois	United States							
	(d) Is the administrator a related person	of your firm?		Yes No						
	(a) is the administrator a related person	or your min:		○ ●						
	(e) Does the administrator prepare and	send investor account sta	tements to the <i>private fund's</i> investors?							
		Some (provided to some b	ut not all investors) ${\color{red}_{\mathbf{C}}}$ No (provided to no in	vestors)						
	·   ·   ·   ·   ·   ·   ·   ·   ·		the investor account statements to the (response of the) private fund's investors, response	• •						
27	During your last fiscal year, what percentage of	f the <i>nrivate fund's</i> assets	(by value) was valued by a person, such as	an administrator, that is not						
27.	your related person?  100%	the private rand 3 assets	(by value) was valued by a person, such as	an administrator, that is not						
	Include only those assets where (i) such <i>person</i> relevant quotes, and (ii) the valuation used for allocations) was the valuation determined by so	purposes of investor subs	•							
<u>Maı</u>	<u>rketers</u>			Yes No						
28.	(a) Does the <i>private fund</i> use the services of so	omeone other than you or	your <i>employees</i> for marketing purposes?	0.6						
	You must answer "yes" whether the <i>persor</i> similar <i>person</i> . If the answer to question 28	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.								
		No Inf	ormation Filed							
	RIVATE FUND ormation About the <i>Private Fund</i>									
1.	(a) Name of the <i>private fund</i> :									
	LIDO ADVISORS COLOSSUS MASTER FUND,  (b) <i>Private fund</i> identification number:	L.P LANDMARK EQUITY )	XVII							
	(include the "805-" prefix also) 805-2407315630									
2.	Under the laws of what state or country is the	private fund organized:								
	State:	Country:								
	Delaware	United Stat	es							
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):									
	Name of General Partner, Manager, Trustee, or Director									
	LIDO ADVISORS COLOSSUS MASTER FUND GP,	LLC								
	b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.									
	(b) If filling all ambrena registration, identity the	No Information Filed								
4.	The private fund (check all that apply; you must	check at least one):								
	☐ (1) qualifies for the exclusion from the defin ☐ (2) qualifies for the exclusion from the defin	nition of investment compa		1 3						
5.	List the name and country, in English, of each for	oreign financial regulatory	authority with which the private fund is regis	ered.						

No Information Filed

Ο.	(a) is this a master fund in a master-reeder arrangement?	0	•
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	⊙
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?  Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(	1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), fo the feeder funds answer the following questions:	r each	n of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	$\odot$	$\circ$
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	t	
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	•
		~	~
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	$\odot$
	company Net of 1710 (other than money market rands, to the extent provided in mistraction o.e.).		
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund o private equity fund O real estate fund O securitized asset fund O venture capital fund O Other <i>priva</i>	ite fun	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> :		
	\$ 10,157,870		
Ο\Λ/	nership		
	<u>norsing</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :  \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the	ē	
	organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners:		
	44		
1 1	What is the approximate percentage of the private fund hamaficially assembly and your related percent		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :  7%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	0%	V	Na
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment	Yes	_
	Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?		0

16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	ur Advisory Services		
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Ye	s No
.,.	<ul><li>(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answeriton 17.(a) is "no," leave this question blank.</li></ul>	_	٠
	No Information Filed		
		Ye	s No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	•
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If t to question 18.(a) is "no," leave this question blank.	ne ansv	wer
	No Information Filed		
10			s No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	•	0
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?  1%		
Pri۱	vate Offering		
		Ye	s No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	•	0
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
Aud	<u>ditors</u>	Ye	es No
23.	(a) (1) Are the private fund's financial statements subject to an annual audit?	•	0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi you must complete questions (b) through (f) separately for each auditing firm.	ting firr	m,
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one autirm, you must complete questions (b) through (f) separately for each auditing firm.	ıditing	
	(b) Name of the auditing firm:  MARCUM LLP		
	(c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country):		
	City: State: Country:  LOS ANGELES California United States		
	Cos / Neglets	Yes	No
	(d) Is the auditing firm an independent public accountant?	•	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 688		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in	•	0

accordance with its rules?

				res No
(g)	Are the <i>private fund's</i> audited financial statements for investors?	or the most recently comp	eleted fiscal year distributed to the private fund's	<ul><li>O</li></ul>
(h)	Do all of the reports prepared by the auditing firm for	or the <i>private fund</i> since y	our last <i>annual updating amendment</i> contain unqualifie	d opinions?
	If you check "Report Not Yet Received," you must pror	mptly file an amendment to	your Form ADV to update your response when the repo	rt is available.
Prime E	<u>Broker</u>			V N-
24. (a)	Does the <i>private fund</i> use one or more prime broker	·s?		Yes No
	·	o questions (b) through (	e) below for each prime broker the <i>private fund</i> uses. I bugh (e) separately for each prime broker.	
		No Information	Filed	
Custodi	<u>an</u>			Yes No
25. (a)	Does the private fund use any custodians (including	the prime brokers listed a	above) to hold some or all of its assets?	⊙ ○
	If the answer to question 25.(a) is "yes," respond to uses more than one custodian, you must complete of		g) below for each custodian the <i>private fund</i> uses. If the separately for each custodian.	ne <i>private fund</i>
	Additional Custodian Information : 1 Record(s) F	iled.		
	fund uses more than one custodian, you must con  (b) Legal name of custodian:  MIDFIRST BANK	npiete questions (b) throu	ign (g) separately for each custodian.	
	(c) Primary business name of custodian: 1ST CENTURY BANK			
	(d) The location of the custodian's office responsi	ble for <i>custody</i> of the <i>priv</i>	ate fund's assets (city, state and country):	
	City:	State:	Country:	
	LOS ANGELES	California	United States	Yes No
	(e) Is the custodian a <i>related person</i> of your firm?			0 0
	(f) If the custodian is a broker-dealer, provide its	SEC registration number	(if any):	
	CRD Number (if any):			
	(g) If the custodian is not a broker-dealer, or is a identifier (if any)  337KMNHEWWWR6B7Q7W10	. broker-dealer but does n	not have an SEC registration number, provide its legal	entity
<u>Adminis</u>	<u>strator</u>			Yes No
26. (a)	Does the <i>private fund</i> use an administrator other that	an your firm?		© 0
	If the answer to question 26.(a) is "yes," respond to must complete questions (b) through (f) separately	•	) below. If the <i>private fund</i> uses more than one admin	istrator, you
	Additional Administrator Information : 1 Record(	s) Filed.		

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one

	administrator, you m	ast complete questions (2)	o a g (. ) o o p a . a	toly for each autilities ator.						
	(b) Name of adminis	(b) Name of administrator:								
	OPUS FUND SER	/ICES								
	(c) Location of admi	nistrator (city, state and cou	ntry):							
	City:		State:	Country:						
	NAPERVILLE		Illinois	United States	Yes No					
	(d) Is the administra	itor a <i>related person</i> of your f	firm?		0 0					
		• •		tements to the <i>private fund's</i> investors? ut not all investors) O No (provided to no in	nvestors)					
		•		the investor account statements to the (re rest of the) private fund's investors, respon						
) 1 I r	your <i>related person</i> ? 100% Include only those assets verelevant quotes, and (ii) th	where (i) such <i>person</i> carried	d out the valuation es of investor subs	(by value) was valued by a <i>person</i> , such as a procedure established for that asset, if any scriptions, redemptions or distributions, and	y, including obtaining any					
<u>Mark</u>	eters									
					Yes No					
28. (	You must answer "yes similar <i>person</i> . If the ar	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?  You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.								
			No Info	ormation Filed						
A. PRI	VATE FUND									
	mation About the <i>Private</i>	<u>Fund</u>								
1. (	(a) Name of the <i>private fur</i>	od: SSUS TIGER GLOBAL FEEDER	FIIND I I D							
(	(b) Private fund identification		TOND I, EF							
	(include the "805-" pre 805-4463052353	fix also)								
2. l	Jnder the laws of what sta	te or country is the <i>private t</i>	<i>fund</i> organized:							
	State: Delaware		Country: United State	es						
		-	· •	ns serving in a similar capacity):						
		Manager, Trustee, or Dire STIGER GLOBAL FEEDER FUN								
(	(b) If filing an <i>umbrella reg</i>	istration, identify the filing ac		g adviser(s) that sponsor(s) or manage(s) the	nis <i>private fund.</i>					
			No Infor	mation Filed						
4. T	The <i>private fund</i> (check all t	hat apply; you must check a	at least one):							
_			•	ny under section 3(c)(1) of the Investment	Company Act of 1940					
E	$\overline{\mathbf{v}}$ (2) qualifies for the exc	lusion from the definition of	investment compa	ny under section 3(c)(7) of the Investment	Company Act of 1940					

).	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.  No Information Filed		
	No miormation riicu	Yes	No
).	(a) Is this a "master fund" in a master-feeder arrangement?		•
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	$\odot$
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?  Name of <i>private fund</i> :		
	Private fund identification number:  (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	n 7.B.(	1)
<b>'</b> .	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), f the feeder funds answer the following questions:	or eacl	n of
	No Information Filed		
3.	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially a assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if i multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.  (a) Is this <i>private fund</i> a "fund of funds"?  NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investments.	t issue Yes	d
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.  (b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	_	_
	(b) If yes, does the private rand livest in rands managed by you of by a related person:	O	•
		Yes	No
	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
Ο.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	ate fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
1.	Current gross asset value of the <i>private fund</i> : \$ 9,951,812		
)wı	nership		
2.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 250,000		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).	ıe	
3.	Approximate number of the <i>private fund's</i> beneficial owners: 47		
4.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 25%		

15.	. (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds.  0%		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	t o	0
16.	. What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
Υου	our Advisory Services		
		Yes	No
17.	. (a) Are you a subadviser to this <i>private fund</i> ?	0	$\odot$
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the question 17.(a) is "no," leave this question blank.	e answer to	
	No Information Filed		
		Yes	No
18.	. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?		$\odot$
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private function question 18.(a) is "no," leave this question blank.	nd. If the answe	:r
	No Information Filed		
		Yes	No
19.	. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	•	$\circ$
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?  1%		
<u>Priv</u>	ivate Offering		
		Yes	No
21.	. Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933'	? ⊙	0
22.	. If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
	021-441896		
B. SI	SERVICE PROVIDERS		
Aud	<u>iditors</u>	Yes	No
23.	. (a) (1) Are the private fund's financial statements subject to an annual audit?	•	0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one you must complete questions (b) through (f) separately for each auditing firm.	e auditing firm,	
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than a firm, you must complete questions (b) through (f) separately for each auditing firm.	one auditing	
	(b) Name of the auditing firm: WEAVER AND TIDWELL, LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):  City:  State:  Country:		
	MANHATTAN BEACH California United States	Yes N	o
		Yes N	

	If yes, Public Company Accounting Oversight Board-Assigned Number: 410		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		V۵	s No
(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?		. (e
(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	opinior	าร?
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is avaii	able.
Prime [	<u>Broker</u>		
24. (a)	Does the <i>private fund</i> use one or more prime brokers?	Ye	s No
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If t <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	he <i>pri</i> v	'ate
	No Information Filed		
Custodi	<u>ian</u>		
25. (a)	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets?	Ye	s No
	If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the	~	
	uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.		
	Additional Custodian Information : 1 Record(s) Filed.		
	If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	e priva	te
	(b) Legal name of custodian: MIDFIRST BANK		
	(c) Primary business name of custodian: 1ST CENTURY BANK		
	(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):		
	City: State: Country: LOS ANGELES California United States		
		Yes	No
	(e) Is the custodian a <i>related person</i> of your firm?	0	•
	(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):		
	- CRD Number (if any):		
	(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal en identifier</i> (if any)	tity	
Admini:	identifier (if any) 337KMNHEWWWR6B7Q7W10	etity	_

## Ac

Yes No

26. (a) Does the *private fund* use an administrator other than your firm?

 $\odot$   $\circ$ 

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

	· · · · · · · · · · · · · · · · · · ·	· ·				
2 (2)	a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):  Name of General Partner, Manager, Trustee, or Director					
	nder the laws of what state or country State: Delaware	Country: United States				
	Name of the <i>private fund</i> : LIDO CAP AND CUSHION FUND (INS)  Private fund identification number: (include the "805-" prefix also) 805-3230794501	TITUTIONAL), LP				
	ATE FUND  ation About the Private Fund					
		No Informa	tion Filed			
28. (a)	similar person. If the answer to ques	e person acts as a placement agent, continuous de person acts as a placement agent, continuous de person de la person della person della person de la person de la person de la person della persona della person del	onsultant, finder, introducer, municipal advions (b) through (g) below for each such rquestions (b) through (g) separately for e	visor or other solicitor, or marketer the <i>private fund</i>		
Marke	<u>ters</u>			Yes N		
yo 10 Inc rel	our <i>related person</i> ? 20% clude only those assets where (i) sucl	n <i>person</i> carried out the valuation processed for purposes of investor subscript	value) was valued by a <i>person</i> , such as an cedure established for that asset, if any, in ions, redemptions or distributions, and fee	ncluding obtaining any		
	11		investor account statements to the (rest o of the) <i>private fund's</i> investors, respond "r	• •		
		re and send investor account statemers) Some (provided to some but no	ents to the <i>private fund's</i> investors? t all investors) ONO (provided to no inves	stors)		
	(d) Is the administrator a <i>related</i>	person of your firm?		Yes No		
	(c) Location of administrator (city City: LOS ANGELES	r, state and country): State: California	Country: United States			
	PANOPTIC FUND ADMINISTRA	TION				
	(b) Name of administrator:					
		questions (b) through (f) separately f	or each administrator.			

No Information Filed

4.	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940  (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940							
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.							
	No Information Filed							
		Yes	No					
5.	(a) Is this a "master fund" in a master-feeder arrangement?	0	•					
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?							
	No Information Filed							
		Yes	No					
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	•					
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?							
	Name of <i>private fund</i> :							
	Private fund identification number: (include the "805-" prefix also)							
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7 for the master-feeder arrangement or reporting on the funds separately.	<sup>7</sup> .B.(´	1)					
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	each	າ of					
	No Information Filed							
8.	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.  (a) Is this <i>private fund</i> a "fund of funds"?		d <b>N</b> c					
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.							
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0					
		Yes	Nc					
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0						
10.	What type of fund is the <i>private fund</i> ?							
	• hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	e fun	ıd:					
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.							
11.	Current gross asset value of the <i>private fund</i> : \$ 37,164,240							
<u>Ow</u>	<u>vnership</u>							
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 50,000							
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).							

14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 11%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
		Yes	s No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	ur Advisory Services		
17	(a) Are you a subadviser to this <i>private fund</i> ?		s No
17.	<ul><li>(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answertion 17.(a) is "no," leave this question blank.</li></ul>	over to	•
	No Information Filed		
		Yes	s No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	$\odot$
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If t to question 18.(a) is "no," leave this question blank.	he answ	/er
	No Information Filed		
10	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?		s No
17.	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	•	0
	The first paragraph of the question, as her consider results familiar or the private remain		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?  1%		
Priv	vate Offering		
		Yes	s No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	•	0
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number 021-293263		
	021-273203		
3. SI	ERVICE PROVIDERS		
Auc	<u>ditors</u>	.,	
23	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	_	s No
20.	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	⊙ ⊙	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audityou must complete questions (b) through (f) separately for each auditing firm.	_	_
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one autirm, you must complete questions (b) through (f) separately for each auditing firm.	uditing	
	(b) Name of the auditing firm		
	(b) Name of the auditing firm:  WEAVER AND TIDWELL, LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	MANHATTAN BEACH California United States	Yes I	No
	(d) Is the auditing firm an independent public accountant?	<u>@</u>	0

	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0	
	If yes, Public Company Accounting Oversight Board-Assigned Number: 410			
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0	
			es N	مام
(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?		© (	
(h)	Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified	opinio	ons?	
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is ava	ıilabl€	∋.
Prime B	Broker			
	<del>, o.c.</del>	Y	es N	10
24. (a)	Does the <i>private fund</i> use one or more prime brokers?	-	•	_
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	the pr	ivate	
	Additional Prime Broker Information : 1 Record(s) Filed.			
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>private fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.  (b) Name of the prime broker:    APEX CLEARING CORPORATION  (c) If the prime broker is registered with the SEC, its registration number:    8 - 23522    CRD Number (if any):    13071  (d) Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):    City:     State:     Country:    DALLAS    Texas    United States		s No	
	(e) Does this prime broker act as custodian for some or all of the private fund's assets?	•	0	
<b>Custodi</b> : 25. (a)	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets?	(	es N ⊙ (	0
	If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	priva	te fur	าd
	Additional Custodian Information : 2 Record(s) Filed.			
	If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian:  APEX CLEARING CORPORATION  (c) Primary business name of custodian:	e priva	ate	
	APEX CLEARING CORPORATION  (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):			

	City: DALLAX	State:	Country: United State		
	DALLAX	Texas	United State	es .	Yes N
(e)	Is the custodian a related	person of your firm?			0 6
	If the custodian is a broke 8 - 23522	er-dealer, provide its SEC regi	stration number (if any)	:	
	CRD Number (if any): 13071				
_	If the custodian is not a bidentifier (if any)	proker-dealer, or is a broker-d	ealer but does not have	an SEC registration number, p	rovide its <i>legal entity</i>
If th	no answer to question 25	(a) is "yes " respond to quest	ions (h) through a) holo	w for each custodian the <i>privat</i>	o fundusos. If the private
	·			separately for each custodian.	e runu uses. Il the private
	Legal name of custodian: MIDFIRST BANK				
	Primary business name o 1ST CENTURY BANK	f custodian:			
		dian's office responsible for <i>cu</i>	stody of the private fund	's assets (city, state and countr	y):
	City: LOS ANGELES	State: Californ	ia	Country: United States	
(0)	la the quatadian a relates	I parson of your firm?			Yes No
(e)	Is the custodian a related	person of your firm?			0 0
(f)	If the custodian is a broke	er-dealer, provide its SEC regi	stration number (if any)	:	
	- CRD Number (if any):				
_	If the custodian is not a kidentifier (if any)	proker-dealer, or is a broker-d	lealer but does not have	an SEC registration number, p	rovide its <i>legal entity</i>
	337KMNHEWWWR6B7Q7	W10			
ator			2		Yes
the	answer to question 26.(a)		ns (b) through (f) below.	If the <i>private fund</i> uses more t	han one administrator, yc
		rough (f) separately for each a rmation: 1 Record(s) Filed.	administrator.		
			ons (b) through (f) belo	w. If the <i>private fund</i> uses more	e than one
adm	inistrator, you must comp	plete questions (b) through (f)	separately for each adn	ninistrator.	
	Name of administrator: PANOPTIC FUND ADMINIS	TRATION			
	Location of administrator			Country	
	City: LOS ANGELES	State: Californi	a	Country: United States	
(d)	Is the administrator a rela	ated person of your firm?			Yes No
(e)	Does the administrator p	ronaro and condinuector acco	ount statements to the		
		repare and send investor acci	Juni Statements to the L	orivate tuna s investors?	

	(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."		
27.	During your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that your <i>related person</i> ?  100%  Include only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining a relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (includations) was the valuation determined by such <i>person</i> .	ny	
Ma	<u>rketers</u>		
28.	(a) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?  You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	or, or	€ No
	No Information Filed		
<b>4</b> . Pl	RIVATE FUND		
nfo	ormation About the <i>Private Fund</i>		
1.	<ul> <li>(a) Name of the private fund:</li> <li>LIDO CAP AND CUSHION FUND, LP</li> <li>(b) Private fund identification number:</li> <li>(include the "805-" prefix also)</li> <li>805-6662645673</li> </ul>		
2.	Under the laws of what state or country is the <i>private fund</i> organized:  State:  Country:  Delaware  United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
J.	Name of General Partner, Manager, Trustee, or Director  LIDO PRIVATE FUNDS, LLC		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.  No Information Filed		
4.	The <i>private fund</i> (check all that apply; you must check at least one):  ☑ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940  ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.  No Information Filed		
6.	<ul><li>(a) Is this a "master fund" in a master-feeder arrangement?</li><li>(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?</li></ul>	Yes O	No <b>⊙</b>
	No Information Filed		
	<ul><li>(c) Is this a "feeder fund" in a master-feeder arrangement?</li><li>(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?</li><li>Name of private fund:</li></ul>	Yes O	No ⊙

	(include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(	[1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	eac	h of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issue	ed
0			No
8.	(a) Is this <i>private fund</i> a "fund of funds"?		⊙
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the private fund?		
	• hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other <i>privat</i>	te fur	าd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> :		
	\$ 21,510,428		
<u>Ow</u>	<u>vnership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :  \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 73		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 3%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes ©	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
Υ∩ι	ur Advisory Services		
<u> </u>		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?		•

(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to

question 17.(a) is "no," leave this question blank.

Private fund identification number:

		No information Filed		
			Ye	No
3. (	a) Do	any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	•
(		the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the question 18.(a) is "no," leave this question blank.	ne ansv	ver
		No Information Filed		
			Ye	s No
. /	Are you	ur clients solicited to invest in the private fund?	•	0
I	NOTE:	For purposes of this question, do not consider feeder funds of the private fund.		
	Approx %	simately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
va	te Off	<u>fering</u>		
. 1	las th	e private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Ye:	S No
. 1	f yes,	provide the private fund's Form D file number (if any):		
	Form	D file number		
	021-2	74102		
ΕF	RVICE	PROVIDERS		
tik	ors			
			Ye	s No
(	a) (1)	Are the <i>private fund's</i> financial statements subject to an annual audit?	0	0
	(2)	If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	
		the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audit		
		u must complete questions (b) through (f) separately for each auditing firm.	iiig iiii	11,
	Ad	dditional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one autirm, you must complete questions (b) through (f) separately for each auditing firm.	diting	
		(b) Name of the auditing firm:		
	'	WEAVER AND TIDWELL, LLP		
		WEAVER AND TIDWELL, LEF		
		(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
		City: State: Country:		
		MANHATTAN BEACH California United States		
			Yes	No
		(d) Is the auditing firm an independent public accountant?	⊙	0
		(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
		If yes, Public Company Accounting Oversight Board-Assigned Number:		
		410		
		(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
,	a) ^	o the private fund's audited financial statements for the most recently completed financial value distributed to the private for the		s No
(	-	e the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> vestors?	•	0
,		all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	oninior	157
(			υριπισί	13 (
	•	Yes No Report Not Yet Received		

If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.

	·		questions (b) through (e) separately for each prime broken	ker.
\ddi	itional Prime Broker Info	ormation : 1 Record(s) Filed		
	•		stions (b) through (e) below for each prime broker the $ ho$ complete questions (b) through (e) separately for each p	
(b)	Name of the prime broke APEX CLEARING CORPOR			
(c)	If the prime broker is re 8 - 23522	egistered with the SEC, its reg	istration number:	
	CRD Number (if any): 13071			
(d)	Location of prime broker City:	r's office used principally by th State:	ne <i>private fund</i> (city, state and country):  Country:	
	DALLAS	Texas	United States	
				Y
(e)	Does this prime broker a	act as custodian for some or a	all of the <i>private fund's</i> assets?	
the ses	e answer to question 25.( more than one custodian	(a) is "yes," respond to questi	me brokers listed above) to hold some or all of its assets ons (b) through (g) below for each custodian the <i>private</i> ns (b) through (g) separately for each custodian.	
the ses Addi	e answer to question 25.( more than one custodian itional Custodian Inform he answer to question 25	(a) is "yes," respond to question, you must complete question nation: 2 Record(s) Filed.	ons (b) through (g) below for each custodian the <i>private</i>	e fund uses. If the pri
the the ses	e answer to question 25.( more than one custodian itional Custodian Inform he answer to question 25	(a) is "yes," respond to question, you must complete question nation: 2 Record(s) Filed.  5.(a) is "yes," respond to question to question to question is "yes," respond to question is the complete question is the complete question is the complete question.	ons (b) through (g) below for each custodian the <i>private</i> as (b) through (g) separately for each custodian.  stions (b) through g) below for each custodian the <i>priva</i>	e fund uses. If the pri
the ses	e answer to question 25.( more than one custodian itional Custodian Inform the answer to question 25 ad uses more than one cu Legal name of custodian	(a) is "yes," respond to question, you must complete question nation: 2 Record(s) Filed.  5.(a) is "yes," respond to question to question you must complete question.  RATION  of custodian:	ons (b) through (g) below for each custodian the <i>private</i> as (b) through (g) separately for each custodian.  stions (b) through g) below for each custodian the <i>priva</i>	e fund uses. If the pri
the ses	e answer to question 25.( more than one custodian itional Custodian Inform the answer to question 25 ad uses more than one cu Legal name of custodian APEX CLEARING CORPOR Primary business name APEX CLEARING CORPOR	(a) is "yes," respond to question, you must complete question nation: 2 Record(s) Filed.  5.(a) is "yes," respond to question to question you must complete question.  RATION  of custodian: RATION	ons (b) through (g) below for each custodian the <i>private</i> as (b) through (g) separately for each custodian.  stions (b) through g) below for each custodian the <i>priva</i>	te fund uses. If the pri
the ses	e answer to question 25. (more than one custodian itional Custodian Informational Custodian Information Informatio	(a) is "yes," respond to question, you must complete question nation: 2 Record(s) Filed.  5.(a) is "yes," respond to question to question you must complete question.  RATION  of custodian: RATION  odian's office responsible for a State:	ons (b) through (g) below for each custodian the <i>private</i> as (b) through (g) separately for each custodian.  stions (b) through g) below for each custodian the <i>priva</i> questions (b) through (g) separately for each custodian.	te fund uses. If the pri
the ses	e answer to question 25. (more than one custodian itional Custodian Informational Custodian Information Information Informational Custodian Information Informatio	(a) is "yes," respond to question, you must complete question nation: 2 Record(s) Filed.  5.(a) is "yes," respond to question to question you must complete question.  RATION  of custodian: RATION  odian's office responsible for a	ons (b) through (g) below for each custodian the <i>private</i> as (b) through (g) separately for each custodian.  Stions (b) through g) below for each custodian the <i>priva</i> questions (b) through (g) separately for each custodian.	te fund uses. If the print the fund uses. If the print the fund uses. If the print the fund uses.
the ses	e answer to question 25. (more than one custodian itional Custodian Informational Custodian Information Informatio	(a) is "yes," respond to question, you must complete question nation: 2 Record(s) Filed.  5.(a) is "yes," respond to question to question you must complete question.  RATION  of custodian: RATION  odian's office responsible for a State: Texas	ons (b) through (g) below for each custodian the <i>private</i> as (b) through (g) separately for each custodian.  stions (b) through g) below for each custodian the <i>priva</i> questions (b) through (g) separately for each custodian.	te fund uses. If the pri
the ses Addi	e answer to question 25. (more than one custodian litional Custodian Informational Custodian Informational Custodian Informational Custodian Informational Custodian Informational Custodian Area of custodian Area Clearing Corporational Custodian Area Clearing Corporational Custodian Informational Custodian Information	(a) is "yes," respond to question, you must complete question nation: 2 Record(s) Filed.  5.(a) is "yes," respond to question to question you must complete question.  RATION  of custodian: RATION  odian's office responsible for a State: Texas	ons (b) through (g) below for each custodian the private as (b) through (g) separately for each custodian.  stions (b) through g) below for each custodian the prival guestions (b) through (g) separately for each custodian.  custody of the private fund's assets (city, state and count Country:  United States	te fund uses. If the print the fund uses.
the ses addi	e answer to question 25. (more than one custodian litional Custodian Informational Custodian Informational Custodian Informational Custodian Informational Custodian Informational Custodian and uses more than one custodian APEX CLEARING CORPORT Primary business name APEX CLEARING CORPORT The location of the custodian of the custodian is a broad the custodian is a broad that is a b	(a) is "yes," respond to question, you must complete question nation: 2 Record(s) Filed.  5.(a) is "yes," respond to question stodian, you must complete question.  RATION  of custodian: RATION  odian's office responsible for a State:	ons (b) through (g) below for each custodian the private as (b) through (g) separately for each custodian.  stions (b) through g) below for each custodian the prival guestions (b) through (g) separately for each custodian.  custody of the private fund's assets (city, state and count Country:  United States	te fund uses. If the print the fund uses.

(d) The City LOS			orivate fund's assets (city, state and country	<i>(</i> ):
City LOS (e) Is t	y:		rivate fund's assets (city, state and country	<b>y</b> ):
LOS (e) Is t		State:		
(e) Is t	S ANGELES	0 - 1!6! -	Country:	
		California	United States	Yes
(f) If t	the custodian a <i>related person</i> o	f your firm?		0
	he custodian is a broker-dealer,	provide its SEC registration numb	per (if any):	
CRI	D Number (if any):			
ide	the custodian is not a broker-de entifier (if any) 7KMNHEWWWR6B7Q7W10	aler, or is a broker-dealer but doe	s not have an SEC registration number, pro	ovide its <i>legal entity</i>
<u>strator</u>				
				Ye
Does the	private fund use an administrate	or other than your firm?		6
			(f) below. If the <i>private fund</i> uses more th	nan one administrator,
must com	nplete questions (b) through (f)	separately for each administrator		
Addition	nal Administrator Information	: 1 Record(s) Filed.		
		s," respond to questions (b) throu stions (b) through (f) separately fo	gh (f) below. If the <i>private fund</i> uses more or each administrator.	than one
(b) Nai	me of administrator:			
	NOPTIC FUND ADMINISTRATION			
(c) Loc	cation of administrator (city, sta	te and country):		
City		State:	Country:	
LOS	S ANGELES	California	United States	Yes I
(d) Is !	the administrator a <i>related perso</i>	on of your firm?		0
	, , , , , , , , , , , , , , , , , , ,	9		
(e) Do	es the administrator prepare ar	nd send investor account stateme	nts to the <i>private fund's</i> investors?	
			all investors) No (provided to no investors)	ors)
(f) If t	•		nvestor account statements to the (rest of fifthe) private fund's investors, respond "no	· •
inv				
inv				
inv				
ring your la		e of the <i>private fund's</i> assets (by v	alue) was valued by a <i>person</i> , such as an a	ndministrator, that is n
		e of the <i>private fund's</i> assets (by v	alue) was valued by a <i>person</i> , such as an a	ıdministrator, that is n

**Marketers** 

 $28. \ \ (a) \ \ Does \ the \ \textit{private fund} \ use \ the \ services \ of \ someone \ other \ than \ you \ or \ your \ \textit{employees} \ for \ marketing \ purposes?$  $\circ$ You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the private fund uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Yes No

. PRI	IVATE FUND	
nforn	mation About the <i>Private Fund</i>	
	<ul> <li>(a) Name of the private fund: LIDO CAP AND GAP FUND, LP</li> <li>(b) Private fund identification number: (include the "805-" prefix also)</li> <li>805-3513996739</li> </ul>	
2. L	Under the laws of what state or country is the <i>private fund</i> organized:	
	State: Country: Delaware United States	
	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):	
	Name of General Partner, Manager, Trustee, or Director  LIDO CAP AND GAP GP, LLC	
(	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.	
	No Information Filed	
	The <i>private fund</i> (check all that apply; you must check at least one):  (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1	40
<u> </u>	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 10 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 10 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 10 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 10 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 10 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 10 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 10 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 10 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 10 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 10 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 10 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 10 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company (2) qualifies for the exclusion from	
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5. L 6. (	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the Envestm	Yes N  Yes N
5. L (( ( ( ( ( ( ( ( ( ( ( ( ( ( ( ( ( (	(1) qualifies for the exclusion from the definition of investment company under section 3(c) (1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c) (7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c) (7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c) (7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c) (1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c) (1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition for a five action 3 (c) (1) of the Investment Company Act of 1 (2) qualifies for the Envestment Company Act of 1 (2) qualifies for the Envestment Company Act of 1 (2) qualifies for the Envestment Company Act of 1 (2) qualifies for the Envestment Company Act of 1 (2) qualifies for the Envestment Company Act of 1 (2) qualifies for the Envestment Company Act of 1 (2) qualifies for the Envestment Company Act of 1 (2) qualifies for the Envestment Company act of 1 (2) qualifies for the Envestment Company Act of 1 (2) qualifies for the Envestment Company act of 1 (2) qualifies for the Envestment Company act of 1 (2) qualifies for the Envestment Company act of 1 (2) qualifies for the Envestment Company act of 1 (2) qualifies for the Envestment Company act of 1 (2) qualifies for the Envestment Company act of 1 (2) qualifies for the Envestment Company act of 1 (2) qualifies for the Envestment Company act of 1 (2) qualifies for the Envestment Company act of 1 (2) qualifies for the Envestment Company act of 1 (2) qualifies for the Envestment Company act of 1 (2) qualifies for the Envestment Company act of 1 (2) qualifies for the Envestment Company act of 1 (2) qualifies for the Envestment Compan	Yes N O O O
5. L ( ( ( ( ( ( ( ( ( ( ( ( ( ( ( ( ( ( (	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1 (2) qualifies for the Investment Company Act of 1 (2) qualifies for the Investment Company Act of 1 (2) qualifies for the Investment Company Act of 1 (2) qualifies for the Investment Company Act of 1 (2) qualifies for the Investment Company Act of 1 (2) qualifies for the Investment Company Act of 1 (2) qualifies for the Investment Company Act of 1 (2) qualifies for the Investment Company Act of 1 (2) qualifies for the Investment Company Act of 1 (2) qualifies for the Investment Company Act of 1 (2) qualifies for the Investment Company Act of 1 (2) qualifies for the Investment Company Act of 1 (2) qualifies for the Investment Company Act of 1 (2) qualifies for the Investment Company Act of 1 (2) qualifies for the Investment Company Act of 1 (2) qualifies for the Investment Company Act of 1 (2) qualifies for 1 (2) quali	Yes N O 6  Yes N O 6

multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes No

8.	(a) Is this private fund a "fund of funds"?	$\circ$	$\odot$
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private	e fund	d:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 17,104,827		
<u>Ow</u>	<u>nership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :  \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners:  52		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes ©	O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
Voi	ur Advisory Services		
100		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	•
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	$\circ$	$\odot$
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the a to question 18.(a) is "no," leave this question blank.	answe	er
	No Information Filed		
10	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	Yes	_
17.	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	•	О
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?  1%		
<u>Priv</u>	vate Offering		
		Yes	No

21. Has the *private fund* ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?

 $\odot$   $\circ$ 

22. If y	yes, provide the <i>private fund's</i> Form D file number (if any):		
Fo	rm D file number		
02	1-414290		
3. SERV	ICE PROVIDERS		
Audito			
23 (a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?		es No
23. (a)	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	9	_
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi	elin or fin	
	you must complete questions (b) through (f) separately for each auditing firm.	ting m	111,
	Additional Auditor Information : 1 Record(s) Filed.		
	Additional Addition Thiormation : T Record(s) Thed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one autirm, you must complete questions (b) through (f) separately for each auditing firm.	ıditing	
	(b) Name of the auditing firm:		
	WEAVER AND TIDWELL, LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:  MANHATTAN BEACH California United States		
		Yes	No
	(d) Is the auditing firm an independent public accountant?	•	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 410		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	Y 6	s No
(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	opinio	ns?
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is ava	ilable.
Prime	<u>Broker</u>		
		Υe	es No
24. (a)			_
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	the <i>pri</i>	vate
	Additional Prime Broker Information : 1 Record(s) Filed.		
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>private fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	If the	
	(b) Name of the prime broker:  APEX CLEARING CORPORATION		
	(c) If the prime broker is registered with the SEC, its registration number: 8 - 23522		
	CRD Number (if any):		

City:	State:	Country:	
DALLAS	Texas	United States	
(e) Does this prime broker	act as custodian for some or	r all of the <i>private fund's</i> assets?	Y
(c) Boes this prime broker	det de destocian for some of	Tall of the private rana's assets.	•
<u>n</u>			
Doos the private funduce any	reportedians (including the pr	rime brokers listed above) to hold some or all of its assets?	
f the answer to question 25	(a) is "yes," respond to ques	rime brokers listed above) to hold some or all of its assets? stions (b) through (g) below for each custodian the <i>private fund</i> uses. If the ions (b) through (g) separately for each custodian.	e <i>pri</i> v
Additional Custodian Infor	mation : 2 Record(s) Filed.		
		uestions (b) through g) below for each custodian the <i>private fund</i> uses. If e questions (b) through (g) separately for each custodian.	he <i>pr</i>
(b) Legal name of custodia APEX CLEARING CORPO			
(c) Primary business name APEX CLEARING CORPO			
(d) The location of the cus	todian's office responsible for	or custody of the private fund's assets (city, state and country):	
City: DALLAS	State: Texas	Country: United States	
	· ondo		Υ
(e) Is the custodian a rela	ted person of your firm?		C
8 - 23522 CRD Number (if any):	oker-dealer, provide its SEC r	registration number (if any):	
(g) If the custodian is not identifier (if any)	a broker-dealer, or is a broke	er-dealer but does not have an SEC registration number, provide its <i>legal</i>	entity
		uestions (b) through g) below for each custodian the <i>private fund</i> uses. If	he <i>pr</i>
(b) Legal name of custodia  MIDFIRST BANK		e questions (b) through (g) separately for each custodian.	
(c) Primary business name 1ST CENTURY BANK	e of custodian:		
(d) The location of the cus	todian's office responsible for Stat	or <i>custody</i> of the <i>private fund's</i> assets (city, state and country): te: Country:	
LOS ANGELES		fornia United States	
(e) Is the custodian a <i>rela</i>	ted person of your firm?		Y.

	identifier (if any)							
	337KMNHEWWWR6B7Q7W10							
Adminis	strator							
				Yes No				
26. (a)	Does the <i>private fund</i> use an administrator  If the answer to question 26.(a) is "yes," re	•	h (f) holow. If the private funduses more	• O				
	must complete questions (b) through (f) se		•	.nan one administrator, you				
	Additional Administrator Information : 1	Record(s) Filed.						
	If the answer to question 26.(a) is "yes," administrator, you must complete question		ugh (f) below. If the <i>private fund</i> uses mor or each administrator.	e than one				
	(b) Name of administrator: PANOPTIC FUND ADMINISTRATION							
	(c) Location of administrator (city, state	and country):						
	City:	State:	Country:					
	LOS ANGELES	California	United States	Yes No				
	(d) Is the administrator a related person	of your firm?		0 0				
	(e) Does the administrator prepare and	send investor account stateme	ents to the <i>private fund's</i> investors?					
	(e) Does the administrator prepare and send investor account statements to the <i>private fund's</i> investors?  Yes (provided to all investors) Some (provided to some but not all investors) No (provided to no investors)							
	•		nvestor account statements to the (rest of the) private fund's investors, respond "r					
	ring your last fiscal year, what percentage of our <i>related person</i> ? 10%	the <i>private fund's</i> assets (by v	ralue) was valued by a <i>person</i> , such as an	administrator, that is not				
rele	lude only those assets where (i) such <i>person</i> evant quotes, and (ii) the valuation used for ocations) was the valuation determined by su	purposes of investor subscript						
Market	<u>ers</u>							
28 (a)	Does the <i>private fund</i> use the services of so	omeone other than you or your	employees for marketing nurnoses?	Yes No				
20. (u)	You must answer "yes" whether the <i>person</i> similar <i>person</i> . If the answer to question 28 uses. If the <i>private fund</i> uses more than one	acts as a placement agent, co .(a) is "yes," respond to quest	onsultant, finder, introducer, municipal advions (b) through (g) below for each such r	marketer the <i>private fund</i>				
		No Informa	tion Filed					
A. PRIVA	TE FUND							
Informa	tion About the Private Fund							
<u>miorma</u>	tion About the <i>Private Fund</i>							
1. (a)	Name of the private fund:							
(h)	LIDO POWERLIFT FUND, LP  Private fund identification number:							
(n)	r rivate runu luentincation number:							

(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity

2. Under the laws of what state or country is the private fund organized:

(include the "805-" prefix also)

805-2096682732

	State: Delaware	Country: United States						
	( ) ( )							
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):  Name of General Partner, Manager, Trustee, or Director							
	LIDO POWERLIFT GP, LLC	iger, Trustee, or Director						
	LIBOTOWERENT OF, LEG							
	(b) If filing an umbrella registration	on, identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .  No Information Filed						
4.	The private fund (check all that ap	oply; you must check at least one):						
		from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940						
	$\square$ (2) qualifies for the exclusion	from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	0					
5.	List the name and country in End	glish, of each foreign financial regulatory authority with which the private fund is registered.						
Ο.	List the name and country, in Eng	No Information Filed						
			Yes No					
6.	(a) Is this a "master fund" in a n	naster-feeder arrangement?	O 0					
		private fund identification number (if any) of the feeder funds investing in this private fund?	0 0					
		No Information Filed						
			Yes No					
	(c) Is this a "feeder fund" in a m	naster-feeder arrangement?	0 0					
	(d) If yes, what is the name and Name of <i>private fund</i> :	private fund identification number (if any) of the master fund in which this private fund invests?						
	Private fund identification nun (include the "805-" prefix also							
7.	•	ent or reporting on the funds separately.  D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), owing questions:	, for each of					
		No Information Filed						
	assets in a single fund ("master f	6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if ares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	f it issued					
0	(a) Is this private fund a "fund of	funda"?	Yes No					
8.		tunds? ion only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investm hey are also <i>private funds</i> or registered investment companies.	<b>C ⊙</b> nent					
	•	invest in funds managed by you or by a related person?	0 0					
			Yes No					
9.		ne <i>private fund</i> invest in securities issued by investment companies registered under the Investment in "money market funds," to the extent provided in Instruction 6.e.)?	0 0					
10.	What type of fund is the private f	iund?						
	• hedge fund • liquidity fund	$^{ extsf{C}}$ private equity fund $^{ extsf{C}}$ real estate fund $^{ extsf{C}}$ securitized asset fund $^{ extsf{C}}$ venture capital fund $^{ extsf{C}}$ Other $\emph{pr}$	rivate fund:					
	NOTE: For definitions of these ful	nd types, please see Instruction 6 of the Instructions to Part 1A.						
11.	Current gross asset value of the	private fund:						

\$ 7,857,572

<u>Ow</u>	<u>ners</u>	<u>nip</u>		
12.		imum investment commitment required of an investor in the <i>private fund</i> : 0,000		
	ПОИ	ΓΕ: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the anizational documents of the fund).		
13.	App 30	proximate number of the <i>private fund's</i> beneficial owners:		
14.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your related persons:		
15.	(a) 0%			
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	es ⊙	No C
16.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
You	ır Ad	lvisory Services		
				No
17.		Are you a subadviser to this <i>private fund</i> ?  If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17.(a) is "no," leave this question blank.		⊙
		No Information Filed		
			'es	No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund?</i> If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the atto question 18.(a) is "no," leave this question blank.		<b>⊙</b> er
		No Information Filed		
		·	'es	No
19.		your <i>clients</i> solicited to invest in the <i>private fund</i> ?	•	$\circ$
	NOT	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App 0%	proximately what percentage of your clients has invested in the private fund?		
<u>Priv</u>	/ate	Offering		
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	es ⊙	No O
22.	If y	es, provide the <i>private fund's</i> Form D file number (if any):		
		m D file number		
	02	1-459747		
B. S	ERVI	CE PROVIDERS		
Aud	litors		⁄es	No
23.	(a)	(1) Are the private fund's financial statements subject to an annual audit?	•	0
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	īrm,	
		Additional Auditor Information : 1 Record(s) Filed.		

(b)	Name of the auditing firm:		
	WEAVER AND TIDWELL, LLP		
(c)	The location of the auditing firm's	office responsible for the <i>private fund's</i> audit (city, state and country):	
	City:	State: Country:	
	MANHATTAN BEACH	California United States	Ye
(d)	Is the auditing firm an independent	public accountant?	•
(e)	Is the auditing firm registered with	n the Public Company Accounting Oversight Board?	•
	If yes, Public Company Accounting 410	Oversight Board-Assigned Number:	
(f)	If "yes" to (e) above, is the auditing accordance with its rules?	ng firm subject to regular inspection by the Public Company Accounting Oversight Boa	ard in 👩
			Υ
	he <i>private fund's</i> audited financial st stors?	atements for the most recently completed fiscal year distributed to the <i>private fund's</i>	5
			usalified onini
Do al	I of the reports prepared by the aud	liting firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain und	quaimeu opim
	I of the reports prepared by the audies O No O Report Not Yet Receive		дианней орин
€ Ye	es O No O Report Not Yet Receive	d ou must promptly file an amendment to your Form ADV to update your response when th	,
Froker  Does  If the fund to	the private fund use one or more presence more than one prime broker, y	to must promptly file an amendment to your Form ADV to update your response when the sime brokers?  " respond to questions (b) through (e) below for each prime broker the private funder you must complete questions (b) through (e) separately for each prime broker.	ne report is av
Froker  Does  If the fund to	the private fund use one or more presented answer to question 24.(a) is "yes,"	to must promptly file an amendment to your Form ADV to update your response when the sime brokers?  " respond to questions (b) through (e) below for each prime broker the private funder you must complete questions (b) through (e) separately for each prime broker.	ne report is av
From Property of the From Prop	the <i>private fund</i> use one or more present and prime Broker Information:  the answer to question 24.(a) is "yes,"  itional Prime Broker Information:  the answer to question 24.(a) is "yes,"  itional Prime Broker Information:	to must promptly file an amendment to your Form ADV to update your response when the sime brokers?  " respond to questions (b) through (e) below for each prime broker the private funder you must complete questions (b) through (e) separately for each prime broker.	ne report is available for the part of the part of the disconnection of the part of the disconnection of the disco
From Property of the From Prop	the <i>private fund</i> use one or more present and prime Broker Information:  the answer to question 24.(a) is "yes,"  itional Prime Broker Information:	time brokers?  "respond to questions (b) through (e) below for each prime broker the private fund to unust complete questions (b) through (e) separately for each prime broker.  1 Record(s) Filed.  s," respond to questions (b) through (e) below for each prime broker the private fund to	ne report is available for the part of the part of the disconnection of the part of the disconnection of the disco
Froker  Does  If the fund to the price (b)	the private fund use one or more present than one prime broker, you uses more than one prime broker, you it it in all Prime Broker Information:  The answer to question 24.(a) is "yes, uses more than one prime broker, you it in all Prime Broker Information:  The answer to question 24.(a) is "yes, answer to question 24.(a) is "yes, answer to question 24.(a) is "yes, answer to question 24.(b) is "yes, answer to question 24.(c) is "yes, answer to question 24.(a) is "yes, answer to question 24.(b) is "yes, answer to question 24.(c) is "yes,	time brokers?  "respond to questions (b) through (e) below for each prime broker the private fund to unust complete questions (b) through (e) separately for each prime broker.  1 Record(s) Filed.  s," respond to questions (b) through (e) below for each prime broker the private fund to	ne report is available for the part of the part of the disconnection of the part of the disconnection of the disco
Froker  Does  If the fund to the price (b)	the private fund use one or more privates answer to question 24.(a) is "yes," uses more than one prime broker, you itional Prime Broker Information:  The answer to question 24.(a) is "yes," itional Prime Broker Information:  The answer to question 24.(a) is "yes," itional Prime Broker Information:  The answer to question 24.(a) is "yes," itional Prime Broker Information:  The answer to question 24.(a) is "yes," itional Prime Broker Information:  The answer to question 24.(b) is "yes," itional Prime Broker Information:  The answer to question 24.(b) is "yes," itional Prime Broker Information:  The answer to question 24.(b) is "yes," itional Prime Broker Information:  The answer to question 24.(c) is "yes," itional Prime Broker Information:  The answer to question 24.(c) is "yes," itional Prime Broker Information:  The answer to question 24.(c) is "yes," itional Prime Broker Information:  The answer to question 24.(c) is "yes," itional Prime Broker Information:  The answer to question 24.(d) is "yes," itional Prime Broker Information:  The answer to question 24.(d) is "yes," itional Prime Broker Information:  The answer to question 24.(e) is "yes," itional Prime Broker Information:  The answer to question 24.(e) is "yes," itional Prime Broker Information:  The answer to question 24.(e) is "yes," itional Prime Broker Information:  The answer to question 24.(e) is "yes," itional Prime Broker Information:  The answer to question 24.(e) is "yes," itional Prime Broker Information:  The answer to question 24.(e) is "yes," itional Prime Broker Information:  The answer to question 24.(e) is "yes," itional Prime Broker Information:  The answer to question 24.(e) is "yes," itional Prime Broker Information:  The answer to question 24.(e) is "yes," itional Prime Broker Information:  The answer to question 24.(e) is "yes," itional Prime Broker Information:  The answer to question 24.(e) is "yes," itional Prime Broker Information:  The answer to question 24.(e) is "yes," itional Prime Broker Information:  The answer to questio	ou must promptly file an amendment to your Form ADV to update your response when the private fund to the private questions (b) through (e) below for each prime broker the private fund to understand the private questions (b) through (e) separately for each prime broker.  1 Record(s) Filed.  1, "respond to questions (b) through (e) below for each prime broker the private fund broker, you must complete questions (b) through (e) separately for each prime broker.	ne report is available for the part of the part of the disconnection of the part of the disconnection of the disco
From the second of the second	the private fund use one or more present and prime Broker Information:  the answer to question 24.(a) is "yes," uses more than one prime broker, you it it it it is a superior of the prime Broker Information:  The answer to question 24.(a) is "yes, it is answer to question 24.(b) is "yes, it is answer to question 24.(c) is "yes, it is answer to question 24.(a) is "yes, it is answer to question 24.(b) is "yes, it is answer to question 24.(c) is "yes, it is answer to question 24.(d) is "yes, it is answer to question 24.(e) is	time brokers?  "respond to questions (b) through (e) below for each prime broker the private fund to you must complete questions (b) through (e) separately for each prime broker.  1 Record(s) Filed.  s," respond to questions (b) through (e) below for each prime broker the private fund broker, you must complete questions (b) through (e) below for each prime broker the private fund broker, you must complete questions (b) through (e) separately for each prime broker.	ne report is available for the part of the part of the disconnection of the part of the disconnection of the disco
Froker  Does  If the fund (b)  (c)	the private fund use one or more privates answer to question 24. (a) is "yes," uses more than one prime broker, you itional Prime Broker Information:  The answer to question 24. (a) is "yes," uses more than one prime broker, you itional Prime Broker Information:  The answer to question 24. (a) is "yes," uses more than one prime broker fund uses more than one prime.  Name of the prime broker:  FIDELITY BROKERAGE SERVICES LL  If the prime broker is registered w 8 - 23292  CRD Number (if any): 7784	time brokers?  "respond to questions (b) through (e) below for each prime broker the private fund rou must complete questions (b) through (e) separately for each prime broker.  1 Record(s) Filed.  s," respond to questions (b) through (e) below for each prime broker the private fund broker, you must complete questions (b) through (e) below for each prime broker the private fund broker, you must complete questions (b) through (e) separately for each prime broker.	ne report is available for the part of the part of the disconnection of the part of the disconnection of the disco
Froker  Does  If the fund (b)  (c)	the private fund use one or more privates answer to question 24. (a) is "yes," uses more than one prime broker, yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (b) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (b) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to question 24. (a) is "yes, itional Prime Broker Information:  The answer to quest	time brokers?  "respond to questions (b) through (e) below for each prime broker the private fund to unust complete questions (b) through (e) separately for each prime broker.  1 Record(s) Filed.  s," respond to questions (b) through (e) below for each prime broker the private fund to broker, you must complete questions (b) through (e) separately for each prime broker the private fund broker, you must complete questions (b) through (e) separately for each prime broker.  Cuth the SEC, its registration number:	ne report is available for the part of the part of the disconnection of the part of the disconnection of the disco

If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund

uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

Additional Custodian Information: 2 Record(s) Filed.

	,		ng) below for each custodian the <i>private fund</i> ugh (g) separately for each custodian.	uses. If the <i>private</i>
(b)	Legal name of custodian: FIDELITY BROKERAGE SERVICES LLC			
(c)	Primary business name of custodian: FIDELITY BROKERAGE SERVICES LLC			
(d)	The location of the custodian's office	responsible for custody of the pri-	vate fund's assets (city, state and country):	
	City: ALBUQUERQUE	State: New Mexico	Country: United States	
				Yes No
(e)	Is the custodian a related person of y	our firm?		0 0
(f)	If the custodian is a broker-dealer, pr 8 - 23292	ovide its SEC registration number	(if any):	
	CRD Number (if any): 7784			
(g)	If the custodian is not a broker-deale identifier (if any)	er, or is a broker-dealer but does	not have an SEC registration number, provide i	its <i>legal entity</i>
fun	•		ng) below for each custodian the <i>private fund</i> uugh (g) separately for each custodian.	uses. If the <i>private</i>
(c)	Primary business name of custodian: LIDO ADVISORS, LLC			
(d)	The location of the custodian's office	responsible for <i>custody</i> of the <i>pri</i>	vate fund's assets (city, state and country):	
	City: LOS ANGELES	State: California	Country: United States	
				Yes No
(e)	Is the custodian a related person of y	our firm?		0 0
(f)	If the custodian is a broker-dealer, pr	ovide its SEC registration number	(if any):	
	CRD Number (if any):			
(g)	identifier (if any)	er, or is a broker-dealer but does	not have an SEC registration number, provide i	its <i>legal entity</i>
	337KMNHEWWWR6B7Q7W10			
trato	<u>r</u>			
				Yes No

## **Administ**

26. (a) Does the *private fund* use an administrator other than your firm?

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Additional Administrator Information : 1 Record(s) Filed.

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

(b) Name of administrator:

		(c)	Location of admi	nistrator (city, state an	nd country):		
			City:		State:	Country:	
			LOS ANGELES		California	United States	
							Yes No
		(d)	Is the administra	ator a <i>related person</i> of	your firm?		0 0
		(e)	Does the admini	strator prepare and se	nd investor account stateme	ents to the <i>private fund's</i> investors?	
				to all investors) O Sor	me (provided to some but no	ot all investors) $_{ extsf{O}}$ No (provided to no invest	tors)
				•		investor account statements to the (rest of of the) private fund's investors, respond "no	
				estor account statemer		or the private runu's investors, responding	л аррпсавіе.
	your i	relate	ur last fiscal year ed person?	, what percentage of tl	he <i>private fund's</i> assets (by v	value) was valued by a <i>person</i> , such as an a	administrator, that is not
	100%			(1)			
	releva	ant q	uotes, and (ii) th	•	urposes of investor subscript	cedure established for that asset, if any, inc tions, redemptions or distributions, and fee	
Лar	keters	S					
		_					Yes No
28.	(a) D	oes t	the <i>private fund</i> u	se the services of som	neone other than you or your	r employees for marketing purposes?	0 0
	Y	ou m	ust answer "yes	" whether the <i>person</i> a	icts as a placement agent, co	onsultant, finder, introducer, municipal advi	sor or other solicitor, or
			•	•		cions (b) through (g) below for each such m e questions (b) through (g) separately for ea	·
					No Informa	ition Filed	
DE	RIVATE	. ELINI	D				
. PR	IVAIL	. FUIN	D				
nfor	matio	n Ab	out the <i>Private</i>	<u>Fund</u>			
١.	(a) N	ame	of the private fur	nd:			
	LI	IDO (	JNCAPPED 2025 I	FIRST QUARTERLY, LP			
	` '		e fund identification				
			de the "805-" pre 600383472	iix aiso)			
	0.	03-7	000303472				
2.	Under	r the	laws of what sta	te or country is the pri	ivate fund organized:		
	S	tate:			Country:		
	D	elaw	are		United States		
)	(a) N	lama/	(s) of Conoral Day	rtnor Managor Trusto	e, or Directors (or <i>persons</i> se	orving in a similar conscitu).	
).				, Manager, Trustee, or	· •	erving in a similar capacity).	
			APPED 2025 QUA	<del>_</del>	Director		
	2.00	0110	7.11 123 2020 (207				
	(b) If	filing	g an <i>umbrella reg</i>	<i>istration</i> , identify the <i>fil</i>	ling adviser and/or relying adv	viser(s) that sponsor(s) or manage(s) this p	private fund.
					No Information	on Filed	
١.	The p	rivate	e fund (check all t	that apply; you must ch	neck at least one):		
	<b>(</b> 1	1) qua	alifies for the exc	lusion from the definiti	on of investment company u	nder section 3(c)(1) of the Investment Com	ipany Act of 1940
	[ (2	2) qua	alifies for the exc	lusion from the definiti	on of investment company u	nder section 3(c)(7) of the Investment Com	pany Act of 1940
	lict +b	20.00	mo and country	in English of each for	oian financial regulatory autho	prity with which the private fund is registered	d

No Information Filed

PANOPTIC

6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	$\odot$
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		V	NI.
	(c) Is this a "feeder fund" in a master-feeder arrangement?	Yes	
	<ul><li>(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?</li><li>Name of private fund:</li></ul>	0	•
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(	1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	r each	n of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially al assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
0	(a) Is this private fund a "fund of funda"?	Yes	
ο.	(a) Is this <i>private fund</i> a "fund of funds"?  NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	t	•
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10	What type of fund is the <i>private fund</i> ?		
10.	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private fund • other private equity fund •	ate fur	ıd <sup>.</sup>
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 11,321,025		
<u>Ow</u>	<u>vnership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :  \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).	<del>j</del>	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 41		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		

Yes No

	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	•	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	ur Advisory Services		
47	(a) And the state of the state		s No
17.	<ul><li>(a) Are you a subadviser to this <i>private fund</i>?</li><li>(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answertion 17.(a) is "no," leave this question blank.</li></ul>	over to	•
	No Information Filed		
		Ye	s No
18.	<ul><li>(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i>?</li><li>(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If t to question 18.(a) is "no," leave this question blank.</li></ul>	C he ansv	
	No Information Filed		
		Ye	s No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	•	0
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?  1%		
<u>Pri</u>	vate Offering		- 81-
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Y es	s No
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number 021-497472		
	021 177172		
B. S	ERVICE PROVIDERS		
Aud	<u>ditors</u>	Υe	s No
23.	(a) (1) Are the private fund's financial statements subject to an annual audit?	•	_
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	e	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audityou must complete questions (b) through (f) separately for each auditing firm.	ting firr	m,
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one autirm, you must complete questions (b) through (f) separately for each auditing firm.	uditing	
	(b) Name of the auditing firm: WEAVER & TIDWELL, LP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country: MANHATTAN BEACH California United States		
	(d) Is the auditing firm an independent public association?	Yes	No
	(d) Is the auditing firm an independent public accountant?	•	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 410		

g) Ar	re the <i>private fund's</i> audited financial	statements for the most recently co	mpleted fiscal year distributed to the <i>private fund's</i>	Yes
	vestors?			
	, , , ,	·	your last annual updating amendment contain unq	ualified opinion
	Yes No © Report Not Yet Recei			
If	you check "Report Not Yet Received,"	' you must promptly file an amendmen	to your Form ADV to update your response when the	e report is availa
Bro	<u>ker</u>			Yes
a) Do	oes the <i>private fund</i> use one or more	e prime brokers?		·
			(e) below for each prime broker the <i>private fund</i> unrough (e) separately for each prime broker.	uses. If the <i>prive</i>
A	additional Prime Broker Informatio	n : 1 Record(s) Filed.		
- 11	•		gh (e) below for each prime broker the <i>private fund</i> ons (b) through (e) separately for each prime brok	
	(b) Name of the prime broker: FIDELITY BROKERAGE SERVICES	S LLC		
	(c) If the prime broker is registered 8 - 23292	I with the SEC, its registration number	r:	
	CRD Number (if any): 7784			
	(d) Location of prime broker's office	used principally by the <i>private fund</i> (	city, state and country):	
	City:	State:	Country:	
		Now Movies	United States	
	ALBUQUERQUE	New Mexico		V
		ustodian for some or all of the <i>private</i>		_
				_
	(e) Does this prime broker act as cu			<u>©</u>
<u>l</u> lian	(e) Does this prime broker act as cu	ustodian for some or all of the <i>private</i>		Ye
<b>dian</b> ) Do	(e) Does this prime broker act as cure oes the <i>private fund</i> use any custodia the answer to question 25.(a) is "ye	ustodian for some or all of the <i>private</i> ans (including the prime brokers liste	fund's assets?  d above) to hold some or all of its assets?  (g) below for each custodian the private fund uses	⊙ Ye ⊙
dian ) Do	(e) Does this prime broker act as concept the private fund use any custodiate the answer to question 25.(a) is "yes ses more than one custodian, you m	ustodian for some or all of the <i>private</i> ans (including the prime brokers liste es," respond to questions (b) through ust complete questions (b) through (	fund's assets?  d above) to hold some or all of its assets?  (g) below for each custodian the private fund uses	⊙ Ye ⊙
dian ) Do	(e) Does this prime broker act as cure oes the <i>private fund</i> use any custodia the answer to question 25.(a) is "ye	ustodian for some or all of the <i>private</i> ans (including the prime brokers liste es," respond to questions (b) through ust complete questions (b) through (	fund's assets?  d above) to hold some or all of its assets?  (g) below for each custodian the private fund uses	⊙ Ye ⊙
If us	(e) Does this prime broker act as concess the <i>private fund</i> use any custodiate the answer to question 25.(a) is "yes sees more than one custodian, you mandaditional Custodian Information:	ustodian for some or all of the private ans (including the prime brokers liste es," respond to questions (b) through ust complete questions (b) through (2 Record(s) Filed.  'yes," respond to questions (b) through	fund's assets?  d above) to hold some or all of its assets?  (g) below for each custodian the private fund uses	Yes
lf us	(e) Does this prime broker act as concess the <i>private fund</i> use any custodiate the answer to question 25.(a) is "yes sees more than one custodian, you mandaditional Custodian Information:	ustodian for some or all of the private ans (including the prime brokers liste es," respond to questions (b) through ust complete questions (b) through (  2 Record(s) Filed.  'yes," respond to questions (b) through (b) through (c) and (c) are also as a second (c).	d above) to hold some or all of its assets?  (g) below for each custodian the private fund uses g) separately for each custodian.	Yes
dian  ) Do  If us	(e) Does this prime broker act as composes the <i>private fund</i> use any custodiate the answer to question 25.(a) is "yes ses more than one custodian, you mand the answer to question 1 formation: If the answer to question 25.(a) is 'fund uses more than one custodian, (b) Legal name of custodian:	ans (including the prime brokers liste es," respond to questions (b) through ust complete questions (b) through (2 Record(s) Filed.  'yes," respond to questions (b) through you must complete questions (b) through (c) the state of the complete questions (b) the state of the complete questions (c) the complete questions (d) the complete q) the complete questions (d)	d above) to hold some or all of its assets?  (g) below for each custodian the private fund uses g) separately for each custodian.	Yes  S. If the <i>private</i>
dian  If us  A	(e) Does this prime broker act as composes the <i>private fund</i> use any custodian the answer to question 25.(a) is "yes ses more than one custodian, you mand additional Custodian Information:  If the answer to question 25.(a) is "fund uses more than one custodian,"  (b) Legal name of custodian:  FIDELITY BROKERAGE SERVICES  (c) Primary business name of custom FIDELITY BROKERAGE SERVICES  (d) The location of the custodian's composite that the private fund is a custom fund in the custodian's composite fund in the custodian in the c	ans (including the prime brokers listers," respond to questions (b) through ust complete questions (b) through (complete questions).  2 Record(s) Filed.  2 Yes," respond to questions (b) through (complete questions) (b) through (complete questions).  3 LLC  3 LLC  5 LLC  5 office responsible for custody of the positions.	d above) to hold some or all of its assets?  (g) below for each custodian the private fund uses g) separately for each custodian.  gh g) below for each custodian the private fund use rough (g) separately for each custodian.	Yes  S. If the private
lf us	(e) Does this prime broker act as composes the <i>private fund</i> use any custodian the answer to question 25.(a) is "yes ses more than one custodian, you madditional Custodian Information:  If the answer to question 25.(a) is 'fund uses more than one custodian,  (b) Legal name of custodian:  FIDELITY BROKERAGE SERVICES  (c) Primary business name of custom FIDELITY BROKERAGE SERVICES	ens (including the prime brokers liste es," respond to questions (b) through ust complete questions (b) through (2 Record(s) Filed.  'yes," respond to questions (b) through you must complete questions (b) the state of the complete questions (b) the state of the complete questions (b) the state of the complete questions (c) the state of the complete questions (b) the state of the complete questions (c) the state of the complete questions (d) the complete questions (d	d above) to hold some or all of its assets?  (g) below for each custodian the private fund uses g) separately for each custodian.  gh g) below for each custodian the private fund use going the	Yes  S. If the <i>private</i>
lf us	(e) Does this prime broker act as composes the <i>private fund</i> use any custodian the answer to question 25.(a) is "yes ses more than one custodian, you mandaditional Custodian Information:  If the answer to question 25.(a) is 'fund uses more than one custodian,  (b) Legal name of custodian:  FIDELITY BROKERAGE SERVICES  (c) Primary business name of custom FIDELITY BROKERAGE SERVICES  (d) The location of the custodian's continuous cus	ustodian for some or all of the private ans (including the prime brokers liste es," respond to questions (b) through ust complete questions (b) through (2 Record(s) Filed.  'yes," respond to questions (b) through you must complete questions (b) the filed.  SLLC  office responsible for custody of the private state:	d above) to hold some or all of its assets?  (g) below for each custodian the private fund uses g) separately for each custodian.  gh g) below for each custodian the private fund use rough (g) separately for each custodian.	Yes s. If the <i>private</i>
lf us	(e) Does this prime broker act as composes the <i>private fund</i> use any custodian the answer to question 25.(a) is "yes ses more than one custodian, you mandaditional Custodian Information:  If the answer to question 25.(a) is 'fund uses more than one custodian,  (b) Legal name of custodian:  FIDELITY BROKERAGE SERVICES  (c) Primary business name of custom FIDELITY BROKERAGE SERVICES  (d) The location of the custodian's continuous cus	ustodian for some or all of the private ans (including the prime brokers liste es," respond to questions (b) through ust complete questions (b) through (2 Record(s) Filed.  'yes," respond to questions (b) through you must complete questions (b) the state:  S LLC  office responsible for custody of the process of the proc	d above) to hold some or all of its assets?  (g) below for each custodian the private fund uses g) separately for each custodian.  gh g) below for each custodian the private fund use rough (g) separately for each custodian.	Yes s. If the private  Yes
llian  If us  A	(e) Does this prime broker act as composed the private fund use any custodian the answer to question 25. (a) is "yes ses more than one custodian, you madditional Custodian Information:  If the answer to question 25. (a) is 'fund uses more than one custodian,  (b) Legal name of custodian: FIDELITY BROKERAGE SERVICES  (c) Primary business name of customers of the custodian of the custodian's of the custodian a related personance of the custodian and the custodian and the custodian are custodian and the custodian are custodian and the custodian are custodi	ustodian for some or all of the private ans (including the prime brokers liste es," respond to questions (b) through ust complete questions (b) through (2 Record(s) Filed.  'yes," respond to questions (b) through you must complete questions (b) the state:  S LLC  office responsible for custody of the process of the proc	d above) to hold some or all of its assets?  (g) below for each custodian the private fund uses g) separately for each custodian.  gh g) below for each custodian the private fund use rough (g) separately for each custodian.  rivate fund's assets (city, state and country):  Country: United States	Yes  s. If the private  es. If the privat

(g) If the custodian is not a broker-identifier (if any)	dealer, or is a broker-dealer but do	oes not have an SEC registration number, provi	ide its <i>legal entity</i>
		ough g) below for each custodian the <i>private fu</i> hrough (g) separately for each custodian.	und uses. If the <i>pri</i> v
(b) Legal name of custodian: MIDFIRST BANK			
(c) Primary business name of custo 1ST CENTURY BANK	dian:		
(d) The location of the custodian's of	office responsible for <i>custody</i> of the	<pre>private fund's assets (city, state and country):</pre>	
City: LOS ANGELES	State: California	Country: United States	
LOG / WIGELES	od.iiioi i iii	omica otates	Ye
(e) Is the custodian a related person	n of your firm?		0
(f) If the custodian is a broker-deal	er, provide its SEC registration nun	nber (if any):	
- CRD Number (if any):			
337KMNHEWWWR6B7Q7W10			
strator			
	rator other than your firm?		,
Does the <i>private fund</i> use an administr	·	gh (f) below. If the <i>private fund</i> uses more than	
Does the <i>private fund</i> use an administr	es," respond to questions (b) throug		
Does the <i>private fund</i> use an administration of the answer to question 26.(a) is "yes	es," respond to questions (b) through (f) separately for each administrate		
Does the <i>private fund</i> use an administr  If the answer to question 26.(a) is "ye must complete questions (b) through  Additional Administrator Information	es," respond to questions (b) through (f) separately for each administrate on: 1 Record(s) Filed.  yes," respond to questions (b) through	ough (f) below. If the <i>private fund</i> uses more th	n one administrato
Does the <i>private fund</i> use an administr  If the answer to question 26.(a) is "ye must complete questions (b) through  Additional Administrator Information  If the answer to question 26.(a) is "	es," respond to questions (b) through (f) separately for each administrate on: 1 Record(s) Filed.  yes," respond to questions (b) through (f) separately	ough (f) below. If the <i>private fund</i> uses more th	n one administrato
Does the <i>private fund</i> use an administration of the answer to question 26.(a) is "ye must complete questions (b) through  Additional Administrator Information  If the answer to question 26.(a) is "administrator, you must complete question of the private of the	es," respond to questions (b) through (f) separately for each administrate on: 1 Record(s) Filed.  yes," respond to questions (b) through (f) separately on through (f) separately on the control of the	ough (f) below. If the <i>private fund</i> uses more th	n one administrato
Does the <i>private fund</i> use an administration of the answer to question 26.(a) is "ye must complete questions (b) through  Additional Administrator Information  If the answer to question 26.(a) is "administrator, you must complete question of the private of the	es," respond to questions (b) through (f) separately for each administrate on: 1 Record(s) Filed.  yes," respond to questions (b) through (f) separately on through (f) separately on the control of the	ough (f) below. If the <i>private fund</i> uses more th	n one administrato
Does the <i>private fund</i> use an administr  If the answer to question 26.(a) is "ye must complete questions (b) through  Additional Administrator Information  If the answer to question 26.(a) is "administrator, you must complete question of administrator:  PANOPTIC FUND ADMINISTRATION  (c) Location of administrator (city, so City:	es," respond to questions (b) through (f) separately for each administrate on: 1 Record(s) Filed.  Eyes," respond to questions (b) through (f) separately on through (f) separately on the state and country):  State: California	r.  Sugh (f) below. If the <i>private fund</i> uses more the for each administrator.  Country:	n one administrato
Does the <i>private fund</i> use an administr  If the answer to question 26.(a) is "ye must complete questions (b) through  Additional Administrator Information  If the answer to question 26.(a) is "administrator, you must complete question 26.(b) Name of administrator:  PANOPTIC FUND ADMINISTRATION  (c) Location of administrator (city, so City:  LOS ANGELES  (d) Is the administrator a <i>related permission</i> and the private of th	es," respond to questions (b) through (f) separately for each administrate on: 1 Record(s) Filed.  yes," respond to questions (b) through (f) separately estate and country):  State: California	ough (f) below. If the <i>private fund</i> uses more the for each administrator.  Country: United States	n one administrato
Does the <i>private fund</i> use an administr  If the answer to question 26.(a) is "ye must complete questions (b) through  Additional Administrator Information  If the answer to question 26.(a) is "administrator, you must complete question 26.(b) Name of administrator:  PANOPTIC FUND ADMINISTRATION  (c) Location of administrator (city, so City:  LOS ANGELES  (d) Is the administrator a <i>related period</i> (e) Does the administrator prepare	es," respond to questions (b) through (f) separately for each administrate on: 1 Record(s) Filed.  yes," respond to questions (b) through (f) separately destions (b) through (f) separately on State and country):  State: California  erson of your firm?	ough (f) below. If the <i>private fund</i> uses more the for each administrator.  Country: United States	n one administrato  nan one  Yes
Does the <i>private fund</i> use an administr  If the answer to question 26.(a) is "ye must complete questions (b) through  Additional Administrator Information  If the answer to question 26.(a) is "administrator, you must complete question 26.(b) Name of administrator:  PANOPTIC FUND ADMINISTRATION  (c) Location of administrator (city, so City:  LOS ANGELES  (d) Is the administrator a <i>related period</i> (e) Does the administrator prepare	es," respond to questions (b) through (f) separately for each administrate on: 1 Record(s) Filed.  yes," respond to questions (b) through (f) separately destions (b) through (f) separately on State and country):  State: California  erson of your firm?	r.  Fingh (f) below. If the <i>private fund</i> uses more the for each administrator.  Country: United States  ents to the <i>private fund's</i> investors?	Yes

27. During your last fiscal year, what percentage of the private fund's assets (by value) was valued by a person, such as an administrator, that is not your related person?

	100	9%		
	rele	ude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining arevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including cations) was the valuation determined by such <i>person</i> .	-	
Ma	arkete	<u>ers</u>		
0.0	( )		Yes	
28		Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	0	•
		You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicito similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.		
		No Information Filed		
A. F	PRIVA <sup>-</sup>	TE FUND		
Inf	ormat	tion About the <i>Private Fund</i>		
1.	(a)	Name of the private fund:		
	(1-)	LIDO UNCAPPED DIVERSIFIED FUND, LP		
	(0)	Private fund identification number: (include the "805-" prefix also)		
		805-8606750083		
2.	Und	ler the laws of what state or country is the <i>private fund</i> organized:		
۷.	OHO	State: Country:		
		Delaware United States		
3.	(a)	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Na	me of General Partner, Manager, Trustee, or Director		
	LIC	OO UNCAPPED DIVERSIFIED GP, LLC		
	(h)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .		
		No Information Filed		
4.	The	private fund (check all that apply; you must check at least one):		
	V	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		No Information Filed		
			Yes	No
6.	(a)	Is this a "master fund" in a master-feeder arrangement?	$\circ$	•
	(b)	If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
		No Information Filed		
			Yes	Nc
	(c)	Is this a "feeder fund" in a master-feeder arrangement?		•
		If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	~	-
	. /	Name of private fund:		
		Private fund identification number:		
		(include the "805-" prefix also)		

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

	the feeder funds answer the following questions:		
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	s No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	0	•
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	t	
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	s No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the private fund?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private of the	ite fui	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 33,777,323		
<u>Ow</u>	<u>vnership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :  \$ 50,000  NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the	e	
	organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 91		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	0.76	Yes	s No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	•	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
<u> Υοι</u>	ur Advisory Services		
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	s No ⊙
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	•

No Information Filed

		Ye	s No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	•	0
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?  1%		
Priv	rate Offering		
21.	Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Ye ⊙	s No
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):  Form D file number		
	021-414303		
. SI	RVICE PROVIDERS		
Auc	<u>itors</u>	Υє	es No
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	œ.	0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	œ	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one aud you must complete questions (b) through (f) separately for each auditing firm.	iting firi	m,
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one a firm, you must complete questions (b) through (f) separately for each auditing firm.  (b) Name of the auditing firm:	uditing	
	WEAVER AND TIDWELL, LLP		
	(c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country):		
	City: State: Country:		
	MANHATTAN BEACH California United States	Yes	No
	(d) Is the auditing firm an independent public accountant?	<b>⊙</b>	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 410		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		Ve	es No
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	C	
	(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	oinigo t	ns?
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the repor	t is avai	lable.
Prir	ne Broker		o Bi
24.	(a) Does the <i>private fund</i> use one or more prime brokers?	۲e و	s No
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	~	_

Additional Prime Broker Information : 1 Record(s) Filed.

(b) Name of the prime broke	er:		
APEX CLEARING CORPO			
(c) If the prime broker is re	egistered with the SEC, its reg	gistration number:	
8 - 23522			
CRD Number (if any): 13071			
(d) Location of prime broke	r's office used principally by tl	he <i>private fund</i> (city, state and country):	
City:	State:	Country:	
DALLAS	Texas	United States	,
(e) Does this prime broker	act as custodian for some or a	all of the <i>private fund's</i> assets?	
1			
<u>ın</u>			
,		me brokers listed above) to hold some or all of its as	
·		ions (b) through (g) below for each custodian the <i>pi</i> ns (b) through (g) separately for each custodian.	rivate fund uses. If the pr
uses more than one custodian	, you must complete question	ins (b) through (g) separately for each custodian.	
Additional Custodian Inform	nation: 2 Record(s) Filed.		
	stodian, you must complete on:	estions (b) through g) below for each custodian the paperstions (b) through (g) separately for each custod	
fund uses more than one cu  (b) Legal name of custodian	nstodian, you must complete on: RATION of custodian:		
fund uses more than one cu  (b) Legal name of custodiar APEX CLEARING CORPOR  (c) Primary business name APEX CLEARING CORPOR	stodian, you must complete on: RATION  of custodian: RATION	questions (b) through (g) separately for each custod	lian.
<ul> <li>fund uses more than one cu</li> <li>(b) Legal name of custodiar APEX CLEARING CORPORT</li> <li>(c) Primary business name APEX CLEARING CORPORT</li> <li>(d) The location of the customary</li> </ul>	n: RATION  of custodian: RATION  odian's office responsible for	questions (b) through (g) separately for each custod custod custody of the private fund's assets (city, state and c	lian.
fund uses more than one cu  (b) Legal name of custodiar APEX CLEARING CORPO  (c) Primary business name APEX CLEARING CORPO	stodian, you must complete on: RATION  of custodian: RATION	questions (b) through (g) separately for each custod	lian.
<ul> <li>(b) Legal name of custodiar APEX CLEARING CORPORT</li> <li>(c) Primary business name APEX CLEARING CORPORT</li> <li>(d) The location of the custocity: DALLAS</li> </ul>	n: RATION  of custodian: RATION  odian's office responsible for State: Texas	questions (b) through (g) separately for each custod custody of the <i>private fund's</i> assets (city, state and country:	country):
<ul> <li>fund uses more than one cu</li> <li>(b) Legal name of custodian APEX CLEARING CORPORT</li> <li>(c) Primary business name APEX CLEARING CORPORT</li> <li>(d) The location of the customatical city:</li> </ul>	n: RATION  of custodian: RATION  odian's office responsible for State: Texas	questions (b) through (g) separately for each custod custody of the <i>private fund's</i> assets (city, state and country:	lian.
<ul> <li>fund uses more than one cu</li> <li>(b) Legal name of custodiar APEX CLEARING CORPORT</li> <li>(c) Primary business name APEX CLEARING CORPORT</li> <li>(d) The location of the custodiary: DALLAS</li> <li>(e) Is the custodian a relate</li> </ul>	n: RATION  of custodian: RATION  odian's office responsible for State: Texas	questions (b) through (g) separately for each custod custody of the <i>private fund's</i> assets (city, state and contry: United States	country):
<ul> <li>fund uses more than one cu</li> <li>(b) Legal name of custodiar APEX CLEARING CORPORT</li> <li>(c) Primary business name APEX CLEARING CORPORT</li> <li>(d) The location of the custodians</li> <li>(e) Is the custodian a related to the custodian is a brown a respective of the</li></ul>	n: RATION  of custodian: RATION  odian's office responsible for State: Texas  ed person of your firm?	questions (b) through (g) separately for each custod custody of the <i>private fund's</i> assets (city, state and contry: United States	country):
<ul> <li>(b) Legal name of custodiar APEX CLEARING CORPORT</li> <li>(c) Primary business name APEX CLEARING CORPORT</li> <li>(d) The location of the custodiary: DALLAS</li> <li>(e) Is the custodian a related</li> <li>(f) If the custodian is a broad</li> </ul>	n: RATION  of custodian: RATION  odian's office responsible for State: Texas  ed person of your firm?	questions (b) through (g) separately for each custod custody of the <i>private fund's</i> assets (city, state and contry: United States	country):
fund uses more than one cu  (b) Legal name of custodiar APEX CLEARING CORPO  (c) Primary business name APEX CLEARING CORPO  (d) The location of the custodiate City: DALLAS  (e) Is the custodian a relate of the custodian is a brown as 23522  CRD Number (if any): 13071  (g) If the custodian is not as	n: RATION  of custodian: RATION  odian's office responsible for State: Texas  ed person of your firm?	questions (b) through (g) separately for each custod custody of the <i>private fund's</i> assets (city, state and contry: United States	ountry):
fund uses more than one cu  (b) Legal name of custodiar APEX CLEARING CORPO  (c) Primary business name APEX CLEARING CORPO  (d) The location of the custodiar a relate City: DALLAS  (e) Is the custodian a relate for the custodian is a bro 8 - 23522 CRD Number (if any): 13071	n: RATION  of custodian: RATION  odian's office responsible for State: Texas  ed person of your firm?	questions (b) through (g) separately for each custod custody of the private fund's assets (city, state and contry: United States egistration number (if any):	country):
fund uses more than one cu  (b) Legal name of custodiar APEX CLEARING CORPORT  (c) Primary business name APEX CLEARING CORPORT  (d) The location of the custodians of the custodians a relate City: DALLAS  (e) Is the custodian is a brown 8 - 23522 CRD Number (if any): 13071  (g) If the custodian is not a identifier (if any)	n: RATION  of custodian: RATION  odian's office responsible for State: Texas  ed person of your firm?  sker-dealer, provide its SEC re	custody of the private fund's assets (city, state and contry: United States  egistration number (if any):	country):
fund uses more than one cu  (b) Legal name of custodiar APEX CLEARING CORPO  (c) Primary business name APEX CLEARING CORPO  (d) The location of the custodian of the custodian a relate  (f) If the custodian is a broast 23522  CRD Number (if any):  13071  (g) If the custodian is not a identifier (if any)	n: RATION  of custodian: RATION  odian's office responsible for State: Texas  ed person of your firm?  oker-dealer, provide its SEC responsible for the secondary of the seconda	questions (b) through (g) separately for each custod custody of the private fund's assets (city, state and contry: United States egistration number (if any):	private fund uses. If the p
fund uses more than one cu  (b) Legal name of custodiar APEX CLEARING CORPO  (c) Primary business name APEX CLEARING CORPO  (d) The location of the custodian of the custodian a relate  (f) If the custodian is a broast 23522  CRD Number (if any):  13071  (g) If the custodian is not a identifier (if any)	n: RATION  of custodian: RATION  odian's office responsible for State: Texas  ed person of your firm?  oker-dealer, provide its SEC responsible for state:  a broker-dealer, or is a broker state of the	custody of the private fund's assets (city, state and contry: United States  registration number (if any):	porivate fund uses. If the p

		City: LOS ANGELES	State: California	Country: United States	
				omica states	Yes No
	(e)	Is the custodian a related pe	rson of your firm?		0 0
	(f)	If the custodian is a broker-o	dealer, provide its SEC registration numbe	r (if any):	
		- CRD Number (if any):			
	(g)	If the custodian is not a brolidentifier (if any)	<er-dealer, a="" broker-dealer="" but="" does<="" is="" or="" td=""><td>not have an SEC registration number, pro</td><td>vide its <i>legal entity</i></td></er-dealer,>	not have an SEC registration number, pro	vide its <i>legal entity</i>
		337KMNHEWWWR6B7Q7W1	0		
<u>Admini</u>	strato				Yes No
26. (a)	Does	the private fund use an admir	nistrator other than your firm?		• o
		•	"yes," respond to questions (b) through ugh (f) separately for each administrator.	(f) below. If the <i>private fund</i> uses more that	n one administrator, you
	Addi	tional Administrator Inform	ation : 1 Record(s) Filed.		
		•	is "yes," respond to questions (b) throug te questions (b) through (f) separately for	n (f) below. If the <i>private fund</i> uses more teach administrator.	han one
	(b)	Name of administrator: PANOPTIC FUND ADMINISTRA	ATION		
	(c)	Location of administrator (circ		Country	
		City: LOS ANGELES	State: California	Country: United States	
	(d)	Is the administrator a <i>relate</i>	d person of your firm?		Yes No
	(e)	• •	pare and send investor account statement tors) O Some (provided to some but not a	s to the <i>private fund's</i> investors? Ill investors) ONO (provided to no investo	rs)
	(f)	·		restor account statements to the (rest of t the) private fund's investors, respond "not	· •
you 10	ur <i>relat</i> 0%	red person?		ue) was valued by a <i>person</i> , such as an ad	
		quotes, and (ii) the valuation is) was the valuation determi	·	ns, redemptions or distributions, and fee co	alculations (including
<u>Market</u>	ers				Yes No
۷۰. (۵ <i>)</i>	DOGS	the private rana use the serv	ices of sofficially out of your c	inproyees for marketing parposes:	0 0
	simila	or <i>person</i> . If the answer to que	estion 28.(a) is "yes," respond to question	sultant, finder, introducer, municipal advisons (b) through (g) below for each such ma suestions (b) through (g) separately for each	rketer the <i>private fund</i>
			No Informatio	n Filed	

1.	<ul> <li>(a) Name of the private fund:</li> <li>LIDO UNCAPPED FIRST QUARTERLY FUND, LP</li> <li>(b) Private fund identification number:</li> <li>(include the "805-" prefix also)</li> <li>805-9161478405</li> </ul>		
2.	Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country: Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
0.	Name of General Partner, Manager, Trustee, or Director		
	LIDO UNCAPPED QUARTERLY GP, LLC		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The <i>private fund</i> (check all that apply; you must check at least one):  ✓ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940  ✓ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	$\odot$
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		.,	
	(c) Is this a "feeder fund" in a master-feeder arrangement?	Yes	
	<ul><li>(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?</li><li>Name of private fund:</li></ul>	О	•
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1	۱)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	- each	of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
8.	(a) Is this private fund a "fund of funds"?	_	$\odot$
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0

Yes No

Information About the Private Fund

9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0 0
10.	What type of fund is the <i>private fund</i> ?	
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private equity	ivate fund:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	
11.	Current gross asset value of the <i>private fund</i> : \$ 28,828,220	
<u>Ow</u>	<u>nership</u>	
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :  \$ 50,000	
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in organizational documents of the fund).	the
13.	Approximate number of the <i>private fund's</i> beneficial owners: 69	
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%	
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%	
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes No ⊙ C
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%	
You	ur Advisory Services	Yes No
17	(a) Are you a subadviser to this <i>private fund</i> ?	
.,.	<ul><li>(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer to question 17.(a) is "no," leave this question blank.</li></ul>	ver to
	No Information Filed	
		Yes No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0 0
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the	he answer
	to question 18.(a) is "no," leave this question blank.	
	to question 18.(a) is "no," leave this question blank.  No Information Filed	
		Yes No
19.		Yes No ⊙ O
19.	No Information Filed	
	No Information Filed  Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	
20.	No Information Filed  Are your <i>clients</i> solicited to invest in the <i>private fund?</i> NOTE: For purposes of this question, do not consider feeder funds of the private fund.  Approximately what percentage of your <i>clients</i> has invested in the <i>private fund?</i>	
20. <u>Priv</u>	No Information Filed  Are your <i>clients</i> solicited to invest in the <i>private fund?</i> NOTE: For purposes of this question, do not consider feeder funds of the private fund.  Approximately what percentage of your <i>clients</i> has invested in the <i>private fund?</i> 1%	⊙ O
20. <b>Priv</b> 21.	No Information Filed  Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?  NOTE: For purposes of this question, do not consider feeder funds of the private fund.  Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?  1%  vate Offering  Has the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	⊙ ○
20. <b>Priv</b> 21.	No Information Filed  Are your <i>clients</i> solicited to invest in the <i>private fund?</i> NOTE: For purposes of this question, do not consider feeder funds of the private fund.  Approximately what percentage of your <i>clients</i> has invested in the <i>private fund?</i> 1%  vate Offering	⊙ O

B. SERVICE PROVIDERS

<u>Auditor</u>	<u>rs</u>						
23 (a)	(1) Aı	re the <i>private fund's</i> financia	al statements subject to ar	n annual audit?		_	s No
25. (a)		·	-		pared in accordance with U.S. GAAP?	• •	0
	If the	·	(1) is "yes," respond to qu	estions (b) through (b	n) below. If the <i>private fund</i> uses more than one auditi		
	Addi	itional Auditor Information	: 1 Record(s) Filed.				
		he answer to question 23.(an, you must complete quest			(h) below. If the <i>private fund</i> uses more than one aud firm.	diting	
	(b)	Name of the auditing firm: WEAVER AND TIDWELL, LL	Р				
	(c)	The location of the auditing	g firm's office responsible t	for the <i>private fund's</i> a	audit (city, state and country):		
		City:		State:	Country:		
		MANHATTAN BEACH		California	United States		
	(d)	Is the auditing firm an inde	enendent nublic accountant	?		Yes I	_
	(4)	13 the additing min an mac	openaem pablic accountants	·		•	0
	(e)	Is the auditing firm registe	ered with the Public Compa	any Accounting Oversi	ght Board?	•	0
		If yes, Public Company Acc	counting Oversight Board-A	Assigned Number:			
	(f)	If "yes" to (e) above, is th accordance with its rules?	e auditing firm subject to r	regular inspection by t	the Public Company Accounting Oversight Board in	•	0
	inves Do all	tors?	the auditing firm for the $\mu$	,	ed fiscal year distributed to the <i>private fund's</i> last <i>annual updating amendment</i> contain unqualified o	<b>C</b> opinion:	<b>⊙</b> s?
		·		le an amendment to yo	our Form ADV to update your response when the report i	is availa	able.
	3	,	, , ,		, , , , , , , , , , , , , , , , , , , ,		
<u>Prime</u>	Broker	:					
	_					Yes	s No
24. (a)		the <i>private fund</i> use one or	•	.: (la) Alamanonia (a) I	and a surface of the	•	
		•			pelow for each prime broker the <i>private fund</i> uses. If the hole of the hole o	ne <i>priva</i>	ле ——
	Addi	itional Prime Broker Inform	mation : 1 Record(s) Filed	d.			
		·			) below for each prime broker the <i>private fund</i> uses. If b) through (e) separately for each prime broker.	the	
	(b)	Name of the prime broker: APEX CLEARING CORPORA					
	(c)	If the prime broker is regis 8 - 23522 CRD Number (if any): 13071	stered with the SEC, its req	gistration number:			
	(d)	Location of prime broker's	office used principally by t	the <i>private fund</i> (city. s	state and country):		
		City:	State:	Country			
		DALLAS	Texas	United	States		
	/-N	Door this primes bester !	ac auctodian for a	all of the nation to the	'a accata?	Yes I	
	(e)	Does this prime broker act	as custouidit for some or	an or the private rund	3 a35c13!	⊙	

## Custodian

25.

	answer to question 25.(a)		•		•	fund uses. If the <i>private</i>
Addit	tional Custodian Informa	tion : 2 Record(s) Fi	led.			
	ne answer to question 25.( d uses more than one cust			0 0.	'	e fund uses. If the <i>priva</i> i
	Legal name of custodian: APEX CLEARING CORPORA	ATION				
	Primary business name of APEX CLEARING CORPORA					
(d)	The location of the custod	lian's office responsik	ole for <i>custody</i> of the	e private fund's asse	ts (city, state and country	y):
	City:	State:	•	Country:		
	DALLAS	Texas		United States		
						Yes
(e)	Is the custodian a related	person of your firm?				0
	If the custodian is a broke 8 - 23522	er-dealer, provide its	SEC registration nur	nber (if any):		
	CRD Number (if any): 13071					
(g)	If the custodian is not a b identifier (if any)	roker-dealer, or is a	broker-dealer but do	oes not have an SE	C registration number, pr	ovide its <i>legal entity</i>
fund (b)	ne answer to question 25.0 d uses more than one cust Legal name of custodian: MIDFIRST BANK		•		· ·	e fund uses. If the priva
(c)	Primary business name of 1ST CENTURY BANK	custodian:				
(d)	The location of the custod	lian's office responsik	ole for <i>custody</i> of the	e private fund's asse	ts (city, state and country	y):
	City:		State:	Cour	•	
	LOS ANGELES		California	Unite	ed States	
(e)	Is the custodian a related	person of your firm?				Yes
(f)	If the custodian is a broke	er-dealer, provide its	SEC registration nur	nber (if any):		
	- CRD Number (if any):					

	Additional Administrator Inform	mation : 1 Record(s) Filed.		
	·	n) is "yes," respond to questions (b) threte questions (b) through (f) separately	rough (f) below. If the <i>private fund</i> uses more than one y for each administrator.	
	(b) Name of administrator: PANOPTIC			
	(c) Location of administrator (ci	city, state and country):		
	City:	State:	Country:	
	LOS ANGELES	California	United States	Yes No
	(d) Is the administrator a relate	ted person of your firm?		0 0
	(e) Does the administrator prepared	epare and send investor account staten	nents to the <i>private fund's</i> investors?	
		stors) ${f C}$ Some (provided to some but r	not all investors) O No (provided to no investors)	
	•		e investor account statements to the (rest of the) private of the) private fund's investors, respond "not applicab	
keter		vices of someone other than you or yo	ur omplayoes for marketing purposes?	Yes I
\ S	ou must answer "yes" whether t similar <i>person</i> . If the answer to qu	the <i>person</i> acts as a placement agent, uestion 28.(a) is "yes," respond to que	consultant, finder, introducer, municipal advisor or othe stions (b) through (g) below for each such marketer the te questions (b) through (g) separately for each market	e <i>private fund</i>
		No Inform	nation Filed	
RIVATI	E FUND			
	E FUND on About the <i>Private Fund</i>			
mati				
<b>mati</b> (a) N	on About the <i>Private Fund</i> Name of the <i>private fund</i> :  LIDO UNCAPPED FOURTH QUARTER			
mation  (a) N  L  (b) H	on About the Private Fund  Name of the private fund:  LIDO UNCAPPED FOURTH QUARTER  Private fund identification number:  (include the "805-" prefix also)			
mation  (a) N  L  (b) H	on About the <i>Private Fund</i> Name of the <i>private fund:</i> LIDO UNCAPPED FOURTH QUARTER  Private fund identification number:			
mation (a) N L (b) H (c) 8	on About the Private Fund  Name of the private fund:  LIDO UNCAPPED FOURTH QUARTER  Private fund identification number:  (include the "805-" prefix also)			
(a) N L (b) H (c) S	on About the Private Fund  Name of the private fund:  LIDO UNCAPPED FOURTH QUARTER  Private fund identification number:  (include the "805-" prefix also)  805-6917828322			

 $\odot$   $\circ$ 

LIDO UNCAPPED QUARTERLY GP, LLC

Name of General Partner, Manager, Trustee, or Director

3. (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):

26. (a) Does the  $private\ fund\ use\ an\ administrator\ other\ than\ your\ firm?$ 

	(b) If filling an umbrella registration, identity the filling adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The private fund (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	•
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		Yes	
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	⊙
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?  Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(	1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	or eacl	n of
	the result runus unswer the renowing questions.		
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially al assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		d
2	(a) Is this private fund a "fund of funds"?		
J.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen		•
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private of the	ate fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> :		
	\$ 15,777,117		
<u>Ow</u>	vnership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :		

NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the

\$ 50,000

organizational documents of the fund).

13.	Approximate number of the <i>private fund's</i> beneficial owners:  53		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 1%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to qualified clients?	Yes ©	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
Υοι	ur Advisory Services		
17	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	
17.	<ul><li>(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer question 17.(a) is "no," leave this question blank.</li></ul>	to	•
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	_	•
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answ	er ——
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	⊙	0
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?  1%		
<u>Priv</u>	vate Offering		
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	No O
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
	021-476251		
3. S	ERVICE PROVIDERS		
Auc	<u>ditors</u>		
		Yes	No
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	⊙	0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing	<b>⊙</b> g firm	, 0
	you must complete questions (b) through (f) separately for each auditing firm.  Additional Auditor Information: 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audit firm, you must complete questions (b) through (f) separately for each auditing firm.	ing	
	(b) Name of the auditing firm:		
	MARCUM LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		

	LOS ANGELES	California	United States		
				Yes	No
	(d) Is the auditing firm an indepe	ndent public accountant?		•	0
	(e) Is the auditing firm registered	d with the Public Company Accounting O	versight Board?	•	0
	If yes, Public Company Accou	nting Oversight Board-Assigned Number			
	(f) If "yes" to (e) above, is the a accordance with its rules?	uditing firm subject to regular inspection	by the Public Company Accounting Oversight Board in	•	0
				٧є	es No
(g)	Are the <i>private fund's</i> audited financinvestors?	cial statements for the most recently con	npleted fiscal year distributed to the private fund's	C	
(h)	Do all of the reports prepared by th	e auditing firm for the private fund since	your last annual updating amendment contain unqualif	ied opinio	ns?
	C Yes C No C Report Not Yet Re	ceived			
	If you check "Report Not Yet Receive	d," you must promptly file an amendment	to your Form ADV to update your response when the rep	ort is avai	ilable.
<u>Prime E</u>	<u>Broker</u>			٧e	es No
24. (a)	Does the <i>private fund</i> use one or me	ore prime brokers?		6	
	•		(e) below for each prime broker the <i>private fund</i> uses. rough (e) separately for each prime broker.		_
	Additional Prime Broker Informa				
		• • • • • • • • • • • • • • • • • • • •			
	•	orime broker, you must complete question	gh (e) below for each prime broker the <i>private fund</i> use ons (b) through (e) separately for each prime broker.	es. If the	
	TIBLETT BROKEIWIGE GERWIG				
	(c) If the prime broker is register	red with the SEC, its registration number	:		
	8 - 23292 CRD Number (if any): 7784				
	(d) Location of prime broker's off	ice used principally by the <i>private fund</i> (c	ity, state and country):		
	City:	State:	Country:		
	ALBUQUERQUE	New Mexico	United States	v	
	(e) Does this prime broker act as	custodian for some or all of the <i>private</i>	fund's assets?	Yes	
	(e) Does this prime broker act as	custodian for some of an of the private	iuliu s assets:	<u> </u>	0
Custodi	ian				
	<u></u>			Υє	es No
25. (a)	Does the private fund use any custo	dians (including the prime brokers listed	above) to hold some or all of its assets?	•	0
	•	"yes," respond to questions (b) through must complete questions (b) through (	(g) below for each custodian the <i>private fund</i> uses. If g) separately for each custodian.	the <i>private</i>	e fund
	Additional Custodian Information	: 2 Record(s) Filed.			
	•	s "yes," respond to questions (b) throug an, you must complete questions (b) thr	gh g) below for each custodian the <i>private fund</i> uses. I ough (g) separately for each custodian.	f the <i>priva</i>	ite
	(b) Legal name of custodian:				

FIDELITY BROKERAGE SERVICES LLC

(c) Primary business name of custodian:

(e) I (f) I	ALBUQUERQUE	State: New Mexico	Country: United States	
(f) I		New Mexico	offited States	Ye
8	Is the custodian a related person	of your firm?		0
	If the custodian is a broker-deale	r, provide its SEC registration numb	per (if any):	
(	8 - 23292			
(	CRD Number (if any):			
7	7784			
	If the custodian is not a broker-d identifier (if any)	ealer, or is a broker-dealer but doe	es not have an SEC registration number, provide	its <i>legal entity</i>
		•	ugh g) below for each custodian the <i>private fund</i> arough (g) separately for each custodian.	uses. If the <i>pri</i>
	·	ou must complete questions (c) in	in odgi. (g) soparatory for oddi. Oddiodiani.	
	Legal name of custodian: MIDFIRST BANK			
(c) F	Primary business name of custodi	ian:		
1	1ST CENTURY BANK			
(d) 7	The location of the custodian's of	fice responsible for <i>custody</i> of the $\mu$	private fund's assets (city, state and country):	
	City:	State:	Country:	
L	LOS ANGELES	California	United States	Ye
(e) I	Is the custodian a related person	of your firm?		0
(f) I	If the custodian is a broker-dealer	r, provide its SEC registration numb	per (if any):	
(	- CRD Number (if any):			
_	If the custodian is not a broker-d identifier (if any)	ealer, or is a broker-dealer but doe	es not have an SEC registration number, provide	its <i>legal entity</i>
<u>.</u>	337KMNHEWWWR6B7Q7W10			
_				,
ator	he <i>private fund</i> use an administra	tor other than your firm?		
	answer to question 26.(a) is "yes	-	n (f) below. If the <i>private fund</i> uses more than on	e administrato
oes th	complete questions (b) through (f	,		
oes the a	complete questions (b) through (f			
the anust co	ional Administrator Information	: 1 Record(s) Filed.		
the anust co	ional Administrator Information e answer to question 26.(a) is "yo	: 1 Record(s) Filed.	igh (f) below. If the <i>private fund</i> uses more than or each administrator.	one
the anust co	ional Administrator Information e answer to question 26.(a) is "yo	es," respond to questions (b) throu	•	one
the anust contact the admited admited to the admite	ional Administrator Information e answer to question 26.(a) is "yo inistrator, you must complete que	es," respond to questions (b) throughstions (b) through (f) separately for	•	one
the anust converse the addition of the admits (b) N	ional Administrator Information e answer to question 26.(a) is "yo inistrator, you must complete que	es," respond to questions (b) throughstions (b) through (f) separately for	•	one
If the admi	ional Administrator Information e answer to question 26.(a) is "you inistrator, you must complete que Name of administrator: PANOPTIC FUND ADMINISTRATION	es," respond to questions (b) throughstions (b) through (f) separately for	•	one
If the admi	ional Administrator Information e answer to question 26.(a) is "you inistrator, you must complete que Name of administrator: PANOPTIC FUND ADMINISTRATION Location of administrator (city, sta	es," respond to questions (b) throughstions (b) through (f) separately for	or each administrator.	one

 $\circ$ 

FIDELITY BROKERAGE SERVICES LLC

		(e) Does the administrator prepare and send investor account statements to the <i>private fund's</i> investors?	
		• Yes (provided to all investors) • Some (provided to some but not all investors) • No (provided to no investors)	
		(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) <i>private fund's</i> investors? If investor account statements are not sent to the (rest of the) <i>private fund's</i> investors, respond "not applicable."	
	L		
27.		ng your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is no related person?	ot
	100%		
	relev	ide only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any vant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including ations) was the valuation determined by such <i>person</i> .	
Maı	rketer		es No
28.	(a) [	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	_
	Y	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	
		No Information Filed	
A. Pl	RIVATI	E FUND	
nfo	ormati	on About the <i>Private Fund</i>	
1.	(a) N	Name of the <i>private fund</i> :	
		LIDO UNCAPPED FUND, LP	
		Private fund identification number:	
		(include the "805-" prefix also) 805-2819895520	
	č	500-2819893320	
2.	Unde	er the laws of what state or country is the <i>private fund</i> organized:	
	ξ	State: Country:	
		Delaware United States	
3.	(a) N	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):	
	Nam	ne of General Partner, Manager, Trustee, or Director	
	LIDO	O UNCAPPED GP LLC	
	(b) I	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.	
		No Information Filed	
4.	The $\mu$	private fund (check all that apply; you must check at least one):	
		1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940	
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	
5.	List t	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
		No Information Filed	
			s No
6.			•
	(b) I	f yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	
		No Information Filed	
		Va	s No
	(c) I		SINO

	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?  Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7 for the master-feeder arrangement or reporting on the funds separately.	7.B.(	1)
	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	each	า of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it i multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
	(a) Is this <i>private fund</i> a "fund of funds"?  NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		•
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	$\circ$	0
	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Yes	No ⊙
Ο.	What type of fund is the private fund?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private	e fun	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
1.	Current gross asset value of the <i>private fund</i> : \$ 38,324,024		
w	<u>vnership</u>		
2.	Minimum investment commitment required of an investor in the <i>private fund</i> :  \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
3.	Approximate number of the <i>private fund's</i> beneficial owners:  97		
4.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
5.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?		No O
6.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		

quest  18. (a) Do ar  (b) If the to qu  19. Are your NOTE: For 120. Approxim 1%  Private Offer  21. Has the position 12. If yes, proving 12.	orivate fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?  ovide the private fund's Form D file number (if any):  File number  486	Ye the ans	es No
(b) If the to qu  19. Are your of the NOTE: For 19.  20. Approximation 1%  21. Has the position 19.  22. If yes, profit 19.  23. Form D for 19.  24. SERVICE PROfit 19.	ny investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund?</i> e answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If estion 18.(a) is "no," leave this question blank.  No Information Filed  Clients solicited to invest in the <i>private fund?</i> In purposes of this question, do not consider feeder funds of the private fund.  In purposes of this question, do not consider feeder funds of the private fund?  In purposes of this question of your clients has invested in the private fund?  In purpose of this question of the Securities and of the Secu	Ye	es No
9. Are your of NOTE: For 1%  Private Offer  1. Has the proper of 1021-344  SERVICE PROPERTY OF 10 10 10 10 10 10 10 10 10 10 10 10 10	e answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If estion 18.(a) is "no," leave this question blank.  No Information Filed  clients solicited to invest in the <i>private fund</i> ?  In purposes of this question, do not consider feeder funds of the private fund.  Inately what percentage of your clients has invested in the <i>private fund</i> ?  In purposes of this question, do not consider feeder funds of the private fund?  In purposes of this question, do not consider feeder funds of the private fund?  In purposes of this question, do not consider feeder funds of the private fund?  In purposes of this question, do not consider feeder funds of the private fund?  In purposes of this question, do not consider feeder funds of the private fund?  In purposes of this question, do not consider feeder funds of the private fund?  In purposes of this question, do not consider feeder funds of the private fund?  In purposes of this question, do not consider feeder funds of the private fund.	Ye	es No
9. Are your of NOTE: For 1%  Private Offer  1. Has the property of the propert	e answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If estion 18.(a) is "no," leave this question blank.  No Information Filed  clients solicited to invest in the <i>private fund</i> ?  In purposes of this question, do not consider feeder funds of the private fund.  Inately what percentage of your clients has invested in the <i>private fund</i> ?  In purposes of this question, do not consider feeder funds of the private fund?  In purposes of this question, do not consider feeder funds of the private fund?  In purposes of this question, do not consider feeder funds of the private fund?  In purposes of this question, do not consider feeder funds of the private fund?  In purposes of this question, do not consider feeder funds of the private fund?  In purposes of this question, do not consider feeder funds of the private fund?  In purposes of this question, do not consider feeder funds of the private fund?  In purposes of this question, do not consider feeder funds of the private fund.	Ye	es No
9. Are your NOTE: For 1%  Private Offer  1. Has the process of the	No Information Filed  Clients solicited to invest in the private fund?  In purposes of this question, do not consider feeder funds of the private fund.  Inately what percentage of your clients has invested in the private fund?  In purposes of this question, do not consider feeder funds of the private fund.  In purposes of this question, do not consider feeder funds of the private fund.  In purposes of this question, do not consider feeder funds of the private fund.  In purposes of this question, do not consider feeder funds of the private fund.  In purposes of this question, do not consider feeder funds of the private fund.  In purposes of this question, do not consider feeder funds of the private fund.  In purposes of this question, do not consider feeder funds of the private fund.  In purposes of this question, do not consider feeder funds of the private fund.  In purposes of this question, do not consider feeder funds of the private fund.  In purposes of this question, do not consider feeder funds of the private fund.  In purposes of this question, do not consider feeder funds of the private fund.  In purposes of this question, do not consider feeder funds of the private fund.  In purpose of this question, do not consider feeder funds of the private fund.	Ye	es No
NOTE: For NOTE: NO	clients solicited to invest in the private fund?  r purposes of this question, do not consider feeder funds of the private fund.  antely what percentage of your clients has invested in the private fund?  ing  private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?  ovide the private fund's Form D file number (if any):  file number	Ye	es No
NOTE: For NOTE:	ing  private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?  private fund's Form D file number (if any):  file number  486	Ye	es No
NOTE: For NOTE: NO	ing  private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?  private fund's Form D file number (if any):  file number  486	Ye	es No
20. Approxim 1%  Private Offer 21. Has the p 22. If yes, pro Form D f 021-344	ing  private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?  private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?  private fund's Form D file number (if any):  file number  486		
Private Offer  21. Has the p  22. If yes, pro  Form D f  021-344	orivate fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?  ovide the private fund's Form D file number (if any):  File number  486		
21. Has the position of the second of the se	orivate fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?  ovide the private fund's Form D file number (if any):  File number  486		
2. If yes, pro  Form D f  021-344	ovide the <i>private fund's</i> Form D file number (if any):  File number  486		
Form D f 021-344 SERVICE PRO	File number 486		
021-344 SERVICE PRO	486		
SERVICE PR			
	OVIDERS		
(2) If	re the <i>private fund's</i> financial statements subject to an annual audit?  The answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?  Exanswer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditions to the complete questions (b) through (f) separately for each auditing firm.	(	m,
Addi	itional Auditor Information : 1 Record(s) Filed.		
	he answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one and the second process of t	auditing	
(b)	Name of the auditing firm: WEAVER AND TIDWELL, LLP		
(c)	The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	MANHATTAN BEACH California United States	Vas	No
(d)	Is the auditing firm an independent public accountant?	©	0
(e)	Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 410		
(f)	If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		V	es N
(a) Are t	he private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's	(	_

				Yes
Does	the <i>private fund</i> use one o	or more prime brokers?		•
	·		ons (b) through (e) below for each prime broker the <i>privat</i> questions (b) through (e) separately for each prime broker	·
Addi	itional Prime Broker Info	rmation : 1 Record(s) Filed.		
	•		stions (b) through (e) below for each prime broker the <i>priv</i> complete questions (b) through (e) separately for each prin	
(b)	Name of the prime broke APEX CLEARING CORPOR			
(c)	If the prime broker is reg 8 - 23522	gistered with the SEC, its regi	stration number:	
	CRD Number (if any): 13071			
(d)	•	, , , ,	e <i>private fund</i> (city, state and country):	
	City: DALLAS	State: Texas	Country: United States	
	D. N. L. L. N. C.	Toxas	omica states	Yes I
(e)	Does this prime broker a	ct as custodian for some or a	II of the <i>private fund's</i> assets?	•
If the	e answer to question 25.(a	a) is "yes," respond to question	ne brokers listed above) to hold some or all of its assets? ons (b) through (g) below for each custodian the <i>private fo</i> as (b) through (g) separately for each custodian.	•
Does  If the uses	e answer to question 25.(a more than one custodian,	a) is "yes," respond to question you must complete question	ons (b) through (g) below for each custodian the <i>private fo</i>	•
Does  If the uses	e answer to question 25.(a	a) is "yes," respond to question you must complete question	ons (b) through (g) below for each custodian the <i>private fo</i>	•
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Does If the uses  Addi  If t fun  (b)	e answer to question 25. (a more than one custodian, itional Custodian Informational Informational Informational Information I	a) is "yes," respond to question you must complete question ation: 2 Record(s) Filed.  (a) is "yes," respond to question to question you must complete question ation.  (b) ATION  (c) Custodian:  (c) ATION  (d) Custodian:  (d) Custodian:  (d) Custodian:  (d) Custodian:  (d) Custodian:	ons (b) through (g) below for each custodian the <i>private fo</i> is (b) through (g) separately for each custodian.  Stions (b) through g) below for each custodian the <i>private</i> uestions (b) through (g) separately for each custodian.	fund uses. If the private
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Does If the uses  Addi  If t fun  (b)  (c)  (d)	e answer to question 25. (a more than one custodian, itional Custodian Informational Information Infor	a) is "yes," respond to question you must complete question ation: 2 Record(s) Filed.  (a) is "yes," respond to question to question you must complete question at a stodian, you must complete question to the complete question to the complete question at a stodian:  (ATION adian's office responsible for a state:  Texas  (a) person of your firm?	cons (b) through (g) below for each custodian the private for some some some some some some some some	fund uses. If the private

(0)	Legal name of custodian: MIDFIRST BANK			
(c)	Primary business name of custodian: 1ST CENTURY BANK			
(d)	The location of the custodian's office	responsible for <i>custody</i> of the	private fund's assets (city, state and country):	
	City: LOS ANGELES	State: California	Country: United States	
(e)	Is the custodian a related person of y	our firm?		Y
(f)	If the custodian is a broker-dealer, pr	rovide its SEC registration nun	nber (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a broker-deale	er, or is a broker-dealer but do	pes not have an SEC registration number, provide	its <i>legal entit</i> y
	<pre>identifier (if any) 337KMNHEWWWR6B7Q7W10</pre>			
Does f the nust	the <i>private fund</i> use an administrator	espond to questions (b) through	gh (f) below. If the <i>private fund</i> uses more than or or.	ne administra
f the	the <i>private fund</i> use an administrator e answer to question 26.(a) is "yes," re complete questions (b) through (f) se	espond to questions (b) through	,	ne administra
Does f the must  Addi	the <i>private fund</i> use an administrator answer to question 26.(a) is "yes," recomplete questions (b) through (f) se itional Administrator Information: 1	espond to questions (b) through parately for each administrate Record(s) Filed.  respond to questions (b) through	ough (f) below. If the <i>private fund</i> uses more than	
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oes f the must  Addi  If t adr  (b)	the private fund use an administrator answer to question 26. (a) is "yes," recomplete questions (b) through (f) sectional Administrator Information: 1  the answer to question 26. (a) is "yes," ministrator, you must complete question Name of administrator:  PANOPTIC FUND ADMINISTRATION  Location of administrator (city, state City:	espond to questions (b) through parately for each administrate Record(s) Filed.  respond to questions (b) through (f) separately and country):  State: California	ough (f) below. If the <i>private fund</i> uses more than for each administrator.  Country:	one
Does f the must  Addi  If t adr  (b)  (c)	the private fund use an administrator answer to question 26. (a) is "yes," recomplete questions (b) through (f) se itional Administrator Information: 1  the answer to question 26. (a) is "yes," ministrator, you must complete question Name of administrator:  PANOPTIC FUND ADMINISTRATION  Location of administrator (city, state City:  LOS ANGELES	espond to questions (b) through parately for each administrate.  Record(s) Filed.  respond to questions (b) throughs (b) through (f) separately and country):  State: California	ough (f) below. If the <i>private fund</i> uses more than for each administrator.  Country: United States	one
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Does f the must  Addi  If t adr  (b)  (c)	the private fund use an administrator answer to question 26. (a) is "yes," recomplete questions (b) through (f) selectional Administrator Information: 1  the answer to question 26. (a) is "yes," ministrator, you must complete question Name of administrator:  PANOPTIC FUND ADMINISTRATION  Location of administrator (city, state City:  LOS ANGELES  Is the administrator a related person of the provided to all investors) Selections of Selection 26. (e) is "related answer to question 26. (e) is "related answer to question 26. (e) is "related person of the answer	espond to questions (b) through parately for each administrate.  Record(s) Filed.  respond to questions (b) through (f) separately and country):  State: California  of your firm?  send investor account statem from (provided to some but not not or "some," who sends the	ough (f) below. If the <i>private fund</i> uses more than for each administrator.  Country: United States  ents to the <i>private fund's</i> investors?	one Ye C

Include only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such person.

# **Marketers**

	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.
	No Information Filed
А. Р	RIVATE FUND
Info	rmation About the <i>Private Fund</i>
1.	(a) Name of the <i>private fund</i> :
	LIDO UNCAPPED PLATINUM FUND (INSTITUTIONAL), LP
	(b) Private fund identification number:  (include the "805-" prefix also)
	805-9568618532
2.	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country:  Delaware United States
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee, or Director  LIDO UNCAPPED PLATINUM GP LLC
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.
	No Information Filed
4.	The <i>private fund</i> (check all that apply; you must check at least one):  ☐ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940  ☐ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
5.	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.
	No Information Filed
6.	Yes Note (a) Is this a "master fund" in a master-feeder arrangement?
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?
	No Information Filed
	Yes No.  (c) Is this a "feeder fund" in a master-feeder arrangement?
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?  Name of <i>private fund</i> :
	Private fund identification number: (include the "805-" prefix also)
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:
	No Information Filed

NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their

	assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issue	d
		Yes	No
8.	(a) Is this private fund a "fund of funds"?		•
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	$\circ$	0
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment	Yes	
7.	Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	⊙
10			
10.	What type of fund is the <i>private fund</i> ?		,
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other <i>privat</i>	te tun	d:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> :		
	\$ 70,671,096		
O\4/	vnership		
OVV	<u>rici strip</u>		
12.	Minimum investment commitment required of an investor in the private fund:		
	\$ 50,000  NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the		
	organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners:		
	161		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
	1%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	0%		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
	0%		
You	ur Advisory Services		
		Yes	No
17.	<ul><li>(a) Are you a subadviser to this <i>private fund</i>?</li><li>(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer</li></ul>	to.	•
	question 17.(a) is "no," leave this question blank.	10	
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	⊙
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the a to question 18.(a) is "no," leave this question blank.	answ	er
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	$\odot$	0
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		

2%

<u>Privat</u>	ee Offering		
21. Ha	as the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes <b>⊙</b>	No O
22. If	yes, provide the <i>private fund's</i> Form D file number (if any):		
F	orm D file number		
0.	21-367368		
B. SERV	VICE PROVIDERS		
<u>Audito</u>	o <u>rs</u>		
23. (a	ı) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	ves ©	No O
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audityou must complete questions (b) through (f) separately for each auditing firm.	iting firm	
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one at firm, you must complete questions (b) through (f) separately for each auditing firm.	uditing	
	(b) Name of the auditing firm: WEAVER AND TIDWELL, LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country:		
	MANHATTAN BEACH California United States	V.a.a. I	
	(d) Is the auditing firm an independent public accountant?	Yes I	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 410		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		Yes	. No
(g	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	0	•
(h	n) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	lopinion	s?
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	t is availa	ıble.
<u>Prime</u>	<u>Broker</u>	Va	. NI-
24. (a	Does the <i>private fund</i> use one or more prime brokers?	ves ©	No O
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	the priva	ate
	Additional Prime Broker Information : 1 Record(s) Filed.		
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>private fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	If the	
	(b) Name of the prime broker:  APEX CLEARING CORPORATION		

an  Does this prime broker act us costodium for some or all of the <i>private fund's</i> ussets?  If the unswer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodium the <i>private fund</i> uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodium the <i>private fund</i> uses. If the private fund uses more than one custodian, you must complete questions (a) through (g) separately for each custodian the <i>private fund</i> uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian the <i>private fund</i> uses. If the private fund uses more than one custodian; you must complete questions (b) through (g) separately for each custodian.  (b) Logal name of custodian: APEX CLEARING CORPORATION  (c) Thingry business name of custodian: APEX CLEARING CORPORATION  (d) The location of the custodian's office responsible for custody of the <i>private fund</i> 's assets (diffy, state and country) (it): City: DALLAS  Texus  United States  Y  (e) Is the custodian is not a broker-dealer, provide its Si C registration number (if any): 8 - 23522  CRO Number (if eny): 12071  (g) It he custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)  If the answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for each custodian.  (d) Logal name of custodian: MIDI REST BONK  (e) Primery business name of custodian: 15T CENTURY BANK  (f) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State Country LOS ANOSEES  Cultifornia  United States		8 - 23522				
(d) Location of prime broker's office used principally by the private fund (city, state and country):  City: DALLAS  Texas  United States  V  (c) Boos this prime broker and as controlled for same or all of the private fund's associe?  V  (d) Boos this prime broker and as controlled for same or all of the private fund's associe?  V  (d) Boos this prime broker and as controlled for same or all of the private fund's associe?  V  (d) Boos this prime broker and as controlled for same or all of the private fund's associe?  V  (e) Boos this prime broker and as controlled for same or all of the private fund use and this private fund uses and the private fund uses are the private fund uses and the private fund uses. If the private fund use are then one custodian, you must complete questions (b) through (g) separately for each custodian this private fund uses. If the private research to question (25 (a) is "yes," respond to questions (b) through (g) separately for each custodian this private fund uses. If the private research the risk custodian this private fund uses. If the private risk custodian this private fund uses. If the private risk custodian this private fund uses. If the private risk custodian this private fund uses. If the private risk custodian is a custodian.  APEX CLEARING CORPORATION  (d) The insoline of the custodian's office responsible for custody of the private fund's associal (ally, state and country):  Copy: CRD Number (if any): 13071  (if the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity sometime (if any): 13071  (if the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity sometime (if any): 13071  (if the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity sometime (if any): 13071  (if the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC reg		•				
City: State: Country DALIAS   Texas   United States    (9) Does this prime broker act as custodian for same or all of the private fund's assess?    40  Does the private fund use any obstedians (including the prime brokers listed above) to held some or all of its association to guestion 25 (a) is "yes" respond to questions (b) through (g) below for each custodian the private fund uses. If the private more than one custodian, you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private more than one custodian, you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private more than one custodian is used to a substance of custodian to private fund uses. If the private fund uses more than one custodian you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private land uses more than one custodian you must complete questions (b) through (g) separately for each custodian.  (b) Logal remore decisionals  APP X CLEARING COPPORATION  (c) Primary business name of custodian:  APP X CLEARING COPPORATION  (d) The location of the custodian's efficit responsible for esology of the private fund's assets (city, siste and country):  City:  DALLAS TEXAS COPPORATION  (d) If the custodian is not a broker-dealer, provide its SEC registration number (if any):  3 - 292(2)  CID Number (if						
DALLAS  Texas  United States  Y  (a) Does this prime broken set as custodian for some or at of the <i>private fund's</i> assects?  Desy the <i>private fund</i> use any custodians (including the prime brokens listed above) to hold some or all of its assects?  If the answer to question 25 (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the private fund one custodian is not according to the private fund one and custodian in the private fund uses. If the private fund uses are question 25 (a) is "yes," respond to questions (b) through (g) separately for each custodian the <i>private fund</i> uses. If the private fund uses more than one obstodian, you must complete questions (b) through (g) separately for each custodian.  APEX CITARING CORPORATION  (c) Primary business name or custodian.  APEX CITARING CORPORATION  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):  City State: Country:  DALLAS  Toxis United States  Y  (e) Is the custodian is a broken-dealer, provide its SEC registration number (if any):  (e) If the custodian is a broken-dealer, provide its SEC registration number (if any):  (f) If the custodian is not a broken-dealer, provide its SEC registration number (if any):  (g) If the custodian is not a broken-dealer, provide its secretary of through (g) separately for each custodian.  (h) If the custodian is not a broken-dealer, provide its a broken-dealer but does not have an SEC registration number, provide its Again environment of any):  (g) If the custodian is not a broken-dealer and country (if through (g) separately for each custodian.  MIDELES TANK  (d) The location of the custodian:  1st CENTUREY RANK  (d) The location of the custodian:  1st CENTUREY RANK  (d) The location of the custodian:  2 Culfornia  United States  United States	(d)	•		,	•	
Does this prime broker act us costedian for some or all of the <i>private fund's</i> assets?  Does the <i>private fund</i> use any oustedians (including the prime brokers listed above) to hold some or all of its assets?  If the answer to question 25 (a) is "yes," respond to questions (b) through (g) below for each custodian. the <i>private fund</i> uses. If the private fund to the custodian is private fund uses. If the private fund to the private fund uses are to question (b) is "yes," respond to questions (c) through (g) separately for each custodian.  Additional Custodian Information: 2 Record(s) Filed.  If the answer to question 25 (a) is "yes," respond to questions (b) through (g) separately for each custodian.  Additional Custodian Information: 2 Record(s) Filed.  If the answer to question 25 (a) is "yes," respond to questions (b) through (g) separately for each custodian.  APEX CLEARING CORPORATION  (d) Logal name of outstodian and custodian: APEX CLEARING CORPORATION  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):  City State Country  (d) If the custodian a related person of your firm?  (e) If the custodian is a broken-dealer, provide its SEC registration number (if any):  1.3371  (g) If the custodian is not a broken-dealer, or is a broken-dealer but does not have an SEC registration number, provide its <i>legal emity isonation</i> (if any)  If the answer to question 25 (a) is "yes," respond to questions (b) through (g) separately for each custodian.  (b) Logal name of custodian: MEDITES BANK  (d) The location of the custodian: 13T CERTURY RANK  (d) The location of the custodian: 13T CERTURY RANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country).  City State California United States  Y						
Does the provide fund use any custodians (including the prime brokers listed above) to hold some or all of its asserts?  If the answer to question 25 (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the prime than one custodian information : 2 Record(s) Filed.  If the answer to question 25 (a) is "yes," respond to questions (b) through (g) separately for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  AFEX CLEARING CORPORATION  (c) Primary business name of custodian:  AFEX CLEARING CORPORATION  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):  City:  DALLAS LEXAS United States  (e) Is the custodian a related person of your firm?  (f) If the custodian is a broker-dealer, provide its SEC registration number (if any):  8 - 23522  CRN Number (if any):  10 If the answer to question 25 (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian:  MODITIEST BAIK  (c) Primary business name of custodian:  13 CERTURY BAIK  (d) The location of the custodians office responsible for custody of the private fund's assets (city, state and country). City:  State:  Culfornia United Stutes  Y						Υ
Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets?  If the answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for each custodian the private fund uses. If the private more than one custodian, you must complete questions (b) through (g) separately for each custodian.  Additional Custodian Information: 2 Record(s) Filed.  If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the particular private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (c) Logal name of custodian:  APEX CLEARING CORPORATION  (d) The location of the custodian's effice responsible for custody of the private fund's assets (city, state and country):  City:  City:  Cauntry:  DALLAS  Toxas  United States  Y  (e) Is the custodian a related person of your firm?  (f) If the custodian is a broker-dealer, provide its SEC registration number (if any):  8 - 23522  CIRD Number (if any):  13071  If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the particular standard is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entry isonitive (if any)  If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the particular shands are found uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  BDD IREST BANK  (d) The location of the custodian's effice responsible for custody of the private fund's assets (city, state and country). City:  City:  State:  Country:  United States  V	(e)	Does this prime broker	act as custodian for some o	r all of the <i>private fund</i>	d's assets?	•
Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets?  If the answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for each custodian the private fund uses. If the private more than one custodian, you must complete questions (b) through (g) separately for each custodian.  Additional Custodian Information: 2 Record(s) Filed.  If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the particular private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (c) Logal name of custodian:  APEX CLEARING CORPORATION  (d) The location of the custodian's effice responsible for custody of the private fund's assets (city, state and country):  City:  City:  Cauntry:  DALLAS  Toxas  United States  Y  (e) Is the custodian a related person of your firm?  (f) If the custodian is a broker-dealer, provide its SEC registration number (if any):  8 - 23522  CIRD Number (if any):  13071  If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the particular standard is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entry isonitive (if any)  If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the particular shands are found uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  BDD IREST BANK  (d) The location of the custodian's effice responsible for custody of the private fund's assets (city, state and country). City:  City:  State:  Country:  United States  V						
If the answer to question 25 (a) is "yes," respond to questions (b) through (g) below for each custodian the private rund uses. If the private rund uses more than one custodian information: 2 Record(s) Filed.  If the answer to question 25 (a) is "yes," respond to questions (b) through (g) separately for each custodian the private fund uses. If the private rund uses more than one custodian; you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private rund uses more than one custodian; you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian:  APEX CLEARING CORPORATION  (c) Primary business name of custodian:  APEX CLEARING CORPORATION  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country).  City:  DALLAS Toxas United States  (e) Is the custodian is related person of your firm?  (f) If the custodian is a broker-dealer, provide its SEC registration number (if any):  8 - 23822  CRO Number (if any):  13071  (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity, isocrifice (if any)  If the answer to question 25 (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private dual number of custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian, you must complete questions (b) through (g) separately for each custodian.  (c) Primary business name of custodian:  1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country). City:  City:  California:  V	<u>n</u>					
If the answer to question 25 (a) is "yes," respond to questions (b) through (g) below for each custodian the private rund uses. If the private rund uses more than one custodian information: 2 Record(s) Filed.  If the answer to question 25 (a) is "yes," respond to questions (b) through (g) separately for each custodian the private fund uses. If the private rund uses more than one custodian; you must complete questions (b) through (g) separately for each custodian the private fund uses. If the private rund uses more than one custodian; you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian:  APEX CLEARING CORPORATION  (c) Primary business name of custodian:  APEX CLEARING CORPORATION  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country).  City:  DALLAS Toxas United States  (e) Is the custodian is related person of your firm?  (f) If the custodian is a broker-dealer, provide its SEC registration number (if any):  8 - 23822  CRO Number (if any):  13071  (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity, isocrifice (if any)  If the answer to question 25 (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private dual number of custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian, you must complete questions (b) through (g) separately for each custodian.  (c) Primary business name of custodian:  1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country). City:  City:  California:  V	Does	s the <i>private fund</i> use an	y custodians (including the p	rime brokers listed ab	pove) to hold some or all of its assets?	
If the answer to question 25 (a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the p fund uses mere than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian:  APEX CLEARING CORPORATION  (c) Primary business name of custodian:  APEX CLEARING CORPORATION  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):  City:  State:  Country:  DALLAS  Tokas  United States  (e) Is the custodian is a broker-dealer, provide its SEC registration number (if any):  8 - 23522  CRR Number (if any):  13071  (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)  If the answer to question 25 (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the partial uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian:  MIDHIRST BANK  (c) Primary trusiness name of custodian:  1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):  City:  State:  Country:  LOS ANGELES  United States	If the	e answer to question 25.	(a) is "yes," respond to que:	stions (b) through (g)	below for each custodian the <i>private fu</i>	<i>und</i> uses. If the <i>pri</i> v
(b) Legal name of custodian: APEX CLEARING CORPORATION  (c) Primary business name of custodian: APEX CLEARING CORPORATION  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: DALLAS Texas United States United States (e) Is the custodian a related person of your firm?  (g) If the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 23522 CRD Number (if any): 13071  (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)  If the answer to question 25 (a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the pland uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian: MIDETIEST BANK  (c) Primary business name of custodian: 1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: LOS ANGELES  V	Addi	itional Custodian Inforr	nation : 2 Record(s) Filed.			
(b) Legal name of custodian: APEX CLEARING CORPORATION  (c) Primary business name of custodian: APEX CLEARING CORPORATION  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: DALLAS Texas United States United States (e) Is the custodian a related person of your firm?  (g) If the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 23522 CRD Number (if any): 13071  (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)  If the answer to question 25 (a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the pland uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian: MIDETIEST BANK  (c) Primary business name of custodian: 1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: LOS ANGELES  V						
APEX CLEARING CORPORATION  (c) Primary business name of custodian: APEX CLEARING CORPORATION  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: City: State: Country: DALLAS Toxas United States  (e) Is the custodian a related person of your firm?  (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 23522 CRD Number (if any): 13071  (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)  If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private was more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian: MIDTIRST BANK  (c) Primary business name of custodian: 151 CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: Country: LOS ANGELES Country: United States		· ·			•	fund uses. If the pr
APEX CLEARING CORPORATION  (c) Primary business name of custodian: APEX CLEARING CORPORATION  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: City: State: Country: DALLAS Toxas United States  (e) Is the custodian a related person of your firm?  (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 23522 CRD Number (if any): 13071  (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)  If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private was more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian: MIDTIRST BANK  (c) Primary business name of custodian: 151 CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: Country: LOS ANGELES Country: United States	(b)	Logal name of quetodic	an.			
APEX CLEARING CORPORATION  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):  City: State: Country: DALLAS Texas United States  (e) Is the custodian a related person of your firm?  (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 23522 CRD Number (if any): 13071  (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)  If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian: MIDFIRST BANK  (c) Primary business name of custodian: 1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: LOS ANGELES California United States	(a)	•				
APEX CLEARING CORPORATION  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):  City: State: Country: DALLAS Texas United States  (e) Is the custodian a related person of your firm?  (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 23522 CRD Number (if any): 13071  (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)  If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the private uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian: MIDFIRST BANK  (c) Primary business name of custodian: 1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: LOS ANGELES California United States	(c)	Primary business name	of custodian:			
City: State: Country: United States  Y  (e) Is the custodian a related person of your firm?  (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 23522  CRD Number (if any): 13071  (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)  If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian: MIDFIRST BANK  (c) Primary business name of custodian: 1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: LOS ANGELES  California  United States	(6)	•				
City: State: Country: United States  Y  (e) Is the custodian a related person of your firm?  (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 23522  CRD Number (if any): 13071  (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)  If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian: MIDFIRST BANK  (c) Primary business name of custodian: 1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: LOS ANGELES  California  United States	(q)	The location of the cus	todian's office responsible fo	or custody of the priva	te fund's assets (city, state and country)	١.
(e) Is the custodian a related person of your firm?  (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 23522 CRD Number (if any): 13071  (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)  If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private dues more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian: MIDFIRST BANK  (c) Primary business name of custodian: 1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: City: City: California  United States	(4)					<i>)</i> .
(e) Is the custodian a related person of your firm?  (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 23522 CRD Number (if any): 13071  (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)  If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian: MIDFIRST BANK  (c) Primary business name of custodian: 1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: City: City: City: Country:			Texas			
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 23522 CRD Number (if any): 13071  (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)  If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian: MIDFIRST BANK  (c) Primary business name of custodian: 1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: LOS ANGELES California United States	(e)	Is the custodian a rela	ted person of your firm?			Υ
8 - 23522 CRD Number (if any): 13071  (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)  If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian: MIDFIRST BANK  (c) Primary business name of custodian: 1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: LOS ANGELES  California  United States			, J			,
CRD Number (if any): 13071  (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity identifier (if any)  If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian: MIDFIRST BANK  (c) Primary business name of custodian: 1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: LOS ANGELES  California  United States	(f)		oker-dealer, provide its SEC	registration number (i	if any):	
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (If any)  If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian:     MIDFIRST BANK  (c) Primary business name of custodian:     1ST CENTURY BANK  (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):     City:     State:     Country:     LOS ANGELES  California  United States						
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian:     MIDFIRST BANK  (c) Primary business name of custodian:     1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):     City:     State:     Country:     LOS ANGELES     California     United States						
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian:     MIDFIRST BANK  (c) Primary business name of custodian:     1ST CENTURY BANK  (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):     City:     State:     Country:     LOS ANGELES     California     United States	(g)	If the custodian is not	a broker-dealer, or is a brok	er-dealer but does no	t have an SEC registration number, pro	ovide its <i>legal entity</i>
fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian:     MIDFIRST BANK  (c) Primary business name of custodian:     1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):     City:         State:         Country:     LOS ANGELES      California  United States		identifier (if any)				
fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.  (b) Legal name of custodian:     MIDFIRST BANK  (c) Primary business name of custodian:     1ST CENTURY BANK  (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):     City:         State:         Country:     LOS ANGELES      California  United States	If t	the answer to question (	25 (a) is "vas " respond to a	uestions (h) through (	n) halow for each custodian the <i>private</i>	funduses If the nu
(c) Primary business name of custodian: 1ST CENTURY BANK  (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: State: Country: LOS ANGELES  California  United States		·	• • • • • • • • • • • • • • • • • • • •		•	rana assa. II tilo pi
(c) Primary business name of custodian: 1ST CENTURY BANK  (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: City: Country: LOS ANGELES  California  United States	(b)	Legal name of custodia	an:			
1ST CENTURY BANK  (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):  City:  LOS ANGELES  California  United States  Y		MIDFIRST BANK				
(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):  City:  LOS ANGELES  California  United States  Y	(c)		e of custodian:			
City: State: Country: LOS ANGELES California United States  Y		1ST CENTURY BANK				
LOS ANGELES California United States  Y		The location of the cus	todian's office responsible fc	or custody of the privat	te fund's assets (city, state and country	):
Y	(d)		Sta		•	
	(d)	_	^ "	fornio	Haitad Ctat	
· · · · · · · · · · · · · · · · · · ·	(d)	_	Cali	fornia	United States	Ye

	337KMNHEWWWR6B7Q7W10
ini	<u>strator</u> Yes
(a)	Does the <i>private fund</i> use an administrator other than your firm?
	If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, yet must complete questions (b) through (f) separately for each administrator.
	Additional Administrator Information : 1 Record(s) Filed.
	If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.
	(b) Name of administrator: PANOPTIC FUND ADMINISTRATION
	(c) Location of administrator (city, state and country):
	City: State: Country:
	LOS ANGELES California United States  Yes No
	(d) Is the administrator a <i>related person</i> of your firm?
	(e) Does the administrator prepare and send investor account statements to the <i>private fund's</i> investors?  • Yes (provided to all investors) • Some (provided to some but not all investors) • No (provided to no investors)  (f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) <i>private fund's</i>
	investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."
ol O nc el	ring your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is not ar <i>related person</i> ?  ON  Iude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any evant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including ocations) was the valuation determined by such <i>person</i> .
et	<u>ers</u> Yes
a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

CRD Number (if any):

A. P	RIVATE FUND
Info	ormation About the <i>Private Fund</i>
1.	<ul> <li>(a) Name of the <i>private fund</i>: LIDO UNCAPPED PLATINUM FUND, LP</li> <li>(b) <i>Private fund</i> identification number: (include the "805-" prefix also)</li> <li>805-1648035431</li> </ul>
2.	Under the laws of what state or country is the <i>private fund</i> organized:  State:  Delaware  Country:  United States
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):  Name of General Partner, Manager, Trustee, or Director  LIDO UNCAPPED PLATINUM GP LLC
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .  No Information Filed
4.	The <i>private fund</i> (check all that apply; you must check at least one):  ✓ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940  ✓ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	No Information Filed
6.	Yes No.  (a) Is this a "master fund" in a master-feeder arrangement?  (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?
	No Information Filed
	Yes No.  (c) Is this a "feeder fund" in a master-feeder arrangement?  (d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?  Name of private fund:
	Private fund identification number: (include the "805-" prefix also)
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:
	No Information Filed
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.  Yes No

NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment

 $\circ$ 

8. (a) Is this *private fund* a "fund of funds"?

	venicles, regardless of whether they are also <i>private runds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private	ate fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11	Current gross asset value of the <i>private fund</i> :		
11.	\$ 29,872,267		
<u>Ow</u>	<u>nership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> :  \$ 50,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in thorganizational documents of the fund).	9	
13.	Approximate number of the <i>private fund's</i> beneficial owners:  104		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes ©	No C
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
Υοι	ur Advisory Services		
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	No ©
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.		٠
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	$\odot$
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answ	er
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	⊙	$\circ$
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?  1%		
Priv	vate Offering		
		Yes	No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	•	0

22. If yes, provide the *private fund's* Form D file number (if any):

3. SERV	CE PROVIDERS		
Auditor			
23 (a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?		es No
25. (a)	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	6	
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one aucyou must complete questions (b) through (f) separately for each auditing firm.		
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one a firm, you must complete questions (b) through (f) separately for each auditing firm.	uditing	
	(b) Name of the auditing firm: WEAVER AND TIDWELL, LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):  City:  State:  Country:		
	MANHATTAN BEACH California United States	<b>V</b> = -	NI-
	(d) Is the auditing firm an independent public accountant?	Yes ©	O
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 410		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		Ye	es No
(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	C	0
(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	d opinic	ns?
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the repor	t is ava	ilable.
<u>Prime l</u>	<u>Broker</u>	Ye	es No
24. (a)	Does the private fund use one or more prime brokers?	6	0
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	the pri	vate
	Additional Prime Broker Information : 1 Record(s) Filed.		
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>private fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	If the	
	(b) Name of the prime broker:  APEX CLEARING CORPORATION		
	(c) If the prime broker is registered with the SEC, its registration number: 8 - 23522		
	CRD Number (if any): 13071		

Form D file number

021-367367

				Υ
(e) Does this prime	e broker act as custodian for some	e or all of the <i>private fund's a</i>	assets?	•
1				
the answer to ques		questions (b) through (g) be	e) to hold some or all of its assets? low for each custodian the <i>private fund</i> unately for each custodian.	uses. If the <i>pr</i>
Additional Custodiar	n Information : 2 Record(s) File	d.		
·	estion 25.(a) is "yes," respond to n one custodian, you must compl		elow for each custodian the <i>private fund</i> g) separately for each custodian.	$I$ uses. If the $\mu$
(b) Legal name of o	custodian: G CORPORATION			
•	ss name of custodian: G CORPORATION			
	·		und's assets (city, state and country):	
City: DALLAS	State: Texas	Country: United Sta	ates	
(e) Is the custodian	n a related person of your firm?			`
(o) is the sustainan	Ta related person of year illini			
	n is a broker-dealer, provide its SI	EC registration number (if ar	ny):	
8 - 23522 CRD Number (if	anv):			
13071				
(g) If the custodian identifier (if any)		roker-dealer but does not ha	ave an SEC registration number, provide	e its <i>legal entit</i>
·	estion 25.(a) is "yes," respond to n one custodian, you must compl		elow for each custodian the <i>private fund</i> g) separately for each custodian.	luses. If the $\mu$
(b) Legal name of o				
(c) Primary busines	ss name of custodian: ANK			
			und's assets (city, state and country):	
City: LOS ANGELES		State: California	Country: United States	
				١
	n a <i>related person</i> of your firm?			
(e) Is the custodian				
	n is a broker-dealer, provide its SI	EC registration number (if ar	ny):	
		EC registration number (if ar	ny):	

337KMNHEWWWR6B7Q7W10		

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$\Delta d$	m	in	ict	ra	tor

Yes	No
•	0

26	(a)	Does	the	private	fund	LISE	an	administrator	other	than	VOLI	firm?

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Addi	tional Administrator Information : 1 Reco	rd(s) Filed.			
	he answer to question 26.(a) is "yes," respo ninistrator, you must complete questions (b	•	ough (f) below. If the <i>private fund</i> uses more than one for each administrator.		
(b)	Name of administrator:				
	PANOPTIC FUND ADMINISTRATION				
(c)	Location of administrator (city, state and co	ountry):			
	City:	State:	Country:		
	LOS ANGELES	California	United States		
				Yes	s No
(d)	Is the administrator a related person of you	ır firm?		0	•
(e)	Does the administrator prepare and send	investor account stateme	ents to the <i>private fund's</i> investors?		
	$_{f \odot}$ Yes (provided to all investors) $_{f \odot}$ Some	(provided to some but no	ot all investors) ONo (provided to no investors)		
(f)	If the answer to question 26 (e) is "no" or	· "some " who sends the	investor account statements to the (rest of the) private	fund's	

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."

100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

### **Marketers**

Yes No

28. (a) Does the *private fund* use the services of someone other than you or your *employees* for marketing purposes?

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You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Nο	Information	Filed

A. PRIVATE FUND

# Information About the Private Fund

1. (a) Name of the *private fund*:

LIDO UNCAPPED SECOND QUARTERLY FUND, LP

(b) *Private fund* identification number: (include the "805-" prefix also) 805-9496419746

2. Under the laws of what state or country is the *private fund* organized:

State: Delaware Country:

**United States** 

3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		_					
	Name of General Partner, Manager, Trustee, or Director							
	LIDO UNCAPPED QUARTERLY GP, LLC							
	(b) If filing an umbralla registration identify the filing advicer and/or relying advicer(c) that energes(c) or manage(c) this private fund							
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .  No Information Filed							
	No miormation riled							
4.	The private fund (check all that apply; you must check at least one):							
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940							
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940							
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.							
	No Information Filed							
		Yes	No					
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	⊙					
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?  No Information Filed							
	NO THOLITIATION FILED							
		Yes	No					
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	$\odot$					
	(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?							
	Name of private fund:							
	Private fund identification number:							
	(include the "805-" prefix also)							
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	າ 7.B.(	1)					
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	or eac	h of					
	No Information Filed							
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially a assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple algorithms (as against a single master fund).							
	multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	Yes	No					
8.	(a) Is this private fund a "fund of funds"?		•					
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.							
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0					
		Voc	No					
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Yes O	⊙					
	company not of 1740 (other than money market funds, to the extent provided in motivition o.e.)!							
10.	What type of fund is the <i>private fund</i> ?							
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private of the private fund • other private fund •	ate fur	nd:					
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.							
11.	Current gross asset value of the <i>private fund</i> :							
	\$ 31,841,404							

<u>Ownership</u>

12.		imum investment commitment required of an investor in the <i>private fund</i> :		
	ГОИ	0,000 TE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the anizational documents of the fund).	!	
13.	App 101	proximate number of the <i>private fund's</i> beneficial owners:		
14.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 0%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes ©	No O
16.	Wha	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
You	ır Ad	dvisory Services	Yes	No
17.	(a)	Are you a subadviser to this <i>private fund</i> ?	0	•
	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
		No Information Filed		
1Ω	(2)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	Yes	
10.		If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	<b>C</b> answ	<b>⊙</b> er
		No Information Filed		
			Yes	No
19.		your <i>clients</i> solicited to invest in the <i>private fund</i> ?	$\odot$	0
	NOT	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Priv	/ate	Offering		
21.	Has	s the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes	No O
			•	
22.		es, provide the <i>private fund's</i> Form D file number (if any):		
		rm D file number 1-456709		
. SI	ERVI	CE PROVIDERS		
Auc	litors		V	N.
23.	(a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Yes	ONI
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	0
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	រ firm	١,
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audit firm, you must complete questions (b) through (f) separately for each auditing firm.	ing	

	(b)	Name of the auditing fi					
		WEAVER AND TIDWELL	, LLP				
	(c)	The location of the aud	iting firm's office responsit	ole for the <i>private fund's</i>	s audit (city, state and country):		
		City:	5	State:	Country:		
		MANHATTAN BEACH		California	United States		
				10		Yes	s No
	(d)	Is the auditing firm an	independent public account	anť?		•	0
	(e)	Is the auditing firm reg	gistered with the Public Cor	mpany Accounting Over	rsight Board?	•	0
		If yes, Public Company 410	Accounting Oversight Boa	rd-Assigned Number:			
	(f)	If "yes" to (e) above, is accordance with its rul	•	to regular inspection by	y the Public Company Accounting Oversight Board in	•	0
						Υ.	es No
(ć	-	•	financial statements for the	ne most recently compl	eted fiscal year distributed to the <i>private fund's</i>	(	0
		stors?					0
(h				ne <i>private fund</i> since yo	ur last <i>annual updating amendment</i> contain unqualified	oinigo k	ns?
		es O No O Report Not					
	If you	u check "Report Not Yet R	Peceived," you must prompt	ly file an amendment to	your Form ADV to update your response when the repor	⁻t is ava	iilable.
Prime	Brokei	r					
1111110	. Di Okci	_				Y	es No
24. (a	a) Does	the <i>private fund</i> use one	e or more prime brokers?			(	• o
	If the	e answer to question 24.	(a) is "yes," respond to qu	uestions (b) through (e	) below for each prime broker the private fund uses. If	the <i>pr</i>	
	fund	uses more than one prir	ne broker, you must comp	lete questions (b) throu	ugh (e) separately for each prime broker.		
	Add	itional Prime Broker In	formation : 1 Record(s) F	iled.			
		·			(e) below for each prime broker the <i>private fund</i> uses. (b) through (e) separately for each prime broker.	If the	
	(b)	Name of the prime brol	ker:				
		APEX CLEARING CORPO	DRATION				
	(c)	If the prime broker is r 8 - 23522	egistered with the SEC, its	registration number:			
		CRD Number (if any):					
		13071					
	(d)	·	er's office used principally I		•		
		City: DALLAS	State: Texas	Count United	ry: d States		
						Yes	s No
	(e)	Does this prime broker	act as custodian for some	or all of the private fur	nd's assets?	•	0
Custo	<u>dian</u>						
						Y	es No
25. (ε	a) Does	the <i>private fund</i> use any	custodians (including the	prime brokers listed at	pove) to hold some or all of its assets?	(	• c
					) below for each custodian the <i>private fund</i> uses. If th separately for each custodian.	e <i>priva</i> i	te fund
	uses	more man one custodia	n, you must complete due	อนบทอ (ม) เทเบนนุก (น) ร	separatery for each custouidH.		

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the *private fund* uses. If the *private* 

fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

Additional Custodian Information : 2 Record(s) Filed.

custody of the private fund's assets (city, state and country):  Country: United States  Yes  Country: United States  Yes  Country: United States  Yes  Country: United States  Yes  Country: Country: United States  Yes  Country: Country: United States  Yes  Country: Country
Country: United States  Yes Country:  Gegistration number (if any):
United States  Yes  Coregistration number (if any):
Yes O registration number (if any):
registration number (if any):
er-dealer but does not have an SEC registration number, provide its <i>legal entity</i>
er-dealer but does not have an SEC registration number, provide its legal entity
estions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>priva</i> questions (b) through (g) separately for each custodian.
custody of the private fund's assets (city, state and country):  E: Country:  Ornia United States
Yes O
registration number (if any):
er-dealer but does not have an SEC registration number, provide its legal entity
-

PANOPTIC FUND ADMINISTRATION

	(c) Location of administrator (city, state and	country):		
	City:	State:	Country:	
	LOS ANGELES	California	United States	
	(d) Is the administrator a related person of you	our firm?		Yes No
	(e) Does the administrator prepare and send	l investor account statem	ants to the private fund's investors?	
			at all investors) No (provided to no investors)	
	<b>В</b>	(	, one (pressure is in increase)	
			investor account statements to the (rest of the) of the) private fund's investors, respond "not app	•
27.	<ul><li>During your last fiscal year, what percentage of the your related person?</li><li>100%</li></ul>	private fund's assets (by v	value) was valued by a <i>person</i> , such as an admin	istrator, that is not
	Include only those assets where (i) such <i>person</i> car relevant quotes, and (ii) the valuation used for purallocations) was the valuation determined by such <i>p</i>	poses of investor subscrip	•	
Ma	arketers			
20	(a) Doos the private fundumenthe convices of come	and other than you or you	compleyees for marketing purposes?	Yes No
28.	. (a) Does the <i>private fund</i> use the services of some		onsultant, finder, introducer, municipal advisor or	C ©
	similar person. If the answer to question 28.(a)	is "yes," respond to quest	ions (b) through (g) below for each such market questions (b) through (g) separately for each m	ter the <i>private fund</i>
		No Informa	tion Filed	
—— 4. Р	PRIVATE FUND			
nfc	ormation About the Private Fund			
1.	(a) Name of the private fund:			
	LIDO UNCAPPED THIRD QUARTERLY FUND, LP			
	(b) Private fund identification number:			
	(include the "805-" prefix also)			
	805-8501901605			
2.	Under the laws of what state or country is the priva	ate fund organized:		
	State:	Country:		
	Delaware	United States		
2	(a) Nama(s) of Caparal Partner, Manager, Trustee	or Directors (or persons s	or ling in a cimilar capacity).	
3.	(a) Name(s) of General Partner, Manager, Trustee,  Name of General Partner, Manager, Trustee, or D	· ,	егинд нт а ѕитнаг сарасту):	
	LIDO UNCAPPED QUARTERLY GP, LLC	лесто		
	(b) If filing an umbrella registration, identify the filing	g adviser and/or relying ad	viser(s) that sponsor(s) or manage(s) this private	e fund.
		No Information	on Filed	
4.	The private fund (check all that apply; you must che	ck at least one):		
	lackiightarrow (1) qualifies for the exclusion from the definition	of investment company u	nder section 3(c)(1) of the Investment Company	Act of 1940
	$\square$ (2) qualifies for the exclusion from the definition	of investment company u	nder section 3(c)(7) of the Investment Company	Act of 1940
E	List the name and country in English of each force	an financial regulators south	prity with which the private fund is recistered	
5.	List the name and country, in English, of each foreig	gn financiai regulatory autho No Informatio	<u> </u>	
		NO IIIIOIIIIdile		V N
				Yes No

6. (a) Is this a "master fund" in a master-feeder arrangement?

	No Information Filed	
		Yes N
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0 0
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?  Name of <i>private fund</i> :	
	Private fund identification number: (include the "805-" prefix also)	
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	each o
	No Information Filed	
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is	
	multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	Yes N
	(a) Is this <i>private fund</i> a "fund of funds"?	Yes N
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0 0
		Yes N
	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0 6
	What type of fund is the <i>private fund</i> ?	
	• hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private	te fund:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	
	Current gross asset value of the <i>private fund</i> : \$ 30,046,606	
VI	nership	
	Minimum investment commitment required of an investor in the <i>private fund</i> :  \$ 50,000	
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	
	Approximate number of the <i>private fund's</i> beneficial owners: 73	
	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 2%	
	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%	
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes N

16.	Wha	t is the approximate percentage of the private fund beneficially owned by non-United States persons:		
You	ur Adv	visory Services		
17.	(a) <i>i</i>	Are you a subadviser to this <i>private fund</i> ?	Yes	s No ⊙
	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17.(a) is "no," leave this question blank.		٠
		No Information Filed		
			Yes	s No
18.	(b)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund?</i> If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	<b>C</b> e answ	<b>⊙</b> ver
		No Information Filed		
			Yes	s No
19.	Are y	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	$\odot$	0
	NOT	E: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Appr 1%	oximately what percentage of your <i>clients</i> has invested in the <i>private fund?</i>		
<u>Pri</u>	vate (	Offering		
21.	Has	the <i>private fund</i> ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	s No
22	lf ve	s, provide the <i>private fund's</i> Form D file number (if any):		
22.		n D file number		
	<u>ditors</u>	E PROVIDERS		
			Yes	s No
23.		(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	•	0
	ı	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?  If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	<b>o</b> ng firn	
	[	you must complete questions (b) through (i) separately for each additing firm.		
		Additional Auditor Information: 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audifirm, you must complete questions (b) through (f) separately for each auditing firm.	diting	
		(b) Name of the auditing firm:  MARCUM LLP		
		(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):  City: State: Country:  LOS ANGELES California United States		
		Camorna United States	Yes	No
		(d) Is the auditing firm an independent public accountant?	•	0
		(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
		If yes, Public Company Accounting Oversight Board-Assigned Number: 688		
		(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0

				Yes No
	the <i>private fund's</i> audited financia stors?	statements for the most recently comp	leted fiscal year distributed to the private fund's	○ ⊙
(h) Do a	all of the reports prepared by the a	auditing firm for the <i>private fund</i> since y	our last <i>annual updating amendment</i> contain unqual	ified opinions?
<b>⊙</b> \	es O No O Report Not Yet Rece	ved		
If yo	ou check "Report Not Yet Received,"	you must promptly file an amendment to	your Form ADV to update your response when the re	eport is available.
ime Broke	<u>er</u>			
(a) Doo	s the <i>private fund</i> use one or more	nrima hrakars?		Yes No
	·	·	e) below for each prime broker the <i>private fund</i> use:	• If the <i>private</i>
			ough (e) separately for each prime broker.	or the private
Add	litional Prime Broker Informatio	n : 1 Record(s) Filed.		
	•		(e) below for each prime broker the <i>private fund</i> us s (b) through (e) separately for each prime broker.	
(b	) Name of the prime broker: FIDELITY BROKERAGE SERVICES	LLC		
(c)	If the prime broker is registered 8 - 23292	with the SEC, its registration number:		
	CRD Number (if any): 7784			
(d	) Location of prime broker's office	used principally by the <i>private fund</i> (cit	y, state and country):	
	City:	State:	Country:	
	ALBUQUERQUE	New Mexico	United States	
(6	) Does this prime broker act as co	ustodian for some or all of the <i>private fu</i>	nd's assets?	Yes No
ustodian				
				Yes No
	,		above) to hold some or all of its assets?	⊙ ○
	•	es," respond to questions (b) through ( ust complete questions (b) through (g)	g) below for each custodian the <i>private fund</i> uses. I separately for each custodian.	f the <i>private fund</i>
	ditional Custodian Information :		'	
	•	yes," respond to questions (b) through you must complete questions (b) throu	g) below for each custodian the <i>private fund</i> uses. igh (g) separately for each custodian.	If the private
(b	) Legal name of custodian: FIDELITY BROKERAGE SERVICES	LLC		
(c)	Primary business name of custon FIDELITY BROKERAGE SERVICES			
(d	) The location of the custodian's (	office responsible for custody of the private of th	ate fund's assets (city, state and country):	
	City: ALBUQUERQUE	State: New Mexico	Country: United States	
	ALBUQUERQUE	New Mexico	United States	Yes No
(e	) Is the custodian a related perso	of your firm?		0 0
(f)	If the custodian is a broker-dea 8 - 23292	er, provide its SEC registration number	(if any):	
	CRD Number (if any): 7784			

•		ough g) below for each custodian the <i>private</i> through (g) separately for each custodian.	e fund uses. If the p
(b) Legal name of custodian: MIDFIRST BANK			
(c) Primary business name of cus 1ST CENTURY BANK	todian:		
(d) The location of the custodian's	s office responsible for <i>custody</i> of the	private fund's assets (city, state and country	y):
City: LOS ANGELES	State: California	Country: United States	
			•
(e) Is the custodian a related pers	son of your firm?		
(f) If the custodian is a broker-de	ealer, provide its SEC registration num	nber (if any):	
- CRD Number (if any):			
(g) If the custodian is not a broke identifier (if any)	er-dealer, or is a broker-dealer but do	pes not have an SEC registration number, pr	ovide its <i>legal entit</i>
337KMNHFWWWR6B7O7W10			
337KMNHEWWWR6B7Q7W10			
trator  Does the <i>private fund</i> use an administive of the answer to question 26.(a) is "	strator other than your firm? yes," respond to questions (b) throug	gh (f) below. If the <i>private fund</i> uses more th	nan one administra
trator  Does the <i>private fund</i> use an administive of the answer to question 26.(a) is "	strator other than your firm? yes," respond to questions (b) throug h (f) separately for each administrato	•	nan one administra
Does the <i>private fund</i> use an administration of the answer to question 26.(a) is "must complete questions (b) throug additional Administrator Information of the answer to question 26.(a) is	strator other than your firm? yes," respond to questions (b) through (f) separately for each administrato	ough (f) below. If the <i>private fund</i> uses more	
Does the <i>private fund</i> use an administration of the answer to question 26.(a) is "must complete questions (b) throug additional Administrator Information of the answer to question 26.(a) is	strator other than your firm?  yes," respond to questions (b) through (f) separately for each administrato  tion: 1 Record(s) Filed.  s "yes," respond to questions (b) through (f) separately	ough (f) below. If the <i>private fund</i> uses more	
Does the <i>private fund</i> use an administrator of administrator of the answer to question 26. (a) is must complete questions (b) throug throug additional Administrator Information of administrator:  PANOPTIC FUND ADMINISTRATION (c) Location of administrator (city)	strator other than your firm?  yes," respond to questions (b) through (f) separately for each administrato  tion: 1 Record(s) Filed.  s "yes," respond to questions (b) through (f) separately  flon  r, state and country):	ough (f) below. If the <i>private fund</i> uses more for each administrator.	
Does the <i>private fund</i> use an administration of administrator:  PANOPTIC FUND ADMINISTRAT	strator other than your firm?  yes," respond to questions (b) through (f) separately for each administrato  tion: 1 Record(s) Filed.  s "yes," respond to questions (b) through (f) separately  TION	ough (f) below. If the <i>private fund</i> uses more	
Does the <i>private fund</i> use an administrator of the answer to question 26. (a) is "must complete questions (b) throug  Additional Administrator Information of administrator:  PANOPTIC FUND ADMINISTRATION (c) Location of administrator (city:  LOS ANGELES	strator other than your firm?  yes," respond to questions (b) through (f) separately for each administrato  tion: 1 Record(s) Filed.  s "yes," respond to questions (b) through (f) separately  questions (b) through (f) separately  TION  s, state and country):  State: California	ough (f) below. If the <i>private fund</i> uses more for each administrator.  Country:	
Does the <i>private fund</i> use an administrator of the answer to question 26. (a) is "must complete questions (b) throug  Additional Administrator Information of administrator:  PANOPTIC FUND ADMINISTRATION (c) Location of administrator (city City:	strator other than your firm?  yes," respond to questions (b) through (f) separately for each administrato  tion: 1 Record(s) Filed.  s "yes," respond to questions (b) through (f) separately  questions (b) through (f) separately  TION  s, state and country):  State: California	ough (f) below. If the <i>private fund</i> uses more for each administrator.  Country:	than one
Does the <i>private fund</i> use an administrator of the answer to question 26. (a) is "must complete questions (b) throug  Additional Administrator Information of administrator:  PANOPTIC FUND ADMINISTRATION (c) Location of administrator (city City:  LOS ANGELES  (d) Is the administrator a <i>related</i> (e) Does the administrator preparation of the administrator of the a	strator other than your firm?  yes," respond to questions (b) through (f) separately for each administrato  tion: 1 Record(s) Filed.  s "yes," respond to questions (b) through (f) separately  questions (b) through (f) separately  state: California  person of your firm?  re and send investor account statements.	ough (f) below. If the <i>private fund</i> uses more for each administrator.  Country: United States	than one

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your related person?

100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any

	evant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including ocations) was the valuation determined by such <i>person</i> .	
Marke	<u>ters</u> Yes	No
28. (a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	<b>⊙</b>
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	
	No Information Filed	

### SECTION 7.B.(2) Private Fund Reporting

No Information Filed

## Item 8 Participation or Interest in Client Transactions

In this Item, we request information about your participation and interest in your *clients*' transactions. This information identifies additional areas in which conflicts of interest may occur between you and your *clients*. Newly-formed advisers should base responses to these questions on the types of participation and interest that you expect to engage in during the next year.

Like Item 7, Item 8 requires you to provide information about you and your related persons, including foreign affiliates.

Pro	priet	tary Interest in Client Transactions		
A.	Do :	you or any related person:	Yes	No
	(1)	buy securities for yourself from advisory clients, or sell securities you own to advisory clients (principal transactions)?	0	•
	(2)	buy or sell for yourself securities (other than shares of mutual funds) that you also recommend to advisory clients?	•	0
	(3)	recommend securities (or other investment products) to advisory <i>clients</i> in which you or any <i>related person</i> has some other proprietary (ownership) interest (other than those mentioned in Items 8.A.(1) or (2))?	•	0
Sal	es Ir	nterest in <i>Client</i> Transactions		
B.	Do :	you or any <i>related person</i> :	Yes	No
	(1)	as a broker-dealer or registered representative of a broker-dealer, execute securities trades for brokerage customers in which advisory client securities are sold to or bought from the brokerage customer (agency cross transactions)?	0	•
	(2)	recommend to advisory <i>clients</i> , or act as a purchaser representative for advisory <i>clients</i> with respect to, the purchase of securities for which you or any <i>related person</i> serves as underwriter or general or managing partner?	•	0
	(3)	recommend purchase or sale of securities to advisory <i>clients</i> for which you or any <i>related person</i> has any other sales interest (other than the receipt of sales commissions as a broker or registered representative of a broker-dealer)?	•	0
In۱	estm'	nent or Brokerage Discretion		
C.	Do :	you or any related person have discretionary authority to determine the:	Yes	No
	(1)	securities to be bought or sold for a client's account?	$\odot$	0
	(2)	amount of securities to be bought or sold for a client's account?	$\odot$	0
	(3)	broker or dealer to be used for a purchase or sale of securities for a client's account?	•	0
	(4)	commission rates to be paid to a broker or dealer for a <i>client's</i> securities transactions?	0	•
D.	If y	ou answer "yes" to C.(3) above, are any of the brokers or dealers related persons?	0	•
E.	Do	you or any related person recommend brokers or dealers to clients?	•	0
F.	If y	ou answer "yes" to E. above, are any of the brokers or dealers related persons?	0	•
G.	(1)	Do you or any <i>related person</i> receive research or other products or services other than execution from a broker-dealer or a third party ("soft dollar benefits") in connection with <i>client</i> securities transactions?	•	0
	(2)	If "yes" to G.(1) above, are all the "soft dollar benefits" you or any <i>related persons</i> receive eligible "research or brokerage services" under section 28(e) of the Securities Exchange Act of 1934?	•	0
Н.	(1)	Do you or any related person, directly or indirectly, compensate any person that is not an employee for client referrals?	•	0
	(2)	Do you or any <i>related person</i> , directly or indirectly, provide any <i>employee</i> compensation that is specifically related to obtaining <i>clients</i> for the firm (cash or non-cash compensation in addition to the <i>employee's</i> regular salary)?	•	0
I.		you or any related person, including any employee, directly or indirectly, receive compensation from any person (other than you or any related son) for client referrals?	0	•
	In y	our response to Item 8.1., do not include the regular salary you pay to an employee.		
	fron	responding to Items 8.H. and 8.I., consider all cash and non-cash compensation that you or a related person gave to (in answering Item 8.H.) or re In (in answering Item 8.I.) any person in exchange for client referrals, including any bonus that is based, at least in part, on the number or amount Perrals.		

# Item 9 Custody

In this Item, we ask you whether you or a *related person* has *custody* of *client* (other than *clients* that are investment companies registered under the Investment Company Act of 1940) assets and about your custodial practices.

A. (1) Do you have *custody* of any advisory *clients'*:

 $\odot$ 

 $\circ$ 

(a) cash or bank accounts?

	(b) securities?		⊙	0
	directly from your clients' accounts, or (ii) a rela	c, answer "No" to Item 9.A.(1)(a) and (b) if you have custody solely because (i) you deduct your advise tited person has custody of client assets in connection with advisory services you provide to clients, but to operationally independent (pursuant to Advisers Act rule 206(4)-2(d)(5)) from the related person.	-	!S
	(2) If you checked "yes" to Item 9.A.(1)(a) or you have <i>custody</i> :	r (b), what is the approximate amount of <i>client</i> funds and securities and total number of <i>clients</i> for	r whicl	า
	U.S. Dollar Amount	Total Number of <i>Clients</i>		
	(a) \$ 440,221,145	(b) 22		
	include the amount of those assets and the nur	C and you have custody solely because you deduct your advisory fees directly from your clients' accour on and you have clients in your response to Item 9.A.(2). If your related person has custody of client associated to clients, do not include the amount of those assets and number of those clients in your response to use to Item 9.B.(2).	sets in	,
B.	(1) In connection with advisory services you	provide to clients, do any of your related persons have custody of any of your advisory clients':	Yes	No
	(a) cash or bank accounts?		⊙	$\circ$
	(b) securities?		•	0
	You are required to answer this item regardless	of how you answered Item 9.A.(1)(a) or (b).		
	(2) If you checked "yes" to Item 9.B.(1)(a) or your <i>related persons</i> have <i>custody</i> :	r (b), what is the approximate amount of client funds and securities and total number of clients for	r whicl	า
	U.S. Dollar Amount	Total Number of Clients		
	(a) \$ 440,221,145	(b) 22		
C.	If you or your <i>related persons</i> have <i>custody</i> of that apply:	client funds or securities in connection with advisory services you provide to clients, check all the		ing
		ratements at least quarterly to the investors in the pooled investment vehicle(s) you manage. nnually the pooled investment vehicle(s) that you manage and the audited financial statements ols.	V	
		s an annual surprise examination of <i>client</i> funds and securities. s an internal control report with respect to custodial services when you or your <i>related persons</i> and securities.		
		in Section 9.C. of Schedule D the accountants that are engaged to perform the audit or examination or 9.C.(2), you do not have to list auditor information in Section 9.C. of Schedule D if you already provide bu advise in Section 7.B.(1) of Schedule D).		re
D.	Do you or your related person(s) act as qualified	ed custodians for your clients in connection with advisory services you provide to clients?	Yes	No
	(1) you act as a qualified custodian		0	$\odot$
	(2) your related person(s) act as qualified cus	stodian(s)	0	•
	•	persons that act as qualified custodians (other than any mutual fund transfer agent pursuant to rule A. of Schedule D, regardless of whether you have determined the related person to be operationally in		dent
E.	If you are filing your annual updating amendment fiscal year, provide the date (MM/YYYY) the ex 09/2022	ent and you were subject to a surprise examination by an <i>independent public accountant</i> during yo camination commenced:	ur last	
F.		client funds or securities, how many persons, including, but not limited to, you and your related penection with advisory services you provide to clients?	ersons,	act
SEC	CTION 9.C. Independent Public Accountant			
ро		each <i>independent public accountant</i> engaged to perform a surprise examination, perform an audit or repare an internal control report. You must complete a separate Schedule D Section 9.C. for each		

(1) Name of the independent public accountant:

EISNERAMPER, LLP

(2)	The location of the independent j	oublic accountant's office	e responsible for the services pro	vided:		
	Number and Street 1:		Number and Street 2:			
	ONE CALIFORNIA		SUITE 1700			
	City:	State:	Country:	ZIP+4/Postal Code:		
	SAN FRANCISCO	California	United States	94111		
					Yes	No.
(3)	Is the independent public account	tant registered with the	Public Company Accounting Ove	rsight Board?	•	$\circ$
	If "yes," Public Company Accoun 274	ting Oversight Board-As	ssigned Number:			
(4)	If "yes" to (3) above, is the <i>inde</i> accordance with its rules?	pendent public accounta	ent subject to regular inspection b	y the Public Company Accounting Oversight Board	in 👩	0
(5)	The independent public accountar	nt is engaged to:				
	<ul> <li>A. □ audit a pooled investment</li> <li>B. ☑ perform a surprise examina</li> <li>C. □ prepare an internal control</li> </ul>	ation of <i>clients</i> ' assets				
(6)	Since your last annual updating a vehicle or that examined internal			endent public accountant that audited the pooled in	vestmer	nt
	O Yes					
	O No					
	C Report Not Yet Received					
	f you check "Report Not Yet Receiv available.	'ed", you must promptly	file an amendment to your Form A	ADV to update your response when the accountant's	report is	5
	Name of the <i>independent public a</i> MARCUM LLP					
(2)	The location of the <i>independent</i> p Number and Street 1:	oublic accountant's office	e responsible for the services pro Number and Street 2:	vided:		
	777 S FIGUEROA ST		29TH FLOOR			
	City:	State:	Country:	ZIP+4/Postal Code:		
	LOS ANGELES	California	United States	90017		
					Yes	No No
(3)	Is the independent public account	tant registered with the	Public Company Accounting Ove	rsight Board?	•	0
	If "yes," Public Company Accoun 688	ting Oversight Board-As	ssigned Number:			
(4)	If "yes" to (3) above, is the <i>inde</i> accordance with its rules?	pendent public accounta	ent subject to regular inspection b	y the Public Company Accounting Oversight Board	in 👩	0
(5)	The independent public accountar	nt is engaged to:				
	A. Z audit a pooled investment					
	<ul><li>B. □ perform a surprise examina</li><li>C. □ prepare an internal control</li></ul>					
	o. E propare an internal control	Торогс				
(6)	Since your last annual updating a vehicle or that examined internal			endent public accountant that audited the pooled in	vestmer	nt
	• Yes					
	O No					
	C Report Not Yet Received					
	f you check "Report Not Yet Receiv available.	'ed", you must promptly	file an amendment to your Form A	ADV to update your response when the accountant's	report is	5

(1) Name of the *independent public accountant*: WEAVER AND TIDWELL, LLP

(2)	The location of the independent public	c accountant's office respon	sible for the services provided:		
	Number and Street 1:		Number and Street 2:		
	1230 ROSECRANS AVENUE		SUITE 510		
	City:	State:	Country:	ZIP+4/Postal Code:	
	MANHATTAN BEACH	California	United States	90266	
					Yes No
(3)	Is the independent public accountant r	registered with the Public C	Company Accounting Oversight	Board?	• c
	If "yes," Public Company Accounting 410	Oversight Board-Assigned	Number:		
(4)	If "yes" to (3) above, is the <i>independ</i> accordance with its rules?	ent public accountant subje	ct to regular inspection by the	Public Company Accounting Oversight B	oard in .
(5)	The independent public accountant is	engaged to:			
	A. 🗹 audit a pooled investment vehic	le			
	<ul><li>B. □ perform a surprise examination</li><li>C. □ prepare an internal control report</li></ul>				
(6)	Since your last <i>annual updating amen</i> vehicle or that examined internal cor	·		public accountant that audited the poole	ed investment
	• Yes				
	C No				
	Report Not Yet Received				
,	•	vou must promptly file an a	mendment to your Form ADV to	update your response when the accounta	ant's report is
	available.	you must promptly me an a	Thenament to your ronning to	apatic your response when the account	THE STOPOLE IS
Item	10 Control Persons				
	is Item, we ask you to identify every $p$ ld be provided for the <i>filing adviser</i> onl	•	ectly, <i>controls</i> you. If you are fi	ling an umbrella registration, the informa	tion in Item 10
and		information about your in	direct owners. If this is an ame	Schedule A asks for information about gendment and you are updating information must complete Schedule C.	
					Yes No
A.	Does any <i>person</i> not named in Item 1.	A. or Schedules A, B, or C,	directly or indirectly, control yo	ur management or policies?	0 0
	If yes, complete Section 10.A. of Schedu	ule D.			
	If any <i>person</i> named in Schedules A, B Exchange Act of 1934, please complet		·	ng company under Sections 12 or 15(d)	of the Securities
SECT	TION 10.A. Control Persons				
		ı	No Information Filed		
SECT	TION 10.B. <i>Control Person</i> Public Rep	porting Companies			
5201	. S. T. C. S. COMMON TO GOOM TO GOING NO	oompanios			
		I	No Information Filed		
14	11 Dicalogues Informatic				
	11 Disclosure Information				
deter	rmine whether to grant your application	n for registration, to decid	e whether to revoke your regis	your <i>advisory affiliates</i> . We use this infor stration or to place limitations on your a ions. One event may result in "yes" ans	ctivities as an

one of the questions below. In accordance with General Instruction 5 to Form ADV, "you" and "your" include the filing adviser and all relying advisers under an

Your advisory affiliates are: (1) all of your current employees (other than employees performing only clerical, administrative, support or similar functions); (2) all of your officers, partners, or directors (or any person performing similar functions); and (3) all persons directly or indirectly controlling you or controlled by you.

If you are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your advisory affiliates are.

umbrella registration.

follo disc calc	ou are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to to be a possible to the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit you closure to ten years following the date of an event only in responding to Items 11.A.(1), 11.A.(2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For purpending this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from present, judgments, or decrees lapsed.	ur poses	of
You	must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.		
Do	any of the events below involve you or any of your supervised persons?		No
	"yes" answers to the following questions, complete a Criminal Action DRP:	0	•
	In the past ten years, have you or any advisory affiliate:	Yes	. No
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony?	0	•
	(2) been charged with any felony?	Ö	•
	If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.A.(2) charges that are currently pending.	to	
B.	In the past ten years, have you or any advisory affiliate:		
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a <i>misdemeanor</i> involving: investments or an <i>investment-related</i> business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?	0	•
	(2) been charged with a misdemeanor listed in Item 11.B.(1)?	0	•
	If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B.(2) charges that are currently pending.	to	
For	"yes" answers to the following questions, complete a Regulatory Action DRP:		
C.	Has the SEC or the Commodity Futures Trading Commission (CFTC) ever:	Yes	No
	(1) found you or any advisory affiliate to have made a false statement or omission?	$\circ$	$\odot$
	(2) found you or any advisory affiliate to have been involved in a violation of SEC or CFTC regulations or statutes?	$\circ$	$\odot$
	(3) found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4) entered an order against you or any advisory affiliate in connection with investment-related activity?	$\circ$	$\odot$
	(5) imposed a civil money penalty on you or any advisory affiliate, or ordered you or any advisory affiliate to cease and desist from any activity?	0	•
D.	Has any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority:  (1) ever found you or any advisory affiliate to have made a false statement or omission, or been dishonest, unfair, or unethical?	0	0
	(2) ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes?	o	•
	(3) ever <i>found</i> you or any <i>advisory affiliate</i> to have been a cause of an <i>investment-related</i> business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4) in the past ten years, entered an <i>order</i> against you or any <i>advisory affiliate</i> in connection with an <i>investment-related</i> activity?	0	•
	(5) ever denied, suspended, or revoked your or any advisory affiliate's registration or license, or otherwise prevented you or any advisory affiliate, by order, from associating with an investment-related business or restricted your or any advisory affiliate's activity?	0	•
E.	Has any self-regulatory organization or commodities exchange ever:		
	(1) found you or any advisory affiliate to have made a false statement or omission?	0	$\odot$
	(2) found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rule violation" under a plan approved by the SEC)?	0	•
	(3) found you or any advisory affiliate to have been the cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	•
	(4) disciplined you or any advisory affiliate by expelling or suspending you or the advisory affiliate from membership, barring or suspending you or the advisory affiliate from association with other members, or otherwise restricting your or the advisory affiliate's activities?	0	•
F.	Has an authorization to act as an attorney, accountant, or federal contractor granted to you or any advisory affiliate ever been revoked or suspended?	0	•
G.	Are you or any <i>advisory affiliate</i> now the subject of any regulatory <i>proceeding</i> that could result in a "yes" answer to any part of Item 11.C., 11.D., or 11.E.?	0	•
<u>Fo</u> r	"yes" answers to the following questions, complete a Civil Judicial Action DRP:		
	(1) Has any domestic or foreign court:	Yes	No

(a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity?

0 0

	(b) ever found that you or any advisory affiliate were involved in a violation of investment-related statutes or regulations?	0	⊙
	(c) ever dismissed, pursuant to a settlement agreement, an <i>investment-related</i> civil action brought against you or any <i>advisory affiliate</i> by a state or <i>foreign financial regulatory authority?</i>	0	•
	(2) Are you or any advisory affiliate now the subject of any civil proceeding that could result in a "yes" answer to any part of Item 11.H.(1)?	0	•
	n 12 Small Businesses		
	SEC is required by the Regulatory Flexibility Act to consider the effect of its regulations on small entities. In order to do this, we need to determether you meet the definition of "small business" or "small organization" under rule 0-7.	ine	
und	wer this Item 12 only if you are registered or registering with the SEC <b>and</b> you indicated in response to Item 5.F.(2)(c) that you have regulatory er management of less than \$25 million. You are not required to answer this Item 12 if you are filing for initial registration as a state adviser, arent state registration, or switching from SEC to state registration.		
For	purposes of this Item 12 only:		
	• Total Assets refers to the total assets of a firm, rather than the assets managed on behalf of <i>clients</i> . In determining your or another <i>person's</i> assets, you may use the total assets shown on a current balance sheet (but use total assets reported on a consolidated balance sheet with subsidiaries included, if that amount is larger).		
	• Control means the power to direct or cause the direction of the management or policies of a person, whether through ownership of securities contract, or otherwise. Any person that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to or more of the profits, of another person is presumed to control the other person.	-	cent
		Yes	No No
Α.	Did you have total assets of \$5 million or more on the last day of your most recent fiscal year?	0	0
If "	yes," you do not need to answer Items 12.B. and 12.C.		
B.	Do you:		
	(1) control another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	0
	(2) control another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	0
C.	Are you:		
	(1) controlled by or under common control with another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	0
	(2) controlled by or under common control with another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	0
Sch	edule A		

# Sc

### **Direct Owners and Executive Officers**

- 1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend this information.
- 2. Direct Owners and Executive Officers. List below the names of:
  - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer (Chief Compliance Officer is required if you are registered or applying for registration and cannot be more than one individual), director, and any other individuals with similar status or functions:
  - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act); Direct owners include any person that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Schedule, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-inlaw, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
  - (c) if you are organized as a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
  - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
  - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- 5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- B 10% but less than 25% D 50% but less than 75% 6. Ownership codes are: NA - less than 5%
- 7. (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are

control persons.

- (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
- (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Title or Status		· ·	Control Person		CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
OZUR, JASON, JAY	I	SENIOR MANAGING DIRECTOR & CHIEF EXECUTIVE OFFICER	06/2021	A	Υ	N	5734834
LEE, JASON, PANG FE	1	CHIEF COMPLIANCE OFFICER, CHIEF LEGAL OFFICER	08/2017	NA	Υ	N	6905728
STERN, KENNETH, AARON	I	SENIOR MANAGING DIRECTOR & PRESIDENT	06/2021	А	Υ	N	1754133
CHARLESBANK EQUITY FUND X, IMITED PARTNERSHIP	DE	MEMBER	06/2021	С	Υ	N	
CB LIDO OFFSHORE X, LP	DE	MEMBER	06/2021	В	N	N	
BROWNE, ALEXANDRA, MARIE	I	SENIOR MANAGING DIRECTOR & CHIEF DEVELOPMENT OFFICER	06/2021	NA	Υ	N	6397152
BEATTIE, STACY, LYNN	I	CHIEF OPERATING OFFICER	10/2021	NA	Υ	N	5401758
KUSHNER, GREGORY, PAUL	I	SENIOR MANAGING DIRECTOR & CHAIRMAN	07/2015	NA	Υ	N	2649890

### Schedule B

### Indirect Owners

- 1. Complete Schedule B only if you are submitting an initial application or report. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
  - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;
    - For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
  - (b) in the case of an owner that is a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
  - (c) in the case of an owner that is a trust, the trust and each trustee; and
  - (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- 5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: C 25% but less than 50% E 75% or more
  - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- 7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
  - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
  - (c) Complete each column.

No Information Filed

# Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

Information used for Section 7.B(2) of Schedule D is based on information in year-end 2023 unaudited financial statements available as of the filing date. Information for Item 5(A), 5(B), Section 1.F. of Schedule D, and Section 7.A of Schedule D are as of March 1, 2024.

## Schedule R

# DRP Pages CRIMINAL DISCLOSURE REPORTING PAGE (ADV) No Information Filed REGULATORY ACTION DISCLOSURE REPORTING PAGE (ADV) No Information Filed

### CIVIL JUDICIAL ACTION DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

# Part 2

### Exemption from brochure delivery requirements for SEC-registered advisers

SEC rules exempt SEC-registered advisers from delivering a firm brochure to some kinds of clients. If these exemptions excuse you from delivering a brochure to *all* of your advisory clients, you do not have to prepare a brochure.

Yes No

Are you exempt from delivering a brochure to all of your clients under these rules?

If no, complete the ADV Part 2 filing below.

0 (

Amend, retire or file new brochures:

Brochure ID	Brochure Name	Brochure Type(s)	
391255	LIDO ADV PART 2A 1.23.2024	Individuals, High net worth individuals, Pension	
		plans/profit sharing plans, Foundations/charities,	
		Other institutional, Private funds or pools, Financial	
		Planning Services, Selection of Other	
		Advisers/Solicitors	
397717	LIDO ADV PART 2A 3.28.2024	Individuals, High net worth individuals, Pension	
		plans/profit sharing plans, Foundations/charities,	
		Other institutional, Private funds or pools, Financial	
		Planning Services, Selection of Other	
		Advisers/Solicitors	

Part 3			
CRS	Type(s)	Affiliate Info	Retire
<b>及</b>	Investment Advisor Investment Advisor		

### **Execution Pages**

# DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

## Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

## Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: Date: MM/DD/YYYY

JASON P LEE 03/28/2024

Printed Name: Title:

JASON P LEE CHIEF LEGAL AND COMPLIANCE OFFICER

Adviser CRD Number:

269866

### NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

### 1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

### 2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

### Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: Date: MM/DD/YYYY

Printed Name: Title:

Adviser CRD Number:

269866